

LECTURE NOTES AND EXERCISES FOR  
 THE 2023-2024 COURSE  
 “THE COUNT OF INSTANTONS”  
 AT COLUMBIA UNIVERSITY DEPARTMENT OF  
 MATHEMATICS

NIKITA NEKRASOV

ABSTRACT. The notation  $\square \text{text} \blacksquare$  denotes the exercises.  
 The notation  $\spadesuit \text{text} \diamond$  denotes the definitions.

1. REVIEW OF CLASSICAL MECHANICS

The arena of most (but not all) of *Classical mechanics* is the world of  $\spadesuit$  *symplectic manifolds*  $(\mathcal{P}, \omega)$ , where  $\omega \in Z^2(\mathcal{P})$  is a closed, non-degenerate:  $\omega^{\wedge m} \neq 0$ , two-form on a smooth manifold  $\mathcal{P}$  of dimension

$$(1.1) \quad \dim \mathcal{P} = 2m$$

supplemented by a choice of the *Hamiltonian*  $H \in C^\infty(\mathcal{P}) \diamond$ .

$$(1.2) \quad (\mathcal{P}, \omega, H), \text{ with } ,$$

The symplectic form makes the ring  $A = C^\infty(\mathcal{P})$  of smooth functions a Lie algebra, with the  $\spadesuit$  *Poisson bracket* given by

$$(1.3) \quad \{f, g\} = \iota_\pi(df \wedge dg),$$

with the Poisson bi-vector  $\pi = \omega^{-1} \diamond$

$\square$  The closedness  $d\omega = 0$  implies the Jacobi identity for  $\{\cdot, \cdot\}$ :

$$(1.4) \quad \{\{f, g\}, h\} + \{\{g, h\}, f\} + \{\{h, f\}, g\} = 0 \quad \blacksquare$$

Examples:

(1)  $\mathcal{P} = T^*X$  where  $X$  is any manifold,

$$(1.5) \quad \omega = d\theta$$

with  $\theta \in \Omega^1(T^*X)$ -canonical 1-form

$$(1.6) \quad \theta_{(p,q)}(\xi) = p(\pi_*\xi)$$

where  $q \in X$ ,  $p \in T_q^*X$ ,  $\xi \in T_{(p,q)}T^*X$ ,  $\pi : T^*X \rightarrow X$  is the projection, and  $\pi_*\xi \in T_qX$  is the projection of the vector tangent to  $T^*X$  to the base tangent vector.

- (2) Let  $(M, \omega_M)$  be a symplectic manifold. Then  $(\mathcal{P} = T^*M, \omega_{\mathcal{P}} = d\theta + k\pi^*\omega_M)$  defines a family of symplectic manifolds. The corresponding evolution is sometimes called a *motion in magnetic field*.
- (3) Let  $G$  be a simple Lie group,  $\mathfrak{g} = \text{Lie}G$  its Lie algebra, and  $\mathfrak{g}^*$  the dual space. Let  $\xi \in \mathfrak{g}$ . Define  $\mathcal{P} = \mathcal{O}_\xi := \{Ad_g^*\xi \mid g \in G\}$  be the coadjoint orbit (of  $\xi$ ). It carries the canonical Kirillov-Kostant symplectic form. Let us define it through the Poisson brackets of functions on  $\mathcal{P}$ :

$$(1.7) \quad \{f_1, f_2\}(x) = x([df_1, df_2])$$

Here the functions  $f_{1,2} : \mathfrak{g}^* \rightarrow \mathbb{R}$  have differentials  $df_{1,2}$  which, at the point  $x \in \mathcal{P} \subset \mathfrak{g}^*$  are the linear functions on  $T_x\mathfrak{g}^* \approx \mathfrak{g}^*$ , i.e. (for finite dimensional vector spaces  $V \approx V^{**}$ ) elements  $v_{1,2} \in \mathfrak{g}$ . We then evaluate  $x$ , as a linear function on  $\mathfrak{g}$ , on the commutator  $[v_1, v_2]$ .  $\square$  Show (1.7) is invertible i.e. corresponds to a symplectic form  $\blacksquare$ .

