

Analysis 1

Colloquium of the Sixth Week

Metric Spaces on S^2 and $\mathbb{R}P^2$

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1 Preface

1.1 Recall What a Metric Is

Let X be a set. A metric d on X is a map $d : X \times X \rightarrow \mathbb{R}$ such that $\forall (p, q) \in X^2$:

1. $p \neq q \implies d(p, q) > 0$
2. $d(p, p) = 0$
3. $d(p, q) = d(q, p)$
4. $d(p, q) \leq d(p, r) + d(r, q)$ for any $r \in X$.

• Examples:

1. *Claim:* Let X be a set and let d be a metric on X . Let $A \subseteq X$ be a subset of X . Then d is also a metric on A .
2. *Claim:* If $\|\cdot\|$ is the Euclidean norm on \mathbb{R}^n (that is, $\|v\| \equiv \sqrt{\sum_{j=1}^n |v_j|^2}$) then $d(u, v) := \|u - v\|$ is a metric on \mathbb{R}^n , that is,

$$d(u, v) = \sqrt{\sum_{j=1}^n |u_j - v_j|^2}$$
Proof: homework.
3. Let X be the set of all words in English. Each English word can be encoded as a finite sequence of digits $(w_i)_{i=1}^{N_w}$ where $w_i \in \{a, b, c, \dots, x, y, z\}$ for all $i \in \{1, \dots, N_w\}$. For example, the word “apple” will be the sequence $w_1 = 'a', w_2 = 'p', w_3 = 'p', w_4 = 'l', w_5 = 'e'$ and we would also have $N_w = 5$. The Levenshtein metric measures the minimum number of single-character edits (i.e. insertions, deletions or substitutions) required to change one word into the other. Define for any two words $(w_i)_{i=1}^{N_w}$ and $(z_i)_{i=1}^{N_z}$ their distance as:

$$d_{Lev} \left((w_i)_{i=1}^{N_w}, (z_i)_{i=1}^{N_z} \right) := \begin{cases} \max(\{N_w, N_z\}) & \text{if } \min(\{N_w, N_z\}) = 0 \\ \min \left(\begin{cases} d_{Lev} \left((w_i)_{i=1}^{N_w-1}, (z_i)_{i=1}^{N_z} \right) + 1, \\ d_{Lev} \left((w_i)_{i=1}^{N_w}, (z_i)_{i=1}^{N_z-1} \right) + 1, \\ d_{Lev} \left((w_i)_{i=1}^{N_w-1}, (z_i)_{i=1}^{N_z-1} \right) + (1 - \delta_{w_{N_w}, z_{N_z}}) \end{cases} \right) & \text{otherwise} \end{cases}$$

A few examples are in order:

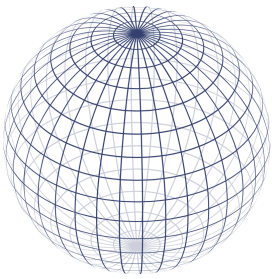
- The distance between any word of length N and the empty word is just the length of the first word, namely, N .
- The distance between “book” and “back” is 2, because we had to change two characters to get from one to the other.
- The distance between two identical words is 0 (as you could verify by using the formula), and two non-identical strings will always have a greater distance than zero.

Proof: homework.

1.2 Two Important Sets

1.2.1 The 2-Sphere S^2

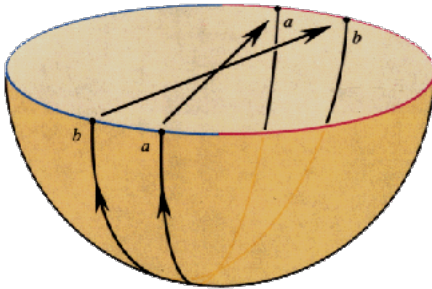
We define a subset of \mathbb{R}^3 , the two-sphere, defined as $S^2 \equiv \{x \in \mathbb{R}^3 \mid d(x, 0) = 1\}$, where d is the Euclidean metric as defined above.



- We can think of the two-sphere as the product of a “one point compactification” of \mathbb{C} or \mathbb{R}^2 , $\mathbb{C} \cup \{\infty\}$.
- The set S^2 is compact in the sense that it is closed and bounded: Closed in the sense that its complement $\mathbb{R}^3 \setminus S^2$ is open (because given any point not on the surface of the two-sphere in \mathbb{R}^3 , we can always find a small enough open ball around that point in \mathbb{R}^3 that will not touch the two-sphere), and bounded in the sense that we can always put it in a large enough box and it will be completely contained inside of it.
- Note how this was not true for the set we started with \mathbb{R}^2 : it was not bounded—you couldn’t put it inside any large enough box.
- This “compactification” is done via the stereographic projection: $(X, Y) = \left(\frac{x}{1-z}, \frac{y}{1-z} \right)$ where X and Y are now coordinates in \mathbb{R}^2 where as x, y and z are coordinates in \mathbb{R}^3 or $(x, y, z) = \left(\frac{2X}{1+X^2+Y^2}, \frac{2Y}{1+X^2+Y^2}, \frac{-1+X^2+Y^2}{1+X^2+Y^2} \right)$ going the other way around. This is almost a bijection, except that the point $z = 1$ gets sent outside of \mathbb{R}^2 as you can see.

