

Def An ideal  $I$  in a ring  $R$  is an abelian subgroup  
(under  $+$ ) closed under multiplications by elements of  $R$

(a)  $(I, +)$  an abelian group ( $\Rightarrow I \neq \emptyset$  not empty)

(b)  $a \in I, r \in R \Rightarrow ra \in I$

define  $rI = \{ra \mid a \in I\} \quad rI \subset I$ .

Lecture 4,  
Sept. 21

work with  
commutative rings only

$\Rightarrow ra = ar$

$I \subset R$  is called a proper ideal if  $I \neq R$

Ring  $R$  always contains ideals  $\{0\}, R$

↑ Reminder

Prop Ideal  $I = R$  iff  $1 \in I$  iff  $I$  contains an invertible element

Hint:  $1 \in I \Rightarrow r \cdot 1 = r \in I \quad \forall r \in R$ . Complete the proof

Pick  $a \in R$ . Ideal  $Ra = \{ra \mid r \in R\}$  is called principal  
ideal generated by  $a$ .  $Ra = (a)$ .

$\uparrow$   
notation

Exercise  $Ra = (a)$  is an ideal.

Take  $a_1, \dots, a_n \in R$ . Consider sums of products  $r_1, \dots, r_n \in R$

$$r_1 a_1 + r_2 a_2 + \dots + r_n a_n$$

$$(a_1, \dots, a_n) \stackrel{\text{def}}{=} \{r_1 a_1 + r_2 a_2 + \dots + r_n a_n \mid r_1, \dots, r_n \in R\}$$

Thm  $(a_1, \dots, a_n)$  is an ideal of  $R$

closed under subtraction  $\Leftarrow$  (our task to show subset is an  
abelian subgroup)

$$\begin{aligned} r_1 a_1 + r_n a_n - (r'_1 a_1 + r'_n a_n) &= (r_1 a_1 - r'_1 a_1) + (r_n a_n - r'_n a_n) \\ &= (r_1 - r'_1) a_1 + (r_n - r'_n) a_n \end{aligned}$$

Complete the proof

$\varphi: R \rightarrow S$  homomorphism of rings  
 $\downarrow$   
 $\ker(\varphi)$   
ideal  
 $\ker(\varphi) \subset R$

$$\varphi(a+b) = \varphi(a) + \varphi(b)$$

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addition  
mult.

$$\downarrow$$
  
 $\text{im}(\varphi)$

subring

$$\varphi(ab) = \varphi(a)\varphi(b)$$

$$\varphi(1) = 1$$

identity.

Prop  $\ker(\varphi)$  is an ideal of  $R$

Proof:

nonempty,  $0 \in \ker(\varphi)$

$$\varphi(a) = 0, \varphi(b) = 0 \Rightarrow \varphi(a-b) = \varphi(a) - \varphi(b) = 0$$

$$\varphi(a) = 0 \Rightarrow \varphi(ra) = \varphi(r)\varphi(a) = \varphi(r) \cdot 0 = 0 \in S$$

closed under mult. by elements of  $R$

Prop  $\{0\}$  and  $F$  are the only ideals of a field  $F$ .

Proof Any nonzero element of  $F$  is invertible. If  $I \subset F$  ideal,  
either  $I = \{0\}$  or contains a nonzero element  $r$ ,  $r \in I$ .  $\Rightarrow r^{-1}r \in I \Rightarrow 1 \in I$   
 $\Rightarrow a \in I \wedge a \in F$  a.t.d.

Corollary Any homomorphism  $F \xrightarrow{\varphi} R$  of a field  $F$  into a ring  $R$  is injective  
 $\varphi(1) = 1 \in R \Rightarrow \ker(\varphi) \neq F \Rightarrow \ker(\varphi) = \{0\} \Rightarrow \varphi$  injective (exception  $R = \{0\}$ )

Ideal  $(a) = R$  iff  $a$  is invertible,  $ab=1$  some  $b$ . ( $b=a^{-1}$ ).

