

MAT 520 - FA - Midterm Solutions

Q1

X, Y two Banach sp.

$\forall p \geq 1$, define on $X \times Y$

$$\|(x, y)\|_p := \begin{cases} \max\{\|x\|_X, \|y\|_Y\} & p = \infty \\ (\|x\|_X^p + \|y\|_Y^p)^{1/p} & p < \infty \end{cases}$$

(a) Claim: $\|\cdot\|_\infty$ is a norm.

Proof: \otimes Abs. homogen. clear. \checkmark

\otimes If $\|(x, y)\|_p = 0$, both $\|x\| = 0$ and $\|y\| = 0$, so $x = 0$ and $y = 0$ so $(x, y) = (0, 0)$. \checkmark

\otimes $\Delta \neq \emptyset$:

$$\|(x, y) + (\tilde{x}, \tilde{y})\|_\infty = \max\{\|x + \tilde{x}\|, \|y + \tilde{y}\|\} \leq \|x\| + \|\tilde{x}\| \leq \|y\| + \|\tilde{y}\|$$

$$\leq \max\{\|x\| + \|\tilde{x}\|, \|y\| + \|\tilde{y}\|\}$$

$$\leq \max\{\|x\|, \|y\|\} + \max\{\|\tilde{x}\|, \|\tilde{y}\|\}$$

Where in \square we have used

$$\max\{ \underset{\text{I}}{a+b}, \underset{\text{II}}{c+d} \} \leq \max\{ \underset{\text{I}}{a}, \underset{\text{II}}{c} \} + \max\{ \underset{\text{III}}{b}, \underset{\text{III}}{d} \}$$

If $a+b \geq c+d$, $\text{I} = a+b$

$$a \geq c \quad \text{II} = a$$

$$b \geq d \quad \text{III} = b$$

and then $a+b \stackrel{?}{\leq} a+b \checkmark$

$$a \leq c \quad \text{II} = c$$

$$b \geq d \quad \text{III} = b$$

$$a+b \stackrel{?}{\leq} c+b \checkmark$$

etc...

Claim: $\|\cdot\|_p$ is a norm $\forall p \in (0,1)$

Proof: \otimes Abs. homogen. is clear. \checkmark

$$\otimes \text{ If } \|(x,y)\|_p = 0, \quad \|x\|_p + \|y\|_p = 0$$

$$\Rightarrow \|x\| = 0 \text{ and } \|y\| = 0$$

$$\Rightarrow x=0 \text{ and } y=0.$$

$$\otimes \square \neq$$

$$\|(x,y) + (\tilde{x}, \tilde{y})\|_p \equiv \|(x+\tilde{x}, y+\tilde{y})\|_p$$

$$= (\|x+\tilde{x}\|_p + \|y+\tilde{y}\|_p)^{1/p}$$

$\Delta \neq$ in x,y

(and $\alpha \mapsto \alpha^p$
incr.)

$$\leq (\|x\| + \|\tilde{x}\|)^p + (\|y\| + \|\tilde{y}\|)^p$$

Minkowski \neq

$$\leq (\|x\|^p + \|y\|^p)^{1/p} + (\|\tilde{x}\|^p + \|\tilde{y}\|^p)^{1/p}$$

$$\equiv \|(x, y)\|_p + \|(\tilde{x}, \tilde{y})\|_p.$$

Claim: $\|\cdot\|_\infty$ is complete.

Proof: Say $\{(x_n, y_n)\}_n$ is Cauchy in $(X \times Y, \|\cdot\|_\infty)$.

Then $\forall \varepsilon > 0 \exists N_\varepsilon \in \mathbb{N}$: if $n, m \geq N_\varepsilon$ then $\|(x_n, y_n) - (x_m, y_m)\|_\infty < \varepsilon$

$$\iff \max\{\|x_n - x_m\|, \|y_n - y_m\|\} < \varepsilon$$

So $\{x_n\}_n$ and $\{y_n\}_n$ are both separately Cauchy, and hence

$\exists (x, y) \in X \times Y$: $x_n \rightarrow x$, $y_n \rightarrow y$.

But then

$$\|(x_n, y_n) - (x, y)\|_\infty = \max\{\|x_n - x\|, \|y_n - y\|\}$$

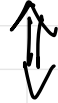
$\rightarrow 0$ as $n \rightarrow \infty$.

Claim: $\|\cdot\|_p$ is complete for $p \in [1, \infty)$.

Proof: Say $\{(x_n, y_n)\}_n$ is Cauchy in $(X \times Y, \|\cdot\|_p)$.

Then $\forall \varepsilon > 0 \exists N_\varepsilon \in \mathbb{N} : \forall n, m \geq N_\varepsilon$

$$\|(x_n, y_n) - (x_m, y_m)\|_p < \varepsilon$$



$$\|x_n - x_m\|^p + \|y_n - y_m\|^p < \varepsilon^p$$



$$\|x_n - x_m\| < \varepsilon \quad \wedge \quad \|y_n - y_m\| < \varepsilon$$



$\exists (x, y) \in X \times Y : x_n \rightarrow x$ and $y_n \rightarrow y$.