1.2.2 The Real Projective Plane $\mathbb{R}P^2$

- The real projective plane $\mathbb{R}P^2$ is equivalently as either one of the following:
 - The set of all straight lines going through the origin in the plane \mathbb{R}^3 (so we care only about the direction of the lines: that is the information that is being retained by the elements of the set).
 - The two-sphere S^2 with antipodal points identified (that means, if two points are antipodal then they are the same element).
 - All the points in the southern hemisphere of S^2 union with its boundary (a circle), but on the boundary, antipodal points again identified:



- All points in the interior of the two-disk, union with the boundary, but the boundary (a circle) having antipodal points identified.
- See how this is again a compactification of \mathbb{R}^2 or \mathbb{C} , but this time it’s certainly not a “one-point compactification”: we add all the points on a circle at the boundary at infinity, that is, all possible directions in which a line can go to infinity, which is infinitely many points at infinity.
- This is part of a larger topic called projective geometry: a way to do geometry where all lines intersect. This means parallel lines must intersect somewhere: they intersect at infinity.

2 Metrics on \mathbb{R}^2 Induced by Metrics on S^2 and $\mathbb{R}P^2$

2.1 Metrics Induced from S^2

We will define some metrics on S^2 and see what kind of distance they correspond to in \mathbb{R}^2 when performing the stereographic projection back.

2.1.1 Euclidean Metric on \mathbb{R}^3 and Thus on S^2 —Woodworm Metric

The Euclidean metric on \mathbb{R}^3 , $d_{Euc}(u, v) \equiv \sqrt{(u_x - v_x)^2 + (u_y - v_y)^2 + (u_z - v_z)^2}$ induces a metric on S^2 , because $S^2 \subset \mathbb{R}^3$.

- What kind of metric does this induce on \mathbb{R}^2 via the stereographic projection back?

2.1.2 Arclength Metric on S^2 –Ant Metric

The arclength on the sphere of radius 1 is just the angle spanned by two given unit-vectors.

$$\begin{aligned}d_{arcl}(u, v) &:= \arccos(u \cdot v) \\ &\equiv \arccos(u_x v_x + u_y v_y + u_z v_z)\end{aligned}$$

. This is because $u \cdot v = \|u\| \|v\| \cos(\alpha)$ where α is the angle between u and v . However, as u and v lie on S^2 , they have norm 1.

- *Claim:* This indeed defines a metric on S^2 .
- What kind of metric does this induce on \mathbb{R}^2 via the stereographic projection back?

2.1.3 Discrete Metric on S^2 –Flea Metric

Define

$$d_{discr}(u, v) := \begin{cases} 0 & u = v \\ 1 & u \neq v \end{cases}$$

- *Claim:* This indeed defines a metric on S^2 .
- What kind of metric does this induce on \mathbb{R}^2 via the stereographic projection back?

2.1.4 The Floor Arclength Metric on S^2 –Small Flea Metric

Define

$$d_{farclength}(u, v) := \lfloor d_{arcl}(u, v) \rfloor$$

where $\lfloor x \rfloor$ is the largest integer larger than or equal to x : $\lfloor 3.14 \rfloor = 3$ and $\lfloor 0.9 \rfloor = 0$.

- *Claim:* This indeed defines a metric on S^2 .
- What kind of metric does this induce on \mathbb{R}^2 via the stereographic projection back?

2.1.5 Post Office Metric on S^2

Define

$$d_{post\ office}(u, v) := \begin{cases} d_{arcl}(0, u) + d_{arcl}(0, v) & u \neq v \\ 0 & u = v \end{cases}$$

- *Claim:* This indeed defines a metric on S^2 .
- What kind of metric does this induce on \mathbb{R}^2 via the stereographic projection back?

2.2 Metrics Induced from $\mathbb{R}P^2$

For each metric d on S^2 , we can define a metric on $\mathbb{R}P^2$ via the following:

- If we employ the characterization of $\mathbb{R}P^2$ as the set of all points of S^2 where antipodal points are identified, then we can write $\mathbb{R}P^2 = \{ \{x, -x\} \subset \mathbb{R}^3 \mid x \in S^2 \}$.
- Using this characterization, define the distance between two “points” $\{u, -u\}$ and $\{v, -v\}$ as:

$$d_{\mathbb{R}P^2}(\{u, -u\}, \{v, -v\}) := \min(d(u, v), d(-u, v), d(u, -v), d(-u, -v))$$

- *Claim:* This indeed defines a metric on $\mathbb{R}P^2$, given that d is a bonafide metric on S^2 .
- For each of the examples above given for S^2 , what kind of metric does this induce on $\mathbb{R}P^2$?