- (4) Specifically, let  $G = SU(N)$ . We can view  $G$  as a subgroup of the group  $U(N)$  of automorphisms of the  $N$ -dimensional vector space  $\mathbf{N} \approx \mathbb{C}^N$  endowed with a hermitian form, i.e. sesquilinear non-degenerate pairing  $\langle \cdot, \cdot \rangle : \mathbf{N} \times \mathbf{N} \rightarrow \mathbb{C}$ , obeying

$$(1.8) \quad \langle xv_1, yv_2 \rangle = \bar{x}y\langle v_1, v_2 \rangle, \quad \langle v_1, v_2 \rangle = \overline{\langle v_2, v_1 \rangle}$$

for any  $x, y \in \mathbb{C}$ ,  $v_1, v_2 \in V$ . So,  $g \in GL(\mathbf{N})$  belongs to  $U(N)$  if for any  $v_1, v_2 \in V$

$$(1.9) \quad \langle gv_1, gv_2 \rangle = \langle v_1, v_2 \rangle$$

The subgroup  $G$  is singled out by the condition  $\det(g) = 1$ . In other words, special unitary transformations preserve a volume form  $\Omega \in \Lambda^N \mathbf{N}^*$ , in addition to the hermitian form. Now recall that the operator  $A \in \text{End}(V)$  is called hermitian, if for any  $v_1, v_2 \in V$

$$(1.10) \quad \langle v_1, Av_2 \rangle = \langle Av_1, v_2 \rangle$$

Let us choose a basis  $\mathbf{e}_1, \dots, \mathbf{e}_N$ , orthonormal with respect to the hermitian form:

$$(1.11) \quad \langle \mathbf{e}_i, \mathbf{e}_j \rangle = \delta_{i,j}$$

In this basis the operators  $g, A$  have the associated matrices  $\|g_{i\bar{j}}\|, \|A_{i\bar{j}}\|$ ,

$$(1.12) \quad g_{i\bar{j}} = \langle \mathbf{e}_i, g\mathbf{e}_j \rangle, \quad A_{i\bar{j}} = \langle \mathbf{e}_i, A\mathbf{e}_j \rangle$$

(the bar on  $\bar{j}$  signifies the different role  $\mathbf{e}_i$  and  $\mathbf{e}_j$  play in the right hand side of the equation).

□ Show the unitarity of  $g$  and hermiticity of  $A$  is equivalent to the set of equations

$$(1.13) \quad \begin{aligned} gg^\dagger = \mathbf{1}_N &\Leftrightarrow \sum_{j=1}^N g_{i\bar{j}} g_{j\bar{k}} = \delta_{i\bar{k}}, \quad i, \bar{k} = 1, \dots, N \\ A = A^\dagger &\Leftrightarrow A_{i\bar{j}} = \overline{A_{j\bar{i}}} \quad \blacksquare \end{aligned}$$

The Lie algebra  $\mathfrak{g} = LieU(N)$  is the vector space of all anti-hermitian operators in  $\mathbf{N}$ :

$$(1.14) \quad B \in \mathfrak{g} \Leftrightarrow \langle v_1, Bv_2 \rangle + \langle Bv_1, v_2 \rangle = 0$$

Of course, if  $B$  is antihermitian, then  $A = iB$  is hermitian and vice versa. The Lie algebra of  $SU(N)$  is a subspace of all traceless antihermitian matrices. Consider the set of all hermitian operators with fixed eigenvalues  $\lambda_1, \dots, \lambda_N \in \mathbb{R}$ :

$$(1.15) \quad \mathcal{O}_{\lambda_1, \dots, \lambda_N} = \left\{ A \mid \text{Det}(\lambda - A) = \prod_{i=1}^N (\lambda - \lambda_i) \right\}$$

Using the pairing

$$(1.16) \quad \langle A, B \rangle := \text{itr}_{\mathbf{N}} AB$$

we identify  $\mathfrak{g}^*$  with the space of Hermitian operators in  $\mathbf{N}$ . Thus,  $\mathcal{O}_{\lambda_1, \dots, \lambda_N} \subset \mathfrak{g}^*$  is a coadjoint orbit of  $U(N)$ . To make it into the coadjoint orbit of  $SU(N)$  we need to descend to the quotient of the space of all Hermitian matrices by the action of  $\mathbb{R}$  of shifts by a scalar operator:

$$(1.17) \quad B \sim B + b \cdot \mathbf{1}_N, \quad b \in \mathbb{R}$$

We can fix the representative by demanding that the  $B$  operators are also tracefree,  $\text{tr}B = 0$ . Thus, let

$$(1.18) \quad \lambda_1 + \dots + \lambda_N = 0$$

Then,  $\mathcal{O}_{\lambda_1, \dots, \lambda_N} \subset \mathfrak{su}(N)^*$  is a coadjoint orbit of  $SU(N)$ . *Flag varieties, Grassmannians, projective spaces.*

- (5) As is customary in theoretical physics we shall take the above definitions and try our best in extending them to the infinite-dimensional settings. The loop space  $LX = \text{Maps}(S^1, X)$  of smooth maps of a circle to a Riemannian manifold  $(X, g_X)$  carries a closed two-form  $\Omega_{LX}$ . At some loop  $\gamma \in LX$  its value on a pair of vectors  $\xi_1, \xi_2 \in \Gamma(S^1, \gamma^*TX)$  is given by:

$$(1.19) \quad \Omega_{LX}(\xi_1, \xi_2) = \int_{S^1} \gamma^* g_X(\xi_1, \nabla \xi_2)$$

where  $\nabla$  is the pull-back by  $\gamma$  of the Levi-Civita connection on  $TX$  defined by the metric  $g_X$ . □ Is  $\Omega_{LX}$  a symplectic form? ■.

