

Functional Analysis  
Princeton University MAT520  
HW7, Due Nov 3rd 2023 (auto extension until Nov 5th 2023)

November 1, 2023

## 1 C-star algebras

In the following exercises,  $\mathcal{A}$  is a C-star algebra with involution  $*$ :  $\mathcal{A} \rightarrow \mathcal{A}$  and norm  $\|\cdot\|$ .  $a, b, \dots \in \mathcal{A}$ .

1. Show that if  $a$  is a partial isometry (i.e.  $|a|^2$  is an idempotent) then  $a = aa^*a = aa^*aa^*a$ .
2. Show that  $a$  is a partial isometry iff  $a^*$  is a partial isometry.
3. Show that if  $p, q$  are self-adjoint projections then  $\|p - q\| \leq 1$ .
4. Show that if  $u, v$  are unitary then  $\|u - v\| \leq 2$ .
5. Show that if  $a$  is self-adjoint with  $\|a\| \leq 1$  then

$$a + i\sqrt{1 - a^2}, \quad a - i\sqrt{1 - a^2}$$

are unitary. Conclude that any  $b \in \mathcal{A}$  is the linear combination of four unitaries.

6. Two self-adjoint projections  $p, q$  are said to be orthogonal (written  $p \perp q$ ) iff  $pq = 0$ . Show that the following are equivalent:
  - (a)  $p \perp q$ .
  - (b)  $p + q$  is a self-adjoint projection.
  - (c)  $p + q \leq \mathbf{1}$ .
7. Let  $v_1, \dots, v_n$  be partial isometries and suppose that

$$\sum_{j=1}^n |v_j|^2 = \sum_{j=1}^n |v_j^*|^2 = \mathbf{1}.$$

Show that  $\sum_{j=1}^n v_j$  is unitary.

8. [extra] Show that for any  $\varepsilon > 0$  there exists a  $\delta_\varepsilon > 0$  such that if  $a$  obeys

$$\max(\{\|a - a^*\|, \|a^2 - a\|\}) \leq \delta_\varepsilon$$

then there exists a self-adjoint projection  $p$  with  $\|a - p\| \leq \varepsilon$ .

9. [extra] Show that for any  $\varepsilon > 0$  there exists a  $\delta_\varepsilon > 0$  such that if  $a$  obeys

$$\max(\{\||a|^2 - \mathbf{1}\|, \||a^*|^2 - \mathbf{1}\|\}) \leq \delta_\varepsilon$$

then there exists a unitary  $u$  with  $\|a - u\| \leq \varepsilon$ .

10. [extra] Show that  $\sigma(p) \subseteq \{0, 1\}$  for an idempotent  $p$ .
11. [extra] Show that  $\|p\| = 1$  for a non-zero self-adjoint projection  $p$ .

12. [extra] Show that the spectral radius  $r(a)$  of a self-adjoint  $a$  equals its norm  $\|a\|$ .
13. [extra] Show that  $\sigma(u) \subseteq \mathbb{S}^1$  if  $u$  is unitary (i.e.  $|u|^2 = |u^*|^2 = \mathbb{1}$ ).
14. [extra] Show that  $\sigma(a) \subseteq [0, \infty)$  if  $a$  is positive (i.e.  $a = |b|^2 \exists b$ ).
15. [extra] Show that  $\sigma(a) \subseteq \mathbb{R}$  if  $a = a^*$ .
16. [extra] Show that  $a$  is invertible if  $|a|^2 \geq \varepsilon \mathbb{1}$  for some  $\varepsilon > 0$ ; (recall  $a \geq b$  iff  $a - b \geq 0$  iff  $a - b = |c|^2$  for some  $c$ ).

## 2 Hilbert spaces

In this section  $\mathcal{H}$  is a Hilbert space.

17. Show that

$$\mathcal{H} := \ell^2(\mathbb{R}) \equiv \left\{ f : \mathbb{R} \rightarrow \mathbb{C} \mid f^{-1}(\mathbb{C} \setminus \{0\}) \text{ is a countable set and } \sum_{x \in \mathbb{R}} |f(x)|^2 < \infty \right\}$$

is *not* a separable Hilbert space.

18. Let  $R$  be the unilateral right shift operator on  $\ell^2(\mathbb{N})$ :

$$Re_j := e_{j+1} \quad (j \in \mathbb{N})$$

where  $\{e_j\}_{j \in \mathbb{N}}$  is the standard basis of  $\ell^2(\mathbb{N})$  and extend linearly.

