

# Q1 Virial Theorem

Let  $f \in \mathbb{N}_{\geq 1}$  be given (# of D.o.F. of a "Mechanical System").  $\mathbb{R}^{2f}$  is the phase space, comprised of all possible combinations  $(q, p) \in \mathbb{R}^{2f}$  of position and (generalized) momentum.

Let  $[\cdot, \cdot]: \mathbb{R}^f \times \mathbb{R}^f \rightarrow \mathbb{R}$  be a pos. def. inner product on  $\mathbb{R}^f$ .

Def.: A map  $V: \mathbb{R}^f \rightarrow \mathbb{R}$  is called **homogeneous of degree  $n$**  (for some  $n \in \mathbb{Z}$ ) iff  $V(\alpha x) = \alpha^n V(x) \forall x \in \mathbb{R}^f, \alpha \in \mathbb{R}$ .

Let  $V: \mathbb{R}^f \rightarrow \mathbb{R}$  be given s.t.  $V$  is homogeneous of deg.  $-n$ , for some given  $n \in \mathbb{N}_{\geq 1}$ .

Define  $H: \mathbb{R}^{2f} \rightarrow \mathbb{R}$  by  $(q, p) \mapsto \frac{1}{2} [p, p] + V(q)$

(a) Define  $F: \mathbb{R}^{2f} \rightarrow \mathbb{R}$  by  $(q, p) \mapsto \langle p, q \rangle_{\mathbb{R}^f}$

Define **the flow of dilations**  $\varphi: \mathbb{R} \rightarrow \text{Aut}(\mathbb{R}^{2f})$  by  $(\varphi(\lambda))(q, p) := (e^{\lambda} q, e^{-\lambda} p)$

Claim:  $\varphi$  is really a flow.

Proof: We must establish that  $\varphi$  is a gp. morphism:

$$(\varphi(\lambda_1 + \lambda_2))(q, p) \equiv (e^{\lambda_1 + \lambda_2} q, e^{-(\lambda_1 + \lambda_2)} p)$$

$$\begin{aligned} (\varphi(\lambda_1))((\varphi(\lambda_2))(q, p)) &\equiv (\varphi(\lambda_1))(e^{\lambda_2} q, e^{-\lambda_2} p) \\ &\equiv (e^{\lambda_1} e^{\lambda_2} q, e^{-\lambda_1} e^{-\lambda_2} p) \\ &= (\varphi(\lambda_1 + \lambda_2))(q, p). \end{aligned}$$

Also, since mul. is smooth,  $\varphi(\lambda)$  is smooth  $\forall \lambda$ . ✓

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Claim:  $F$  is the generating function of  $\varphi$   
 (and hence in particular  $\varphi$  is a  
 canonical flow).

Proof: We need to show that  $\varphi$  satisfies the  
 differential eq-n (for any fixed  $x \in \mathbb{R}^{2F}$ )

$$\Omega(\partial_\lambda \varphi)(\lambda)(x) = (\nabla F) \circ (\varphi(\lambda))(x)$$

(the unknown is the map  $\lambda \mapsto (\varphi(\lambda))(x)$   
 where  $x$  is kept fixed, so that really we  
 have a family of differential eq-ns parametrized  
 by  $x$ )  
 with initial cond  $(\varphi(0))(x) \stackrel{!}{=} x$ .

(This cond. is the thm. on bottom of pp. 73 in  
 the script)

$$\begin{aligned} \nabla F &= e_i \partial_i F = e_i \partial_i x_{j+f} x_j = e_i \delta_{ij+f} x_j + e_i x_{j+f} \delta_{ij} \\ &= e_{j+f} x_j + e_j x_{j+f} \end{aligned}$$

$$\Rightarrow (\nabla F)(q, p) = (p, q)$$

Write  $(\varphi(\lambda))(x) = \begin{bmatrix} (\varphi(\lambda))(x)_q \\ (\varphi(\lambda))(x)_p \end{bmatrix}$ .