- (6) This example can be generalized to the case of  $\mathcal{P} = Maps(M, X)$ , where  $M$  is a compact manifold of dimension  $n$ , endowed with a closed  $n - 1$ -form  $\nu_M$ . We define

$$(1.20) \quad \Omega_{XM}(\xi_1, \xi_2) = \int_M \nu_M \wedge \gamma^* g_X(\xi_1, \nabla \xi_2)$$

- (7) Let  $(M, \mu_M)$  be a compact manifold endowed with a volume form  $\mu_M \in \Omega^{\dim(M)}(M)$ ,  $\mu_M \neq 0$ , and let  $(X, \omega_X)$  be a symplectic manifold. Define  $\mathcal{P} = Maps(M, X)$ , and endow it with the symplectic form, s.t. at  $\gamma : M \rightarrow X$  and  $\xi_1, \xi_2 \in \Gamma(M, \gamma^* TX)$

$$(1.21) \quad \Omega_{XM}(\xi_1, \xi_2) = \int_M \mu_M \gamma^* \omega_X(\xi_1, \xi_2)$$

**1.1. Hamilton equations.** Now let us put the function  $H \in A$  to a good use. The differential  $dH$  is a 1-form on  $\mathcal{P}$ . Define the Hamiltonian vector field  $V_H$  by:

$$(1.22) \quad \iota_{V_H} \omega = dH \Leftrightarrow V_H = \iota_{\omega^{-1}} dH$$

Examples:

- (1) Let  $X$  be any manifold and  $v \in Vect(X)$  a vector field. Let  $\mathcal{P} = T^*X$  with  $\omega = d\theta$ , and  $H(p, q) = p(v(q))$ ,  $q \in X$ ,  $p \in T_q^*X$ . The corresponding vector field  $V_H$  covers the vector field  $v$  on  $X$ .  $\square$  Compute  $V_H$ .  $\blacksquare$
- (2) Let  $(X, g)$  be a Riemannian manifold. We view the metric  $g$  as a smooth map  $TX \rightarrow T^*X$ . Then  $(\mathcal{P} = T^*X, \omega = d\theta, H)$  with

$$(1.23) \quad H = \frac{1}{2}(p, g^{-1}p)$$

defines the Hamiltonian system, covering the *geodesic flow* on  $X$ <sup>1</sup>

- (3) Again, let  $(X, g)$  be a Riemannian manifold and  $U \in C^\infty(X)$ . Then

$$(1.26) \quad H(p, q) = \frac{1}{2}(p, g(q)^{-1}p) + U(q)$$

describes a particle on  $X$  moving in the field of the potential  $U$ .

<sup>1</sup>♠ A geodesic curve on a Riemannian manifold  $(X, g)$  is the extremum of the functional

$$(1.24) \quad L = \int_U \sqrt{g(\dot{\ell}, \dot{\ell})} dt$$

on the space  $Maps(U, X)/Diff_+(U)$  of oriented curves in  $X$ . Here  $U \subset \mathbb{R}_t$  is a connected domain, and  $\ell : U \rightarrow X$  a smooth map. One can impose Dirichlet boundary conditions:  $\ell(\partial U) = \{x_s, x_t\}$  with two points  $x_s, x_t \in X$ . Analogously, a minimal surface in  $X$  is the extremum of the functional

$$(1.25) \quad A = \int_U \sqrt{g(\dot{\ell}, \dot{\ell})g(\ell', \ell') - g(\dot{\ell}, \ell')^2} dt ds$$

on the space  $Maps(U, X)/Diff_+(U)$  of oriented surfaces in  $X$ . Here  $U \subset \mathbb{R}_{t,s}^2$  is a connected domain, and  $\ell : U \rightarrow X$  a smooth map. One can impose Dirichlet boundary conditions:  $\ell(\partial U) = \gamma$ ,  $\gamma \subset X$ , or Neumann boundary conditions:  $\nabla_n \ell|_{\partial U} = 0$ .  $\diamond$

