

Q1

Energy Conditions

Let  $M$  be a 4-dim pseudo-Riem. manifold w/ metric  $g$  of signature  $+1, -1, -1, -1$ .

Let  $p \in M$  be given, and  $\{e_\alpha\}_\alpha$  a basis of  $T_p M$  with  $g_p(e_\alpha, e_\beta) = \eta_{\alpha\beta}$  with  $\eta = \text{diag}(1, -1, -1, -1)$ .

The 4-velocity of an observer at rest is  $e_0$ .

i) Def: The cone of future-oriented, timelike or light-like vectors is  $\bar{V}^+ := \{v \in T_p M \mid g_p(v, v) \geq 0 \wedge v_0 \geq 0\}$

where  $v_0 \in \mathbb{R}$  is the component of  $v$  in  $\{e_\alpha\}_\alpha$ :  $v_0 = v(e_0^*)$ .

Cl:  $\forall v \in T_p M, \{v \in \bar{V}^+ \Leftrightarrow [g_p(v, w) \geq 0 \forall w \in \bar{V}^+]\}$

Pf:  $\Rightarrow$  Let  $v \in \bar{V}^+, w \in \bar{V}^+$ . Want  $g_p(v, w) \geq 0$ .  
 Know  $g_p(v, v) \geq 0, v_0 \geq 0, g_p(w, w) \geq 0, w_0 \geq 0$ .

$$g_p(v, w) \geq 0 \Leftrightarrow v_0 w_0 \geq v_1 w_1 + v_2 w_2 + v_3 w_3$$

By Cauchy-Schwarz,  $v_1 w_1 + v_2 w_2 + v_3 w_3 \leq (v_1^2 + v_2^2 + v_3^2)^{1/2} \times (w_1^2 + w_2^2 + w_3^2)^{1/2}$   
 $\leq (v_0^2)^{1/2} (w_0^2)^{1/2} \leq v_0 w_0$   
 $v_0 \geq 0, w_0 \geq 0$

$\Leftarrow$  Let  $v \in T_p M : g_p(v, w) \geq 0 \forall w \in \bar{V}^+$ . Want  $g_p(v, v) \geq 0 \wedge v_0 \geq 0$ .

Define  $w \in T_p M$  with components  $(1, \frac{v_i}{(v_1^2 + v_2^2 + v_3^2)^{1/2}})$ .

Cl:  $w \in \bar{V}^+$   
 Pf:  $w_0 = 1 > 0$  ✓  
 $g_p(w, w) = 1 - 1 = 0 \geq 0$  ✓

$\Rightarrow g_p(v, w) \geq 0$   
 But  $g_p(v, w) = v_0 - (v_1^2 + v_2^2 + v_3^2)^{1/2}$   
 $\Rightarrow v_0 \geq 0$  and  $v_0^2 - v_1^2 - v_2^2 - v_3^2 \geq 0$  ✓

ii) Let  $(a, b) \in \mathbb{R}^2$ .  $u \in T_p M : g_p(u, u) > 0$  (timelike).

Define  $T := a u^b + b u^a - b g_p(u, u) g$ .

Cl:  $[\forall v \in T_p M, g_p(v, v) \geq 0 \Rightarrow g_p(v, T v) \geq 0] \Leftrightarrow a > 0 \wedge b \leq a$ .

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Let  $v \in T_p M$  be given s.t.  $g_p(v, v) \geq 0$ .

$$Tv = a \underbrace{u^b}_{g_p(u, v)} u^b - b g_p(u, u) g_p(v, \cdot)$$

$$(Tv)^\# = a g_p(u, v) u - b g_p(u, u) v$$

$$\begin{aligned} \Rightarrow g_p(v, (Tv)^\#) &= a g_p(u, v) g_p(v, u) - b g_p(u, u) g_p(v, v) \\ &= a (g_p(u, v))^2 - b g_p(u, u) g_p(v, v) \\ &= a (g_p(u, v))^2 - g_p(u, u) g_p(v, v) + (a-b) \underbrace{g_p(u, u)}_{>0} \underbrace{g_p(v, v)}_{\geq 0} \end{aligned}$$

Cl:  $g_p(u, v)^2 \geq g_p(u, u) g_p(v, v)$

Pr: WLOG we may assume  $\{e_\alpha\}_\alpha$  has been chosen s.t.

