

Functional Analysis

Princeton University MAT520

HW12, not to be submitted

December 10, 2023

1. Prove Kuiper's theorem: given any $A \in \mathcal{B}(\mathcal{H})$ invertible, there is an operator-norm-continuous path

$$\gamma : [0, 1] \rightarrow \{ B \in \mathcal{B}(\mathcal{H}) \mid B \text{ is invertible} \}$$

such that $\gamma(0) = A$ and $\gamma(1) = \mathbb{1}$.

2. Show that the Fourier transform defined on the Schwarz space

$$\mathcal{F} : \mathcal{S}(\mathbb{R}^d) \rightarrow L^2(\mathbb{R}^d)$$

given by

$$(\mathcal{F}\psi)(p) := (2\pi)^{-\frac{d}{2}} \int_{\mathbb{R}^d} e^{-ip \cdot x} \psi(x) dx$$

is well-defined, and that actually

$$\mathcal{F}(\mathcal{S}(\mathbb{R}^d)) \subseteq \mathcal{S}(\mathbb{R}^d).$$

Proceed to show also that:

- (a) \mathcal{F} may be extended to the whole of L^2 .
 - (b) As an operator on L^2 , \mathcal{F} is unitary.
 - (c) Show that $\sigma(\mathcal{F}) = \{ \pm 1, \pm i \}$ and find the eigenvectors of \mathcal{F} .
3. Find an example for a self-adjoint operator $A \in \mathcal{B}(\ell^2(\mathbb{Z}))$ such that there exist $C, \mu \in (0, \infty)$ for which

$$|\langle \delta_x, A\delta_y \rangle| \leq C e^{-\mu|x-y|} \quad (x, y \in \mathbb{Z})$$

with $\{ \delta_x \}_x$ the Kronecker delta basis of $\ell^2(\mathbb{Z})$, and yet, for some $a, b \in \mathbb{R}$ with $a < b$, the operator defined via the measurable functional calculus

$$\chi_{[a,b]}(A)$$

fails to have the above locality estimate.

4. Define the Dirichlet Laplacian $-\Delta$ on the Hilbert space

$$\mathcal{H} := \{ \psi \in L^2((0, 1)) \mid \psi(0) = \psi(1) = 0 \}$$

via

$$\mathcal{D}(-\Delta) := H_0^1((0, 1)) \cap H^2((0, 1))$$

where the Sobolev space $H^r((0, 1))$ has been defined in the lecture notes and the subscript zero means those functions which vanish on the boundary points. Show that as such, $-\Delta$ is a self-adjoint operator which is unbounded, but with a discrete set of eigenvalues of finite multiplicities. Calculate the eigenvalues and eigenvectors.

5. On $L^2(\mathbb{R})$, define the harmonic oscillator with parameter $\omega > 0$ as

$$H = -\Delta + \frac{1}{2}\omega^2 X^2$$

and domain

$$\mathcal{D}(H) := \text{span} \left(\left\{ x \mapsto x^\alpha e^{-\frac{1}{2}x^2} \mid \alpha \in \mathbb{N}_{\geq 0} \right\} \right).$$

Show that H is self-adjoint, calculate the spectrum and the eigenvalue / eigenvector pairs.