- (a) Calculate  $R^*$ .
- (b) Calculate  $|R|^2$  and  $|R^*|^2$ .
- (c) Show that  $R$  is a partial isometry.
- (d) Calculate  $\sigma(R)$ ,  $\sigma(R^*)$ ,  $\sigma(|R|^2)$  and  $\sigma(|R^*|^2)$ .
19. Let  $\hat{R}$  be the bilateral right shift operator on  $\ell^2(\mathbb{Z})$ :

$$\hat{R}e_j := e_{j+1} \quad (j \in \mathbb{Z})$$

where  $\{e_j\}_{j \in \mathbb{Z}}$  is the standard basis of  $\ell^2(\mathbb{Z})$  and extend linearly.

- (a) Calculate  $\hat{R}^*$ .
- (b) Calculate  $|\hat{R}|^2$  and  $|\hat{R}^*|^2$ .
- (c) Show that  $\hat{R}$  is a unitary.
- (d) Calculate  $\sigma(\hat{R})$ ,  $\sigma(\hat{R}^*)$ ,  $\sigma(|\hat{R}|^2)$  and  $\sigma(|\hat{R}^*|^2)$ .
20. Let  $\frac{1}{X} \in \mathcal{B}(\ell^2(\mathbb{N}))$  be given by

$$\frac{1}{X}e_j := \frac{1}{j}e_j \quad (j \in \mathbb{N})$$

and extend linearly.

- (a) Calculate  $(\frac{1}{X})^*$ .
- (b) Calculate  $\sigma(\frac{1}{X})$ .
- (c) Show that  $\frac{1}{X}$  does *not* have closed range.
21. Show that if  $M$  is a closed linear subspace and  $P_M : \mathcal{H} \rightarrow \mathcal{H}$  is given by

$$P_M\psi := a$$

where  $\psi = a + b$  in the unique decomposition  $\mathcal{H} = M \oplus M^\perp$ , then  $P_M$  is a *self-adjoint projection*, i.e., show that  $P_M = P_M^* = P_M^2$ . Conversely, given any self-adjoint projection  $P \in \mathcal{B}(\mathcal{H})$ , find a closed linear subspace  $M$  such that  $P = P_M$ .

22. [extra] Let  $\{A_n\}_n \subseteq \mathcal{B}(\mathcal{H})$  such that for any  $\varphi, \psi \in \mathcal{H}$ ,

$$\exists \lim_n \langle \varphi, A_n \psi \rangle .$$

Show there exists  $A \in \mathcal{B}(\mathcal{H})$  such that  $A_n \rightarrow A$  weakly.

23. For any  $t > 0$ , let  $T_t \in \mathcal{B}(L^2(\mathbb{R}))$  be given by

$$T_t \varphi := \varphi(\cdot + t) \quad (\varphi \in L^2) .$$

(a) Calculate  $\|T_t\|$ .

(b) Find a limit to which  $T_t$  converges as  $t \rightarrow \infty$  (in which operator topology?).

24. [extra] Show that multiplication is not jointly continuous as a map

$$\mathcal{B}(\mathcal{H}) \times \mathcal{B}(\mathcal{H}) \rightarrow \mathcal{B}(\mathcal{H})$$

if  $\mathcal{B}(\mathcal{H})$  is given the strong operator topology.

25. Let  $A_n \rightarrow A, B_n \rightarrow B$  in the strong operator topology. Show that  $A_n B_n \rightarrow AB$  in the strong operator topology.

26. Let  $A_n \rightarrow A, B_n \rightarrow B$  in the weak operator topology. Find a counter example for  $A_n B_n \rightarrow AB$  in the weak operator topology.

27. Show that for  $A \in \mathcal{B}(\mathcal{H})$ ,

$$\|A\|_{\text{op}} = \sup(\{ |\langle \varphi, A\psi \rangle| \mid \|\varphi\| = \|\psi\| = 1 \})$$

and if  $A = A^*$  then

$$\|A\|_{\text{op}} = \sup(\{ |\langle \varphi, A\varphi \rangle| \mid \|\varphi\| = 1 \}) .$$

28. Show that if  $A_n \geq 0, A_n \rightarrow A$  in norm (resp. strongly) then  $\sqrt{A_n} \rightarrow \sqrt{A}$  in norm (resp. strongly).

29. Show that if  $A_n \rightarrow A$  in norm then  $|A_n| \rightarrow |A|$  in norm.

30. [extra] Show that if  $A_n \rightarrow A$  and  $A_n^* \rightarrow A^*$  strongly then  $|A_n| \rightarrow |A|$  strongly.

31. [extra] Find a counter example to

$$\||A| - |B|\| \leq \|A - B\| .$$