$$u = u_0 e_0 \quad \exists u_0 \in \mathbb{R}$$

$$\text{Then } g_p(u, v)^2 = u_0^2 v_0^2, \quad g_p(u, u) = u_0^2,$$

$$\begin{aligned} \Rightarrow u_0^2 v_0^2 &\geq u_0^2 (v_0^2 - \|v\|^2) \\ 0 &\geq -\|v\|^2 \quad \checkmark \end{aligned}$$

Since these two terms may be made to equal zero independently of one another, we find the result.

iii)

Cl:  $T_{00} \geq 0$  in every basis (for some (0,2)-tensor T) iff  $\forall v \in T_p M : g_p(v, v) \geq 0, \quad g_p(v, (Tv)^\#) \geq 0$

Pr: Since the 4-velocity  $u$  of an observer at rest is  $e_0$  in a basis  $\{e_\alpha\}_\alpha$ ,  $T_{00} = g_p(u, (Tu)^\#)$ .

To generalize from  $u=e_0$ , we simply need to pick any  $u$  with  $g_p(u, u) \geq 0$ .

iv) Let T be a (0,2)-tensor.

Cl:  $T_{00} + \sum_{i=1}^3 T_{ii} \geq 0$  in every basis iff  $T(v, v) - \frac{1}{2} \text{tr}(T) g(v, v) \geq 0$  for every  $v \in T_p M : g_p(v, v) \geq 0$ .

Pr: Recall that since T is a (0,2)-tensor, its trace

$$g_p(v, (v)^\#) = T(v, v) \geq 0 \quad \left. \vphantom{g_p(v, (v)^\#)} \right\} \forall v \in T_p M : g_p(v, v) \geq 0$$

$$g_p((v)^\#, (v)^\#) \geq 0$$

Pf.: The 1<sup>st</sup> condition has already been demonstrated in (ii).

The 2<sup>nd</sup> condition is

$$g_p(T(e_0, \cdot), T(e_0, \cdot)) = g_p((Te_0)^\#, (Te_0)^\#).$$

Note: The above cond. is also equivalent to  $T(e_0, \cdot) \in \bar{V}^+$ .

Q.: The above cond. is also equivalent to  $T_{00} \geq |T_{0i}| \forall \alpha, \beta$ , in any basis.

Pf.: Let  $v \in T_p M$  be given with  $g_p(v, v) \geq 0$ .

Then we assume  $T(v, \cdot) \in \bar{V}^+$ .

By (i), we know that equivalent to  $g_p(T(v, \cdot)^\#, w) \geq 0 \forall w \in \bar{V}^+$ .

$\Rightarrow$  Pick  $v_0 = w_0 = 1$  (so  $\|v_0\| \leq 1, \|w_0\| \leq 1$ ). Then

$$g_p(T(v_0, \cdot)^\#, w) = T(v_0, w) = T_{\alpha\beta} v_0^\alpha w^\beta \geq 0 +$$

with  $v = w = 0$ , we get  $T_{00} \geq 0$ , which is (iii).

with  $v = \pm e_i, w = 0$  we get  $T_{00} \pm T_{0i} \geq 0 \Leftrightarrow T_{00} \geq |T_{0i}|$ .

with  $v = \pm e_i, w = \pm e_k$  we get  $T_{00} \pm (T_{0i} + T_{0k}) + T_{ik} \geq 0$ .

$\oplus \Rightarrow T_{00} + T_{ik} \geq 0$  and  $T_{00} - T_{ik} \geq 0$ .

$\Leftarrow$  We may perform Euclidean on  $\vec{e}$  to get  $T_{0i} = T_{0j} = 0$  w.l.o.g. Then  $T_{00} \geq |T_{0i}|$  implies  $T_{00} \geq 0$  and  $T_{00}$  is timelike  $\checkmark$

(vi) Perfect Fluid  $T \equiv (\epsilon + p) u^\alpha u^\beta - p g_{\alpha\beta}$   $\text{tr}(T) = \epsilon + p - 4p = \epsilon - 3p$   
 $\rightarrow T$  as in (ii) with  $a = \epsilon + p, b = p$  ( $p$  pressure,  $\epsilon$  energy density).