$$\begin{aligned} \text{Then } \Omega(\partial_\lambda \varphi)(\lambda)(x) &= \begin{bmatrix} 0 & -\mathbb{1} \\ \mathbb{1} & 0 \end{bmatrix} \begin{bmatrix} (\varphi'(\lambda))(x)_q \\ (\varphi'(\lambda))(x)_p \end{bmatrix} = \\ &= \begin{bmatrix} -(\varphi'(\lambda))(x)_p \\ (\varphi'(\lambda))(x)_q \end{bmatrix} \stackrel{!}{=} \begin{bmatrix} (\varphi(\lambda))(x)_p \\ (\varphi(\lambda))(x)_q \end{bmatrix} \end{aligned}$$

Solve D-E

$$\begin{cases} (\varphi'(\lambda))(x)_p = -(\varphi(\lambda))(x)_p \\ (\varphi'(\lambda))(x)_q = (\varphi(\lambda))(x)_q \end{cases}$$

$$\Rightarrow \begin{cases} (\varphi(\lambda))(x)_q = e^\lambda (\varphi(0))(x)_q \\ (\varphi(\lambda))(x)_p = e^{-\lambda} (\varphi(0))(x)_p \end{cases}$$

Apply initial condition:  $(\varphi(0))(x) = x \Leftrightarrow \begin{cases} (\varphi(0))(x)_q = (x)_q \\ (\varphi(0))(x)_p = (x)_p \end{cases}$

$$\Rightarrow (\varphi(\lambda))(x) = \begin{bmatrix} e^\lambda (x)_q \\ e^{-\lambda} (x)_p \end{bmatrix} = \begin{bmatrix} e^\lambda & 0 \\ 0 & e^{-\lambda} \end{bmatrix} \begin{bmatrix} (x)_q \\ (x)_p \end{bmatrix}$$

$$\Rightarrow \varphi(\lambda) = \begin{bmatrix} e^\lambda & 0 \\ 0 & e^{-\lambda} \end{bmatrix} \text{ as desired. } \checkmark$$

(b) Claim:  $\{F, H\} = -2T - nV$

where  $T: \mathbb{R}^F \rightarrow \mathbb{R}$  is  $p \mapsto \frac{1}{2}[p \cdot p]$

Proof: Recall  $\{H, F\} \equiv \langle \nabla H, \Omega \nabla F \rangle_{\mathbb{R}^{2F}}$

Also recall  $\{H, F\} \circ \gamma = \partial_t (F \circ \gamma)$  for any path  $\gamma: \mathbb{R} \rightarrow \mathbb{R}^{2F}$  which obeys the EOM  $\Omega \ddot{\gamma} = \nabla H \circ \gamma$ .

Hence  $\{F, H\} \circ \gamma = -\partial_t (F \circ \gamma)$ .

But we can also apply the formalism in the other way:

$$\{F, H\} \circ \varphi = -\partial_\lambda (H \circ \varphi)$$

$$H \circ (\varphi(\lambda))(q, p) = H(e^\lambda q, e^{-\lambda} p) = T(e^{-\lambda} p) + V(e^\lambda q)$$

$$\partial_\lambda V(e^\lambda q) = \partial_\lambda (e^\lambda)^{-n} V(q) = -n (e^\lambda)^{-n-1} e^\lambda V(q)$$

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$$= -n (e^\lambda)^{-n} V(q) = -n V(e^\lambda q)$$

$$\partial_\lambda T(e^{-\lambda} p) = \partial_\lambda \frac{1}{2} [e^{-\lambda} p, e^{-\lambda} p]$$

$$= \partial_\lambda e^{-2\lambda} T(p)$$

$$= -2 e^{-2\lambda} T(p)$$

$$= -2 T(e^{-\lambda} p)$$

$$\Rightarrow \partial_\lambda (H \circ (\varphi(\lambda))(q, p)) = -2 T((\varphi(\lambda))(q, p)) - n V((\varphi(\lambda))(q, p))$$

$$\Rightarrow \{F, H\} \circ (\varphi(\lambda))(q, p) = \uparrow$$

Since this holds  $\forall \lambda$ , evaluate @  $\lambda=0$ :

$$\boxed{\{F, H\}(q, p) = -2 T(p) - n V(q)}$$

(c) Define a trajectory  $\gamma: \mathbb{R} \rightarrow \mathbb{R}^{2f}$  in phase sp. to be **bounded** iff  $\gamma$  is bounded.