Ideals in  $\mathbb{Z}$   $I \subset \mathbb{Z}$  either  $I = \{0\}$  or  $\exists n \in I, n > 0$  ( $I = -I$ )

choose smallest  $n > 0, n \in I$ . Then  $n\mathbb{Z} \subset I$ . if  $n\mathbb{Z} \neq I$ , choose  $a \in I \setminus n\mathbb{Z}$   
 $a = nk+r$   $0 < r < n$   $r = a - nk$ ;  $a \in I, nk \in I \Rightarrow r \in I$ , contradiction

Prop Any ideal of  $\mathbb{Z}$  has the form  $(0)$  or  $(n) = n\mathbb{Z}, n > 0$ .

Case  $n=1$   $(1) = \mathbb{Z}$  entire ring,  $(2) = 2\mathbb{Z}$ ,  $(3) = 3\mathbb{Z}$ , ...

$(n)$  principal ideal generated by  $n$ ,  $(-n) = (n)$   $(ra) = (a)$  if  $r$  is invertible

Def Ring  $R$  is called a PID (principal ideal domain)

if every ideal of  $R$  is principal &  $R$  is an integral domain

Corollary  $\mathbb{Z}$  is a PID.

(no zero divisors)

$\varphi: R \rightarrow S$   
 want to say that subgroup  $\text{Im}(\varphi)$  is the  
 quotient of  $R$  by ideal  $\text{ker}(\varphi)$ ,  
 since elements of  $\text{Im}(\varphi)$  are  
 sets  $r + \text{ker}(\varphi)$ .  
 $\xrightarrow{-3-}$   
 $\text{ker}(\varphi) \quad \text{im}(\varphi)$   
 ideal subgroup.  
 $S = \text{Im}(\varphi)$   
 $\varphi$ -surjective  $\Rightarrow$

Let  $I \subset R$  be an ideal.  $(I, +) \subset (R, +)$  abelian subgroup.  $\Rightarrow$   
 can form the abelian group of cosets  $R/I$   
 $(I \text{ is normal in } R,$   
 $\text{since } R \text{ is abelian})$

elements of  $R/I$  have the form  $r+I$ ,  $r \in R$   
 $r+I = r'+I \text{ iff } r-r' \in I$   
 $R/I$  abelian group under addition

$$(r+I) + (r'+I) = (r+r') + I$$

Identity element of  $R/I$  under addition? Coset  $0+I = I$

The inverse of  $r+I$  under  $+$ ?  $(-r)+I$

$$(r+I) + (-r+I) = (r-r) + I = 0+I = I.$$

Have the natural surjective map  $\pi: R \rightarrow R/I$

$\pi(r) = r+I$ .  $\pi$  is a homomorphism of abelian groups.

Define multiplication on  $R/I$ .

$$(r+I)(r'+I) = rr' + I$$

claim: this is well-defined. If  $r+I = s+I$  and  $r'+I = s'+I$ ,  
 need to show  $s.s' + I = rr' + I$   $(s+I)(s'+I) = ss' + I$

$$rr' - ss' = (rr' - rs') + (rs' - ss') = r(r-s') + (r-s)s'$$

$r(r'-s') \in I$ ,  $(r-s)s' \in I \Rightarrow$  their sum is in  $I$ ,  $rr' - ss' \in I$ .

Indeed, multiplication is well-defined.

Theorem For an ideal  $I$  in  $R$ , the set  $R/I$  is a ring with  
this addition and multiplication.

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Proof: (work out details).  $(+, \circ)$  are well-defined operations on  $R/I$

$0 = 0 + I$  is the zero of  $R/I$

$1 = 1 + I$  is the identity of  $R/I$ .

Ring axioms in  $R/I$  follow since  $R$  is a ring. To prove a property (associativity, distributivity), lift to  $R$ , observe that it holds there, descend to  $R/I$ . Or check all properties directly

$$(a+I)(b+I)(c+I) \text{ associativity } ((a+I)(b+I))(c+I) = (ab+I)(c+I) = (ab)c+I \\ \text{ (abc+I)} \\ (a+I)((b+I)(c+I)) = (a+I)(bc+I) = a(bc)+I$$

Awkward to manipulate sets, usually want a concrete model (set) for  $R/I$  to work with it (basis, or coset representatives, etc.).