$\otimes$  (iii) implies (by (i)) that  $\boxed{\epsilon + p > 0}$  and  $\boxed{p \leq \epsilon + p}$   
 $\uparrow$   
 $\boxed{\epsilon \geq 0}$

$$\rightarrow T - \frac{1}{2} \text{tr}(T) g = (\epsilon + p) u^\alpha u^\beta - p g - \frac{1}{2} (\epsilon - 3p) g$$

$$= (\epsilon + p) u^\alpha u^\beta - \frac{1}{2} (\epsilon - p) g \quad \text{as in (ii) with } a = \epsilon + p, b = \frac{1}{2} (\epsilon - p)$$

$\otimes$  (iv) implies (by (i)) that  $\epsilon + p > 0$  and  $\epsilon + p - \frac{1}{2} (\epsilon - p) \geq 0 \Leftrightarrow \boxed{\epsilon + 3p \geq 0}$

needs to be defined by first converting one of its slots to a covector using the metric. 3

In a basis  $\{e_\alpha\}_n$  as above,

$$T = T(e_\alpha, e_\beta) e_\alpha^* \otimes e_\beta^* \quad \text{where } e_\alpha^* \equiv e_\alpha^b$$

$$\Rightarrow T(g_p(\cdot, \cdot), \cdot) = T(e_\alpha, e_\beta) e_\alpha \otimes e_\beta^*$$

$$\begin{aligned} \text{tr}(T) &= T(e_\alpha, e_\beta) \text{tr}(e_\alpha \otimes e_\beta^*) = T(e_\alpha, e_\beta) g_p(e_\alpha, e_\beta) \\ &= T_{00} - T_{11} - T_{22} - T_{33} \end{aligned}$$

$$\text{OTOH, } T(e_0, e_0) - \frac{1}{2} \text{tr}(T) g(e_0, e_0) =$$

$$= T_{00} - \frac{1}{2} (T_{00} - T_{11} - T_{22} - T_{33}) g_{00}$$

$$\stackrel{g_{00}=1}{\Rightarrow} \frac{1}{2} (T_{00} + T_{11} + T_{22} + T_{33})$$

Again the way to generalize from a particular basis with  $e_0$  is to take any timelike vector  $v \in T_p M$ .

Cl. 0 Let  $T$  be the  $(0,2)$ -energy momentum tensor. Then

$$\left[ T(v, v) - \frac{1}{2} \text{tr}(T) g(v, v) \geq 0 \quad \forall v \in T_p M : g_p(v, v) \geq 0 \right]$$

$\Leftrightarrow$

$$\text{Ricci}(v, v) \geq 0$$

PP. 0 Recall the Einstein field eqns: the

$$\boxed{G = 8\pi T} \quad (*)$$

$$g(X, Y) \equiv \nabla_X Y - \nabla_Y X - \nabla_{[X, Y]}$$

where  $G$  is the Einstein  $(0,2)$ -tensor:

$$G \equiv \text{Ricci} - \frac{1}{2} R g, \quad R \equiv \text{tr}(\text{Ricci})$$

$$\int \text{tr}(Z \mapsto g(Z, X) Y) \equiv \text{Ricci}(X, Y)$$

Take the trace of  $(*)$  to get:

$$\text{tr}(G) = \underbrace{\text{tr}(\text{Ricci})}_{\equiv R} - \frac{1}{2} R \underbrace{\text{tr}(g)}_{=4} = -R$$

$$\Rightarrow -R = -8\pi \text{tr}(T)$$

$$\Rightarrow \text{Ricci} = 8\pi T + \frac{1}{2} R g = 8\pi T - 4\pi \text{tr}(T) g$$

$$\boxed{\text{Ricci} = 8\pi \left( T - \frac{1}{2} \text{tr}(T) g \right)}$$

Alternative formulation of E.F.E.

10) Cl. 0 If  $T$  is a  $(0,2)$ -tensor then the condition  $T_{00} \geq 0$  and  $g_p(T(e_0, \cdot), T(e_0, \cdot)) \geq 0$  in every basis  $\{e_\alpha\}_n$  is equivalent to



$$\rightarrow g((Tu)^{\alpha}(Tu)^{\beta}) = u^{\alpha} T_{\alpha\gamma} g^{\gamma\delta} T_{\delta\epsilon} u^{\epsilon} g^{\epsilon\delta} \\ = g(u, (T^T T)^{\alpha} u) \quad \square 5$$

But  $T$  is symmetric, so  $T^T T = T^2$ .