$\forall$  scalar  $A: \mathbb{R}^{2f} \rightarrow \mathbb{R}$ , and any trajectory  $\gamma: \mathbb{R} \rightarrow \mathbb{R}^{2f}$  define the mean of  $A$  along  $\gamma$ ,

$$\boxed{\langle A \rangle_\gamma := \lim_{t \rightarrow \infty} \frac{1}{2t} \int_{-t}^t A \circ \gamma}$$

Claim: If  $\gamma: \mathbb{R} \rightarrow \mathbb{R}^{2f}$  is bounded then

$$2 \langle T \rangle_\gamma + n \langle V \rangle_\gamma = 0$$

by linearity of limits & integrals.

Proof:

$$2 \langle T \rangle_\gamma + n \langle V \rangle_\gamma \stackrel{(a)}{=} - \langle -2T - nV \rangle_\gamma \stackrel{(b)}{=} - \langle \{F, H\} \rangle_\gamma$$

$$(7.25) \quad \rightarrow \langle \{H, F\} \rangle_{\gamma}$$

$$\equiv \lim_{t \rightarrow \infty} \frac{1}{2t} \int_{-t}^t \{H, F\} \circ \gamma$$

$$\stackrel{(7.23)}{=} \lim_{t \rightarrow \infty} \frac{1}{2t} \int_{-t}^t \mathcal{D}(F \circ \gamma)$$

Fund. Thm. of Calc.

$$\rightarrow \lim_{t \rightarrow \infty} \frac{1}{2t} [F(\gamma(t)) - F(\gamma(-t))]$$

def. of F

$$= \lim_{t \rightarrow \infty} \frac{1}{2t} \left[ \langle \gamma(t)_p, \gamma(t)_q \rangle_{\mathbb{R}^p} - \langle \gamma(-t)_p, \gamma(-t)_q \rangle_{\mathbb{R}^p} \right]$$

$$= 0$$

where the last line follows by the fact  $\gamma$  is bounded yet  $t \rightarrow \infty$ .

(d) Claim: If  $n=2$  and the trajectory  $\gamma$  is bdd. then  $E=0$ .

If  $n \neq 2$  and the trajectory  $\gamma$  is bdd. then

$$\langle V \rangle_{\gamma} = \frac{2}{2-n} E$$

$$\langle T \rangle_{\gamma} = -\frac{n}{2-n} E$$

Proof: Since the energy is conserved,  $H \circ \gamma = E$  (the energy of the system) and this is a time-indep. const.

$$\Rightarrow \langle T \rangle_{\gamma} + \langle V \rangle_{\gamma} = \langle H \rangle_{\gamma} = E$$

By (c) we have  $2\langle T \rangle_{\gamma} + n\langle V \rangle_{\gamma} = 0$ .

$$\Rightarrow \begin{bmatrix} 2 & n \\ 1 & 1 \end{bmatrix} \begin{bmatrix} \langle T \rangle_{\gamma} \\ \langle V \rangle_{\gamma} \end{bmatrix} = \begin{bmatrix} 0 \\ E \end{bmatrix}$$

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When  $n=2$  this matrix is not invertible so that

$E$  must be zero.

When  $n \neq 2$  we get: 
$$\begin{bmatrix} 2 & n \\ 1 & -1 \end{bmatrix}^{-1} = \begin{bmatrix} \frac{1}{2-n} & \frac{n}{-2+n} \\ \frac{1}{-2+n} & -\frac{2}{-2+n} \end{bmatrix}$$

$$\Rightarrow \begin{bmatrix} \langle T \rangle_{\gamma} \\ \langle V \rangle_{\gamma} \end{bmatrix} = \begin{bmatrix} \frac{n}{-2+n} E \\ -\frac{2}{-2+n} E \end{bmatrix}$$

(e) Let  $n \in (0, 2)$ .

Claim: If  $\gamma$  is a bounded trajectory then  $E \leq 0$ .

Proof: Note by hypothesis  $T \geq 0$ .

$$\Rightarrow \langle T \rangle_{\gamma} \geq 0$$

But  $n \in (0, 2) \Rightarrow \frac{n}{-2+n} < 0$

But  $\langle T \rangle_{\gamma} = \frac{n}{-2+n} E \Rightarrow \boxed{E \leq 0}$ .

Claim: If  $E > 0$  then  $[\gamma(t)_q, \gamma(t)_p] > n E t^2 + O(t)$  as  $t \rightarrow \pm \infty$ .