- (4) An important special case of the system above is ♠ the generalized harmonic oscillator (or a system of  $m$  oscillators):  $X = T^*Q$ ,  $Q = \mathbb{R}^m$ ,  $\omega = \sum_{i=1}^m dp_i \wedge dq^i$ ,

$$(1.27) \quad H = \frac{1}{2} \sum_{i,j=1}^m g^{ij} p_i p_j + \sum_{i,j=1}^m K_i^j p_j q^i + \frac{1}{2} \sum_{i,j=1}^m U_{ij} q^i q^j$$

where we assume the non-degenerate positive definite metric  $g_{ij}$  on  $Q$ , whose inverse  $g^{ij}$  appears as the *kinetic term* in (1.27). We also assume the second metric  $U_{ij}$  on the same  $Q$ , defining the *potential term*. The cross-term  $(p, Kq)$  depends on a choice of linear operator  $K : V \rightarrow V$ . The operator  $K$  can be decomposed as a sum of  $g$ -symmetric and  $g$ -antisymmetric parts:

$$(1.28) \quad K = K^s + K^a, \quad gK^s = (K^s)^t g, \quad gK^a = -(K^a)^t g$$

where we viewed the metric  $g$  as the symmetric map  $g : V \rightarrow V^*$ ,  ${}^2 g^t = g$ . The symmetric part  $K^s$  can be eliminated from  $H$  by a canonical transformation:

$$(1.29) \quad p \mapsto p - g(K^s q)$$

$$(1.30) \quad \sum d(g_{il} K_m^l q^m) \wedge dq^i = 0$$

What is the meaning of  $K^a$ ? Define  $\Omega = gK^a$ ,  $\Omega^t = -\Omega$ . Then we can re-write the Hamiltonian as <sup>3</sup>:

$$(1.31) \quad H = \frac{1}{2} g^{ij} (p_i + \Omega_{ik} q^k) (p_j + \Omega_{jl} q^l) + \frac{1}{2} V_{ij} q^i q^j$$

where  $V$  is related to  $U$  in an obvious way. Finally, we can reinterpret (1.32) as the Hamiltonian evolution of a system of oscillators with standard kinetic and potential terms

$$(1.32) \quad \tilde{H} = \frac{1}{2} g^{ij} p_i p_j + \frac{1}{2} V_{ij} q^i q^j$$

but with a modified symplectic form:

$$(1.33) \quad \omega_{\text{mr}} = dp_i \wedge dq^i + \frac{1}{2} \Omega_{ij} dq^i dq^j$$

This modification is sometimes called *magnetic field-rotating frame*.  
□ Why? ■.

- (5) Now let us assume  $X$  is endowed with a closed two-form  $F$ . Let  $\mathcal{P} = T^*X$  with  $\omega = d\theta + \pi^*F$ . □ Compute  $V_H$  for  $H$  given by the Eq. (1.26). ■

<sup>2</sup>♠ For a linear map  $A : V_1 \rightarrow V_2$  we denote by  $A^t$  the canonical dual map  $V_2^* \rightarrow V_1^*$ , defined by  $A^t \xi(v) = \xi(Av)$ , for any  $v \in V_1, \xi \in V_2^*$  ◇

<sup>3</sup>♠ Throughout the notes we adopt *Einstein's convention* except where it leads to confusion:  $A^{i\dots} B_{i\dots} := \sum_i A^{i\dots} B_{i\dots}$  ◇

**1.2. Darboux coordinates, action-angle variables.** The local model of  $\mathcal{P}$  is a domain in  $T^*\mathbb{R}^m \approx \mathbb{R}^{2m}$ , with  $\omega = d\theta$ ,  $\theta = \sum_{i=1}^m p_i dq^i$  with  $(q^i)$  coordinates on  $\mathbb{R}^m$ . We can change the coordinates by symplectic (canonical) diffeomorphisms. Let  $g : \mathbb{R}^{2m} \rightarrow \mathbb{R}^{2m}$  preserves  $\omega$ , then

$$(1.34) \quad g^*\theta - \theta = dS$$

for some function  $S$ . In coordinates:

$$(1.35) \quad \sum_{i=1}^m P_i dQ^i - p_i dq^i = dS$$

thus a function of  $m + m$  variables *old and new*  $q$ 's  $S = S(Q, q)$  is all is needed to *generate* the symplectomorphism  $g$  (a beautifully non-invariant yet useful formalism):

$$(1.36) \quad P_i = \frac{\partial S}{\partial Q^i}, \quad p_i = -\frac{\partial S}{\partial q^i}$$

Examples:

(1) Linear symplectomorphisms are generated by the quadratic functions

$$(1.37) \quad S = \sum_{i,j=1}^m \frac{1}{2} A_{ij} Q^i Q^j + B_{ij} Q^i q^j + \frac{1}{2} C_{ij} q^i q^j$$

(2) In particular, time evolution of a system of harmonic oscillators is described by:

(3) Change of cartesian to polar coordinates on  $\mathbb{R}^2$ :

$$(1.38) \quad (p, q) \mapsto (A, \varphi) \quad A = \frac{p^2 + q^2}{2}, \quad q = \sqrt{2A} \cos(\varphi), \quad p = \sqrt{2A} \sin(\varphi)$$

□ What is its generating function  $S(\varphi, q)$ ? ■

**1.3. Symplectic quotients.** Suppose  $(\mathcal{P}, \omega, H)$  is invariant under the action of a Lie group  $G$ . Moreover, we'll require the action of  $G$  to be Hamiltonian (this is automatic for simply-connected  $\mathcal{P}$  □ why? ■).

A diffeomorphism  $g : \mathcal{P} \rightarrow \mathcal{P}$  is a symplectomorphism if it preserves  $\omega$ :

$$(1.39) \quad g^*\omega = \omega$$

Infinitesimal symplectomorphism is a vector field  $V \in Vect(\mathcal{P})$ , such that

$$(1.40) \quad Lie_V \omega = 0 \leftrightarrow d(\iota_V \omega) = 0$$

A Hamiltonian  $G$ -action on  $\mathcal{P}$  associates to every  $\xi \in \mathfrak{g}$ , a Hamiltonian vector field  $V_\xi$ ,

$$(1.41) \quad \iota_{V_\xi} \omega = dh_\xi$$

with some Hamiltonian function  $h_\xi : \mathcal{P} \rightarrow \mathbb{R}$ . Of course (1.41) defines  $h_\xi$  up to a constant. We can partly restrict the choice of a constant by requiring the map  $\xi \mapsto h_\xi$  be linear. We have the homomorphism condition

$$(1.42) \quad [V_{\xi_1}, V_{\xi_2}] = V_{[\xi_1, \xi_2]}$$

for any  $\xi_1, \xi_2 \in \mathfrak{g}$ . We may want to require that

$$(1.43) \quad h_{[\xi_1, \xi_2]} = \{h_{\xi_1}, h_{\xi_2}\} := \omega(V_{\xi_1}, V_{\xi_2}) = \iota_{\omega^{-1}} dh_{\xi_1} \wedge dh_{\xi_2}$$

This is not always possible. For example, the abelian group  $\mathbb{R}^2$  of translations acts on  $\mathbb{R}^2$  preserving the constant volume 2-form  $dp \wedge dx$ . The Hamiltonians  $h_1, h_2$  are equal to  $p, x$ , respectively. The corresponding vector fields commute, however  $p, x = 1 \neq 0$ .

Algebraically, the problem of finding the constants  $c_\xi$  adjusting  $h_\xi$  so as to obey (1.43) is the question of whether the Lie algebra  $\mathfrak{g}$  has non-trivial second cohomology. Define

$$(1.44) \quad c(\xi_1, \xi_2) = \{h_{\xi_1}, h_{\xi_2}\} - h_{[\xi_1, \xi_2]}$$

As it stands  $c(\xi_1, \xi_2)$  is a function on  $\mathcal{P}$ . However,

$$(1.45) \quad dc(\xi_1, \xi_2) = \iota_{[V_{\xi_1}, V_{\xi_2}]} \omega - \iota_{V_{[\xi_1, \xi_2]}} \omega = 0$$

by Eq. (1.42). Thus,  $c : \Lambda^2 \mathfrak{g} \rightarrow \mathbb{R}$  defines a linear map. It obeys:

$$(1.46) \quad c([\xi_1, \xi_2], \xi_3) + c([\xi_2, \xi_3], \xi_1) + c([\xi_3, \xi_1], \xi_2) = \{h_{[\xi_1, \xi_2]}, h_{\xi_3}\} + \text{cyclic} = 0$$

where we used the linearity of  $\xi \mapsto h_\xi$  map and  $\{h_{[\xi_1, \xi_2]}, \cdot\} = \{\{h_{\xi_1}, h_{\xi_2}\}, \cdot\}$ .