Thus the quotient map  $\pi: R \rightarrow R/I$  is a surjective homomorphism of rings.  $I = \ker(\pi)$ .

$$\begin{array}{ccc} R & \xrightarrow{\pi} & R/I \\ \downarrow & & \\ 1 & \mapsto & 1+I \end{array}$$

Example  $I = (n) \subset \mathbb{Z}$ .

The quotient ring  $\mathbb{Z}/(n) \cong \mathbb{Z}/n$   
ring of residues modulo  $n$ :

also one just  
write 1 for  $1+I$ ,  
 $r$  for  $r+I$  but  
remember that  
dealing with sets.

$$(n) = n\mathbb{Z}$$

ideal  $(r)$  can also be  
written as  $rR$

$$(r) = Rr.$$

Theorem (First isomorphism theorem for rings)

If  $\varphi: R \rightarrow S$  is a ring homomorphism with  $\varphi = I$ , then

there is an isomorphism  $R/\mathbb{I} \rightarrow \text{im } \varphi$  given

by  $r+\mathbb{I} \mapsto \varphi(r)$

Proof View  $R, S$  as abelian groups only  $(R, +), (S, +)$ .

1st Isom Theorem for groups says that

$\Phi: R/\mathbb{I} \rightarrow \text{im } \varphi$ , given by  $\Phi: r+\mathbb{I} \mapsto \varphi(r)$

is an isomorphism of abelian groups (addition +)

Also,  $\Phi$  respects identities

$$\Phi(1+\mathbb{I}) = \varphi(1) = 1$$

$$\Phi((r+\mathbb{I})(r'+\mathbb{I})) = \Phi(rr'+\mathbb{I}) = \varphi(rr') = \varphi(r)\varphi(r')$$

$$\varphi(r)\varphi(r') = \Phi(r+\mathbb{I})\Phi(r'+\mathbb{I})$$

$$\Rightarrow \begin{cases} \text{mult. in } R/\mathbb{I} \\ \text{mult. in } \text{im } \varphi \subset S \end{cases}$$

$$\Phi((r+\mathbb{I})(r'+\mathbb{I})) = \Phi(r+\mathbb{I})\Phi(r'+\mathbb{I})$$

$$\begin{matrix} \uparrow & \\ \text{mult. in } \text{im } \varphi \subset S & \end{matrix}$$

$$r, r' \in R$$

or

$$r+\mathbb{I}, r'+\mathbb{I}$$

or

multiply,

apply  $\Phi$

$$\longrightarrow \Phi((r+\mathbb{I})(r'+\mathbb{I}))$$

or

$$\begin{matrix} \uparrow \\ \text{apply } \varphi, \quad \Phi(r+\mathbb{I})\Phi(r'+\mathbb{I}) \\ \text{then multiply.} \end{matrix}$$

$$\begin{array}{ccc} R & \xrightarrow{\varphi} & S \\ & \searrow & \uparrow \text{ subring} \\ & & \text{im } \varphi \end{array}$$

$$\begin{array}{ccc} R & \xrightarrow{\varphi} & S \\ & \downarrow \text{quotient map} & \uparrow \\ R/\mathbb{I} & \xrightarrow{\Phi} & \text{im } \varphi \end{array}$$

isomorphic as  
abelian groups,  $\Phi$

an isomorphism,  
 $\Phi(1+\mathbb{I}) = 1$

$\Phi$  bijective, respects +,

• takes 1 to 1  $\Rightarrow$

$\Phi$  is an isomorphism  $R/\mathbb{I} \cong \text{im } \varphi$

$R \rightarrow R[x]$  polynomials in  $x$ , coefficients in  $R$

$R(x_1, \dots, x_n)$  polynomials in  $x_1, \dots, x_n$

$$R[x_1, \dots, x_n] = R[x_1, \dots, x_{n-1}][x_n]$$

$R[x_1, x_2]$  elements

$$a_{00} + a_{10}x_1 + a_{01}x_2 + a_{20}x_1^2 + a_{11}x_1x_2 + a_{02}x_2^2 + \dots$$