Proof: Let the symmetric matrix corresponding to  $[0, 0]$  be given by  $g \in \text{Mat}_{p \times p}(\mathbb{R})$ .

Then  $T(p) = \frac{1}{2} p^T g p \quad \forall p \in \mathbb{R}^p$ .

We also know by the canonical Hamilton's E.o.M.:

$$\begin{cases} (\partial_{i+p} H) \circ \gamma = \dot{\gamma}_i \\ (\partial_i H) \circ \gamma = -\dot{\gamma}_{i+p} \end{cases} \quad \forall i \in \{1, \dots, p\}$$

$\forall$  has no  $p$  dependence.

$$\Rightarrow (\partial_{i+p} H)(q, p) = (\partial_{p_i} H)(q, p) = \frac{1}{2} \partial_{p_i} (p^T g p) =$$

$$= \frac{1}{2} \partial_{p_i} p_j g_{jk} p_k = \frac{1}{2} (g_{ij} g_{jk} p_k + p_j g_{jk} g_{ik}) \quad (7)$$

$$= \frac{1}{2} (g_{ik} p_k + p_j g_{ji})$$

$g$  symmetric  $\Rightarrow$

$$= g_{ij} p_j$$

$$\Rightarrow \boxed{\dot{\gamma}_i = g_{ij} \gamma_{j+p}}$$

$g$  is invertible bcs.  $[0, \infty]$  is pos. def.

$$\Rightarrow \gamma_{j+p} = (g^{-1})_{jk} \dot{\gamma}_k$$

$j$ th momentum component of trajectory

$k$ th velocity component of traj.

We thus find:

$$\partial_t [\gamma(t)_q, \gamma(t)_q] = \partial_t \sum_{i,j=1}^f \gamma(t)_i (g^{-1})_{ij} \gamma(t)_j \quad \text{by (2.2) here } g^{-1}!$$

$$= \sum_{i,j=1}^f \left[ \dot{\gamma}(t)_i (g^{-1})_{ij} \gamma(t)_j + \gamma(t)_i (g^{-1})_{ij} \dot{\gamma}(t)_j \right]$$

$$= \sum_{i,j=1}^f \gamma(t)_i (g^{-1})_{ij} \dot{\gamma}(t)_j \quad \text{by } g^{-1} = (g^{-1})^T$$

$$= 2 \sum_{i,j=1}^f \gamma(t)_i (g^{-1})_{ij} \dot{\gamma}(t)_j = 2 \sum_{i,j=1}^f g_{ie} \gamma(t)_{e+p} (g^{-1})_{ij} \dot{\gamma}(t)_j$$

$$= 2 \sum_{i=1}^f \gamma(t)_{i+p} \dot{\gamma}(t)_i = 2 (F \circ \gamma)(t)$$

$$\Rightarrow \partial_t^2 [\gamma(t)_q, \gamma(t)_q] = 2 \partial_t (F \circ \gamma)(t) \stackrel{(b)}{=} 2 (2T \circ \gamma_p + nV \circ \gamma_q) = 2 [(2-n)T \circ \gamma_p + n(T+V) \circ \gamma] =$$

$$= 2 \left[ \underbrace{(2-n)}_{\geq 0} \underbrace{T_0}_{\geq 0} \gamma + nE \right] \geq 2nE$$

$n \in (0, 2) \Rightarrow 2-n > 0$

$$\Rightarrow \boxed{\partial_t^2 [\gamma_q, \gamma_q] \geq 2nE}$$

$$\Rightarrow [\gamma_q, \gamma_q] \geq nEt^2 + Ct + D$$

$$\xrightarrow{t \rightarrow \infty} \Rightarrow [\gamma_q, \gamma_q] \geq nEt^2 + o(t)$$

$$\Rightarrow \text{for } E > 0, \quad \|\gamma_q\| \sim \sqrt{[\gamma_q, \gamma_q]} \sim \sqrt{nE} t$$

linear growth, as a free particle

$\Leftrightarrow$  Scattering trajectory.

(f) Claim: For a conservative oscillating system,  $n = -2$ .

Proof: Recall from chapter 4 (pp. 35) that we have  $V$  also a quadratic form, so that in particular  $V(\alpha x) = \alpha^2 V(x)$ .