1.3.1. *Cohomology of groups and algebras.* Let us pause to define an interesting cohomology theory. Let  $\mathfrak{g}$  be a Lie algebra, and  $M$  a  $\mathfrak{g}$ -module, i.e. a vector space with the homomorphism  $\rho : \mathfrak{g} \rightarrow \text{End}(M)$ . For  $\xi \in \mathfrak{g}$ ,  $m \in M$  we denote  $\rho(\xi)m$  simply by  $\xi \cdot m$ . Define  $C^i(\mathfrak{g}, M)$  to be the space of all skew-symmetric polylinear functions  $c_{(i)} : \Lambda^i \mathfrak{g} \rightarrow M$ . Define the differential  $\delta : C^i(\mathfrak{g}, M) \rightarrow C^{i+1}(\mathfrak{g}, M)$  by

$$(1.47) \quad \begin{aligned} \delta c_{(i)}(\xi_1 \wedge \dots \wedge \xi_{i+1}) &= \sum_{j=1}^{i+1} (-1)^{j-1} \xi_j \cdot c_{(i)}(\xi_1 \wedge \dots \wedge \widehat{\xi}_j \wedge \dots \wedge \xi_{i+1}) + \\ &\quad \sum_{1 \leq a < b \leq i+1} (-1)^{a+b} c_{(i)}([\xi_a, \xi_b] \wedge \xi_1 \wedge \dots \wedge \widehat{\xi}_a \wedge \dots \wedge \widehat{\xi}_b \wedge \dots \wedge \xi_{i+1}) \end{aligned}$$

□ Check, that ■ it is a differential,  $\delta^2 = 0$ .

One defines ♠ the cohomology groups

$$(1.48) \quad H^i(\mathfrak{g}, M) = (\ker \delta \cap C^i) / (\text{im} \delta \cap C^i) \diamond.$$

Let us now take, as example,  $M = \mathbb{R}$  with a trivial action of  $\mathfrak{g}$ . The  $H^2(\mathfrak{g}, \mathbb{R})$  group is the space of all skew-symmetric bilinear forms  $c(\xi \wedge \eta)$  on  $\mathfrak{g}$  which are closed under the differential

$$(1.49) \quad \delta c(\xi_1 \wedge \xi_2 \wedge \xi_3) = c([\xi_1, \xi_2] \wedge \xi_3) + c([\xi_2, \xi_3] \wedge \xi_1) + c([\xi_3, \xi_1] \wedge \xi_2)$$

modulo  $\delta$ -exact forms  $c \sim c + \delta b$ , where  $\delta b(\xi \wedge \eta) = b([\xi, \eta])$ . For simple Lie algebras  $H^2$  vanishes. For abelian Lie algebra  $\mathfrak{a}$  the space of  $\mathbb{R}$ -valued

$i$ -cocycles is the space of all skew-symmetric  $i$ -linear functions on  $\mathfrak{a}$ , since the commutators vanish implying the vanishing  $\delta$ . In other words,

$$(1.50) \quad H^i(\mathfrak{a}, \mathbb{R}) = \Lambda^i \mathfrak{a}^*$$

Any 2-cocycle  $c \in Z^2(\mathfrak{g}, \mathbb{R})$  defines a *central extension of  $\mathfrak{g}$* , i.e. a new Lie algebra  $\tilde{\mathfrak{g}}$ , which is equal to  $\mathfrak{g} \oplus \mathbb{R}$  as a vector space, with the commutator defined by:

$$(1.51) \quad [(\xi_1, c_1), (\xi_2, c_2)] = ([\xi_1, \xi_2], c(\xi_1 \wedge \xi_2))$$

However, not all such extensions are *non-trivial*. Indeed,  $\xi \mapsto (\xi, b(\xi))$  embeds  $\mathfrak{g}$  into  $\tilde{\mathfrak{g}}$  for linear function  $b \in \mathfrak{g}^*$ . Thus, only cocycles modulo coboundaries produce non-trivial, *new*, Lie algebras.

The most basic such extension is, in fact, an avatar of quantization. Given a symplectic vector space  $(V, \omega)$  we define  $\spadesuit$  the Heisenberg algebra  $H_V$  to be the central extension of  $V$  viewed as abelian Lie algebra, corresponding to  $\omega$  viewed as the Lie algebra-cohomology class  $\omega \in H^2(V, \mathbb{R}) \diamond$ .