Example  $R = \mathbb{Z}$ ,  $\mathbb{Z}(x_1, x_2) = \mathbb{Z}[x_1][x_2] \cong \mathbb{Z}[x_2](x_1)$

$$f(x_1, x_2) = 2 - 7x_1 + 4x_2 + x_1^2 + 3x_1x_2 - x_1^3 + x_1^2x_2^2 - 2x_1x_2^3 =$$

$$= (\overset{\mathbb{Z}(x_1)}{2 - 7x_1 + x_1^2 - x_1^3}) + (\overset{\mathbb{Z}(x_1)}{4 + 3x_1})x_2 + (\overset{\mathbb{Z}(x_1)}{x_1^2})x_2^2 - \underbrace{(\overset{\mathbb{Z}(x_1)}{2x_1})x_2^3} =$$

$$= (\overset{\mathbb{Z}(x_2)}{2 + 4x_2}) + (-\overset{\mathbb{Z}(x_2)}{7 + 3x_2 - 2x_2^3})x_1 + (\overset{\mathbb{Z}(x_2)}{1 + x_2^2})x_1^2 + (-\overset{\mathbb{Z}(x_2)}{1})x_1^3$$

Evaluation homomorphism pick  $R$  and  $r \in R$

$$R[x] \xrightarrow{ev_r} R$$

evaluate polynomial  $f(x)$  by substituting  
r in place of  $x$

$$f(x) \longmapsto f(r)$$

$$f(x) = a_0 + a_1 x + \dots + a_n x^n \in R[x]$$

$$f(r) = a_0 + a_1 r + a_2 r^2 + \dots + a_n r^n \in R$$

$R \rightarrow R[x]$   
inclusion  
homomorphism  
 $a_i \mapsto a$   
 $R \xrightarrow{\text{constant}} \text{polynomial}$

Before

a polynomial (element of  $R[x]$ )

a "number" (element of  $R$ )

After

Prop  $ev_r$  is a homomorphism.

Proof 1)  $ev_r$  is a homomorphism of abelian groups

$$ev_r(f(x) + g(x)) = f(r) + g(r) = ev_r(f(x)) + ev_r(g(x))$$

$$ev_r(0) = 0 \quad ev_r(-f(x)) = -ev_r(f(x))$$

$$2) ev_r(f(x)g(x)) = f(r)g(r) = ev_r(f(x))ev_r(g(x))$$

$$3) ev_r(1) = 1 \quad \square$$

Example  $R = \mathbb{Z}$   $f(x) = 2 - 4x + x^3, r = 5$

$$\mathbb{Z}[x] \quad f(5) = 2 - 4 \cdot 5 + 5^3 = 107 \in \mathbb{Z}$$

Question. Is  $ev_r$  surjective? Yes!

In fact,  $ev_r$  is the identity homomorphism when restricted to  $R$

$$R \longrightarrow R$$

$$a_i \mapsto a$$

constant polynomials

what is  $\ker(ev_r)$ ? polynomials  $f(x)$

such that  $ev_r(f(x)) = 0$

$f(r) = 0$ . for instance  $x - r$

$ev_r(x - r) = r - r = 0$ . Also any principal ideal.  
Soon will see that  $\ker(ev_r) = (x - r)$  ideal.

Examples a)  $r=0$

$$R[x] \xrightarrow{ev_0} R$$

$f(x) \mapsto f(0)$  constant term

$$a_0 + a_1 x + \dots + a_n x^n \mapsto a_0 \quad \ker(ev_0) = (x)$$

b)  $r=1$

$$R[x] \xrightarrow{ev_1} R$$

$f(x) \mapsto f(1) = a_0 + a_1 + \dots + a_n$  sum of coefficients

$$\ker(ev_1) = (x-1)$$

c)  $r=-1$

$$f(x) \mapsto a_0 - a_1 + a_2 - \dots \pm a_n \quad \begin{matrix} \text{alternating} \\ \uparrow \\ \text{coefficients} \end{matrix}$$

$$+ (-1)^n a_n$$

$$\ker(ev_{-1}) = (x+1)$$

Thm  $ev_r: R[x] \rightarrow R$

$$f(x) \mapsto f(r) \quad r \in R$$

is a homomorphism.

$$\ker(ev_r) = (x-r)$$

principal ideal generated by polynomial  $x-r$ ,

consists of polynomials

$$(x-r)f(x), \quad f(x) \in R(x).$$