(E.g. spring:  $V(x) = \frac{1}{2} m \omega^2 x^2$ .)

$\Rightarrow$  For such systems w/ bounded orbits,

$$\langle T \rangle_{\gamma} = -\frac{-2}{2-(-2)} E = \frac{E}{2}$$

$$\langle V \rangle_{\gamma} = \frac{2}{2-(-2)} E = \frac{E}{2}$$

Claim: For an  $N$ -particle system which interacts w/ gravity we have  $n = 1$

Proof:  $V(x) = -G \frac{m_1 m_2}{\|r_1 - r_2\|}$  for grav.



⇒ For bounded orbits of such systems,

$$\langle T \rangle_t = -E$$

$$\langle V \rangle_t = 2E$$

Q2

### Fictional Forces in the Hamiltonian Formalism

Let  $R: \mathbb{R} \rightarrow SO(3)$ ,  $b: \mathbb{R} \rightarrow \mathbb{R}^3$  be given,

Define a map  $f: \mathbb{R}^3 \times \mathbb{R} \rightarrow \mathbb{R}^3$  by

$$(x, t) \mapsto R(t)x + b(t)$$

A particle's trajectory in a stationary system is

$$\gamma: \mathbb{R} \rightarrow \mathbb{R}^3$$

and its trajectory in the accelerating frame is

$$\tilde{\gamma}: \mathbb{R} \rightarrow \mathbb{R}^3$$

The two are related via:

$$\boxed{\gamma(t) = f(\tilde{\gamma}(t), t)}$$

(a) Claim:  $f$  is a (time-dep.) canonical transf.

Proof: Head against the wall: Compute Jacobian, show it is symplectic.

Need to figure out how  $f$  acts on momentum space

$$(p, t) \mapsto R(t)p$$

⇒ General Jacobian is  $R(t) \oplus R(t)$ .

$$\underbrace{\begin{bmatrix} R(t)^{-1} & 0 \\ 0 & R(t)^{-1} \end{bmatrix}}_{(R(t) \oplus R(t))^T} \underbrace{\begin{bmatrix} 0 & -\mathbb{1} \\ \mathbb{1} & 0 \end{bmatrix}}_{R(t) \oplus R(t)} = \begin{bmatrix} 0 & -\mathbb{1} \\ \mathbb{1} & 0 \end{bmatrix} = \mathbb{1} \checkmark$$

Alternatively: Via a generator for the transf.  $\mathcal{S}$

$$S(x, \tilde{p}, t) := \langle \tilde{p}, \underbrace{R(t)^T (x - b)}_{\tilde{x}} \rangle$$

$$\Rightarrow \frac{\partial S}{\partial \tilde{p}} = \tilde{x} \quad \checkmark$$
$$\frac{\partial S}{\partial x} = R(t) \tilde{p} = p \quad \checkmark$$

}  $S$  is a generating function!

$\Rightarrow$  The transf. is canonical:

$$\tilde{p} = R(t)^T p$$
$$\tilde{x} = R(t)^T (x - b(t))$$

b) Claim:  $\tilde{H} = \frac{\tilde{p}^2}{2m} + (\tilde{\omega} \times \tilde{p}) \tilde{x} - R \tilde{p} \cdot \tilde{b}$  where  $\tilde{\omega} \times \tilde{p} = R^T \dot{R} \tilde{p}$ .

Proof: We know  $\partial_t S = \tilde{H} - H$ , and  $H = \frac{p^2}{2m}$ .

$$\Rightarrow \tilde{H} = \frac{p^2}{2m} + \partial_t S$$

$$\partial_t S = \langle \dot{R} \tilde{p}, x - b \rangle - \langle \dot{R} \tilde{p}, b \rangle$$
$$= \langle \underbrace{R^T \dot{R} \tilde{p}}_{\tilde{\omega} \times \tilde{p}}, \tilde{x} \rangle - \langle R \tilde{p}, \dot{b} \rangle$$

$\dot{R}^T (b + \tilde{b}) = R^T \dot{b}$

$$- \langle \dot{R} \tilde{p}, b \rangle - \langle \dot{R} \tilde{p}, \tilde{b} \rangle = - \langle R \tilde{p}, \dot{b} \rangle \Rightarrow$$

c) Claim: The fictitious forces are given by (1.26) with  $k=0$ .