Then

$$\|(x_n, y_n) - (x, y)\|_p = (\|x_n - x\|^p + \|y_n - y\|^p)^{1/p}.$$

Pick $N \in \mathbb{N} : \text{if } n \geq N \text{ then } \begin{cases} \|x_n - x\| \leq 2^{1/p} \varepsilon \\ \|y_n - y\| \leq 2^{1/p} \varepsilon. \end{cases}$

(b) Claim: All p -norms are equiv. on $X \times Y$.

Proof: Since norm equiv. is an equiv. rel., it suffices to show $\|\cdot\|_p$ and $\|\cdot\|_\infty$

are equiv. $\forall p \in [1, \infty)$.

To that end,

$$\begin{aligned}\|(x, y)\|_p &\equiv (\|x\|_p^p + \|y\|_p^p)^{1/p} \\ &\leq \left(2 \max\{\|x\|_p^p, \|y\|_p^p\}\right)^{1/p} \\ &\stackrel{d \mapsto \alpha^p \text{ incr.}}{=} \left(2 \max\{\|x\|, \|y\|\}\right)^{1/p} \\ &= 2^{1/p} \|(x, y)\|_\infty.\end{aligned}$$

The reverse direction follows by HW2Q4 (inverse mapping).

Q2 $M: X \rightarrow Y$ where X, Y are normed \mathbb{C} -v/s.

- Assume
- ① $M(0) = 0$
 - ② $M(\frac{1}{2}(x + \tilde{x})) = \frac{1}{2}M(x) + \frac{1}{2}M(\tilde{x}) \quad (x, \tilde{x} \in X)$.
 - ③ M is cont.

Claim: M is \mathbb{R} -linear.

Proof: * ② w/ $\tilde{x} = 0 \Rightarrow M(\frac{1}{2}x) = \frac{1}{2}M(x)$.

$$\begin{aligned}*\ M(x + \tilde{x}) &= M\left(\frac{1}{2}(2x + 2\tilde{x})\right) \\ &= \frac{1}{2}M(2x) + \frac{1}{2}M(2\tilde{x}) \quad \text{②} \\ &= M(x) + M(\tilde{x}).\end{aligned}$$

* $M(nx) = nM(x)$ by the above $\forall n \in \mathbb{N}_{\geq 0}$.

* $M(\frac{1}{n}x) = \frac{1}{n} \cdot nM(\frac{1}{n}x) = \frac{1}{n}M(x) \quad \forall n \in \mathbb{N}_{\geq 1}$.

* Let $\alpha \in \mathbb{R}$. Then $\exists \{\alpha_n\}_n \subseteq \mathbb{Q}$:
 $\alpha_n \rightarrow \alpha$.

Since we have $M(\alpha_n x) = \alpha_n M(x) \quad \forall x \in X$,
by cont. of M we get
 $M(\alpha x) = \alpha M(x)$.

Note M may fail to be \mathbb{C} -lin. since
we know nothing about $M(ix)$...

Q3

(a) A normed s.p. which is NOT a
Banach s.p.:

Example 3.10 in LN.

(b) A linear pⁿ-al which is NOT cont.:

$$\text{On } X := \left\{ \varphi: \mathbb{N} \rightarrow \mathbb{C} \mid |\varphi^{-1}(\{0\}^c)| < \infty \right\}$$

w/ $\|\cdot\|_\infty$ norm. This is not a Banach s.p.

Def. $\lambda: X \rightarrow \mathbb{C}$

$$\varphi \mapsto \sum_{n \in \mathbb{N}} n \varphi_n$$

(c) A TVS which is NOT locally convex:

$L^p([0,1])$ w/ $p \in (0,1)$ is a TVS

but not locally convex: any open convex set about the origin is the entire sp.

(d) $X = \mathbb{C}^n$ is a Banach sp. whose closed unit ball is cpt.

(e) A Banach sp. which is NOT reflexive:

$$\ell^1(\mathbb{N}) \text{ has } (\ell^1(\mathbb{N}))^* = \ell^\infty(\mathbb{N})$$

$$\text{but } (\ell^\infty(\mathbb{N}))^* \neq \ell^1(\mathbb{N}).$$

[Q4]

Claim: If X, Y are two Banach sp. and

$A \in \mathcal{B}(X \rightarrow Y)$ then

$$\begin{array}{ccc} x_n \xrightarrow{w} x & \implies & Ax_n \xrightarrow{w} Ax \\ \text{in } X & & \text{in } Y. \end{array}$$

Proof: Weak conv. $\xleftrightarrow[\text{in } \ell^1]{\text{Lemma 5.11}} \lambda(x_n) \rightarrow \lambda(x) \quad \forall \lambda \in X^*$

Let $\lambda \in Y^*$. Want $\lambda Ax_n \rightarrow \lambda Ax$.

But $\lambda \circ A \in X^*$ as A is cont. □

[Q5]

See Rudin [11.23].

For counter examples, use $A = \text{Mat}_{2 \times 2}(\mathbb{C})$.