$$(T^2)_{\alpha\beta} = T_{\alpha\gamma} g^{\gamma\delta} T_{\delta\beta}$$

$$\text{If } T = a u^{\alpha} u^{\beta} - b g_{\alpha\beta} g$$

$$T(g^{\gamma\delta}, -) = a u^{\alpha} u^{\beta} - b g_{\alpha\beta} g$$

$$T^2 = a^2 u^{\alpha} u^{\beta} g_{\alpha\gamma} g^{\gamma\delta} - a b g_{\alpha\beta} g_{\gamma\delta} u^{\alpha} u^{\beta} - b g_{\alpha\beta} g_{\gamma\delta} a u^{\alpha} u^{\beta} + b^2 g_{\alpha\beta} g^{\gamma\delta} g_{\gamma\epsilon} g^{\epsilon\delta}$$

$$= g_{\alpha\beta} g_{\gamma\delta} (a^2 u^{\alpha} u^{\beta} - (-b^2 g_{\alpha\beta} g^{\gamma\delta}))$$

$$\circledast \text{ (i)} \text{ implies (by (ii)) that } \underbrace{g_{\alpha\beta} g_{\gamma\delta} a(a-2b)}_{(E+p)(E-p)} > 0 \\ \underbrace{\hspace{10em}}_{E-p} \\ E^2 - p^2$$

Since  $E \geq 0$ , this implies  $E \geq |p|$

The other condition from (ii) is  $g_{\alpha\beta} g_{\gamma\delta} (E^2 - p^2) + b^2 g_{\alpha\beta} g^{\gamma\delta} \geq 0$  which is always true.  $\checkmark$

### Electromagnetism

$T \equiv$  something

Note  $\text{tr}(T) = 0$  (HW6Q1) so both (iii) and (iv) imply the same condition,  $T_{00} \geq 0$ .

We know for EM,  $T_{00} = \frac{1}{2} (\|\vec{E}\|^2 + \|\vec{B}\|^2)$ , so this is indeed satisfied.

(iv) is also satisfied:

$$T_{0i} = (\vec{E} \wedge \vec{B})_i \quad \text{and} \quad \|\vec{E} \wedge \vec{B}\| \leq \|\vec{E}\| \|\vec{B}\| \leq T_{00} \quad \checkmark$$

The Vacuum with the Cosmological Term  $T = \Lambda g, \Lambda > 0$

$T_{00} = \Lambda > 0 \quad \checkmark \Rightarrow$  (iii) is satisfied.

$T - \frac{1}{2} \text{tr}(T) g = \Lambda g - 2\Lambda g = -\Lambda g$  does not have its 00 component positive!  $\Rightarrow$  (iv) is not satisfied!

$T^2 = \Lambda^2 g \Rightarrow$  (vi) is satisfied.  $\checkmark$

Q2

Bound on the Cosmological Const

$$\text{E.F.E.} \quad \boxed{G_i = 8\pi T} \quad (5.11)$$

with a cosmological const, we get:

$$\boxed{G_i = 8\pi T + \Lambda g}$$

$$(i) \quad G_i \equiv \text{Ricci} - \frac{1}{2} R g$$

$$\Rightarrow \text{tr}(G_i) = -R \Rightarrow -R = 8\pi \text{tr}(T) + 4\Lambda$$

$$\Rightarrow \text{Ricci} + 4\pi \text{tr}(T)g + 2\Lambda g = 8\pi T + \Lambda g$$

$$\boxed{\text{Ricci} = 8\pi (T - \frac{1}{2} \text{tr}(T)g) - \Lambda g}$$

We saw in (5.16) that  $(\text{Ricci})(0,0) \sim \Delta\varphi$ ,  $\varphi$  being the grav. pot.

from the E.F.E. we get

$$(\text{Ricci})_{00} = 8\pi \underbrace{(T_{00} - \frac{1}{2} \text{tr}(T)g_{00})}_{\approx \rho \text{ mass density}} - \Lambda g_{00}$$

$$= 4\pi \rho - \Lambda$$

$$\Rightarrow \boxed{\Delta\varphi = 4\pi\rho - \Lambda}$$

ii) For a point mass  $\rho = M\delta$  (at the origin).

Then one can verify it is solved by

$$\varphi(x) = -\frac{M}{\|x\|} - \frac{1}{6}\Lambda\|x\|^2 \quad (\text{as } \Delta\|x\|^2 = 6)$$

iii)  $-(\nabla\varphi)(x) = -M\frac{x}{\|x\|^3} + \frac{1}{3}\Lambda x$  is the gravitational accel.

Additional term negligible as long as

$$-M\|x\|^{-2} \gg \frac{1}{3}\Lambda\|x\|$$

$$\Leftrightarrow \boxed{\Lambda \ll 3M\|x\|^{-3}}$$

Estimate with  $M = \text{sun mass}$   
 $\|x\| = \text{Pluto's orbit radius}$