1.3.2. *Moment map.* Given a symplectic manifold  $(\mathcal{P}, \omega)$  and a Lie group  $G$  acting on  $\mathcal{P}$  by Hamiltonian vector fields, define

$$(1.52) \quad \mathcal{P}^{\text{red}} = \mathcal{P} // G = \mu^{-1}(\zeta) / G$$

where, assuming the vanishing of the obstruction class in  $H^2(\mathfrak{g}, \mathbb{R})$ , the *moment map*

$$(1.53) \quad \mu : M \rightarrow \mathfrak{g}^*$$

is defined via:

$$(1.54) \quad \spadesuit \langle \mu(x), \xi \rangle = h_\xi(x), \quad \xi \in \mathfrak{g}, \quad x \in M \quad \diamond$$

As discussed above, the possibility of adding a constant to  $h_\xi$  translates to a variety of possibilities for  $\zeta \in (\mathfrak{g}^*)^G$  in (1.52). For simple Lie group  $G$  only  $\zeta = 0$  is possible, while for  $G$  with center there are many options for the level of the moment map. For example, take  $M = \mathbb{R}^{2m}$ ,  $\omega = \sum_{i=1}^m dp_i \wedge dx^i$ ,  $G = U(1)$  acting via:

$$(1.55) \quad e^{it} : (\mathbf{p}, \mathbf{x}) \mapsto (\mathbf{p} \cos(t) - \mathbf{x} \sin(t), \mathbf{x} \cos(t) + \mathbf{p} \sin(t))$$

The moment map

$$(1.56) \quad \mu = \frac{1}{2} \sum_{i=1}^m (p_i^2 + (x^i)^2)$$

The reduced phase space is empty for  $\zeta < 0$ , a point for  $\zeta = 0$ , and the remarkably important compact symplectic manifold of dimension  $2(m-1)$  for  $\zeta > 0$ , the complex projective space  $\mathbb{C}\mathbb{P}^{m-1}$ . As a bonus, it carries a complex structure, and so it can be described in complex terms only:

$$(1.57) \quad \mathbb{C}\mathbb{P}^{m-1} = \{(z_1, \dots, z_m) \mid \mathbf{z} \neq 0, \mathbf{z} \sim u\mathbf{z}, u \in \mathbb{C}^\times\} = (\mathbb{C}^m \setminus 0) / \mathbb{C}^\times$$

In other words, we encountered the relation  $M // G = M^s / G_{\mathbb{C}}$  which will be discussed in greater generality later.



## 2. REVIEW OF CLASSICAL ELECTROMAGNETISM

Maxwell theory, or classical electromagnetism, is the infinite-dimensional version of classical mechanics, where the phase space  $\mathcal{P}$  is the *field space*. We shall discuss it in somewhat artificial setting of compact spaces, which is easier to handle mathematically, and has applications to topology.

Let  $M^d$  be a compact  $d$ -dimensional manifold, endowed with Riemannian metric  $g$ . The metric defines the Hodge star operator  $\star$  mapping  $p$ -forms to  $d - p$ -forms via the point-wise relation

$$(2.1) \quad \omega \star \omega = \text{vol}_g \sum_{i_1 < i_2 < \dots < i_p} \omega(e_{i_1}, \dots, e_{i_p}) \omega(f^{i_1}, \dots, f^{i_p})$$

where  $e_i, i = 1, \dots, m$  is any basis in  $T_x M$ , and  $f^i$  the associated orthogonal basis, such that

$$(2.2) \quad g(e_i, f^j) = \delta_i^j$$

for all  $i, j = 1, \dots, m$ . The Eq. (2.1) endows  $\Omega^p$  with the metric

$$(2.3) \quad (\omega, \eta) = \int_M \omega \wedge \star \eta = (\eta, \omega)$$

We shall glide over the finer details such as  $L^2$  completions, Sobolev embeddings etc. which are needed to be able to operate with

$$(2.4) \quad d^* = \star d \star,$$

the operator  $\Omega^i(M) \rightarrow \Omega^{i-1}(M)$ , conjugate to  $d$  in the sense of the Hodge metric (2.3) on the space of differential forms.

**2.1.  $\mathbb{R}$ -gauge  $p$ -form theory.** Our first approximation is to take

$$(2.5) \quad \mathcal{P} = T^* \mathcal{A}_{\mathbb{R}} / \mathcal{G}_{\mathbb{R}}$$

where  $\mathcal{A}_{\mathbb{R}} = \Omega^p(M)$ ,  $\mathcal{G}_{\mathbb{R}} = (\Omega^{p-1}(M) / Z^{p-1}(M))$  is the vector space of  $p - 1$ -forms considered modulo closed ones, acting on  $\mathcal{A}_{\mathbb{R}}$  by

$$(2.6) \quad A \mapsto A + d\xi$$

for  $A \in \mathcal{A}_{\mathbb{R}}$ ,  $\xi \in \mathcal{G}_{\mathbb{R}}$ . The symplectic form on  $T^* \mathcal{A}_{\mathbb{R}}$  is traditionally written as

$$(2.7) \quad \Omega_{T^* \mathcal{A}_{\mathbb{R}}} = \int_M \delta E \wedge \delta A$$

where  $\delta$  denotes the de Rham differential *in the space of fields* while  $d$  is reserved for de Rham differential *along*  $M$ . In (2.7)  $E$  denotes the momentum conjugate to  $A$ , the  $d - p$ -form. The (2.6) corresponds to the Hamiltonian vector field on  $T^* \mathcal{A}_{\mathbb{R}}$ , which, being a lift of a vector field on the configuration space  $\mathcal{A}_{\mathbb{R}}$ , is generated by the moment map, linear in  $E$ :

$$(2.8) \quad \mu = dE$$

which is traditionally called *Gauss law* in the context of gauge theory. Setting  $\mu = 0$  and dividing by (2.6) defines the phase space of *abelian pure*

*gauge p-form theory.* Hodge theory allows one to describe the quotient as  $T^*\mathcal{V}$  where  $\mathcal{V}$  is the vector space

$$(2.9) \quad \mathcal{V} = H^p(M, \mathbb{R}) \oplus (\text{imd}^* \cap \Omega^p(M))$$

while its dual  $\mathcal{V}^*$  is identified with

$$(2.10) \quad \mathcal{V}^* = H^{d-p}(M, \mathbb{R}) \oplus (\text{imd} \cap \Omega^{d-p}(M))$$

Now that we have identified the phase space, let us look at the time evolution(s). The standard Hamiltonian of Maxwell theory is

$$(2.11) \quad \mathcal{H} = \int_M \frac{g^2}{2} E \wedge \star E + \frac{1}{2g^2} F \wedge \star F$$

with *curvature*  $F = dA$ , and some parameter  $g$ , called the gauge coupling.

If we use the spectral theory of the Laplacian

$$(2.12) \quad \Delta^{(p)} = d^*d + dd^*|_{\Omega^p(M)}$$

we can recognize in (2.11) an infinite-dimensional version of the system of *harmonic oscillators*, coupled to a *geodesic flow* on  $H^p(M)$ . The actual value of the coupling  $g$  is irrelevant, as we can change it by performing the canonical transformation, generated by

$$(2.13) \quad D = \int_M E \wedge A$$

□ Why is  $D$  well-defined on  $\mathcal{P}$ ? ■

2.1.1. *First glimpses of the  $\vartheta$ -angle.* When  $d = 2p + 1$  the symplectic form (2.7) can be generalized to the family of forms:

$$(2.14) \quad \Omega_\vartheta = \int_M \delta E \wedge \delta A + \vartheta \int_M d\delta A \wedge \delta A$$

For odd  $p$  and  $d = 2p$  the symplectic form (2.7) can be generalized to the family of forms:

$$(2.15) \quad \Omega_k = \int_M \delta E \wedge \delta A + k \int_M \delta A \wedge \delta A$$

Both generalizations correspond to the *magnetic field-rotating frame* generalization (1.33).

2.1.2. *Observables.* What are the natural observables in such a theory? The *electric field*  $E$  is gauge invariant, albeit constrained by the Gauss law. A closed  $d-p$  form is characterized by its integrals over  $d-p$ -chains, measuring *electric fluxes*

$$(2.16) \quad \text{electric flux through } \Sigma_{d-p} = \int_{\Sigma_{d-p}} E$$

which does not change under the variations of the  $d-p$ -chain preserving its boundary:

$$(2.17) \quad \text{electric flux through } \Sigma_{d-p} = \text{electric flux through } \Sigma'_{d-p}$$

if

$$(2.18) \quad \Sigma_{d-p} - \Sigma'_{d-p} = \partial B_{d-p+1}$$

for some  $d - p + 1$ -chain  $B_{d-p+1}$ . The observables can also be constructed out of  $A$ :

$$(2.19) \quad \text{generalized Bohm - Aharonov phase along } C_p = \int_{C_p} A$$

which is only gauge invariant for closed  $p$ -chains,  $\partial C_p = 0$ . The infinitesimal version of (2.19) is any functional of the curvature  $F = dA$ .

**2.1.3. Noncompact duality.** Suppose  $d = 2p + 1$ . Remark that in this case the curvature  $F = dA$  and the electric field are both  $p + 1$ -forms. Also, both  $A$  and  $\star E$  are  $p$ -forms.

**2.2. Compact  $p$ -forms.** An important generalization of the construction above cures the problem of continuous spectrum (infinite motion in the classical parlance) of the *zero mode sector* evolution above.

Let  $\mathfrak{t} \approx \mathbb{R}^r$  be a vector space,  $\Gamma \subset \mathfrak{t}$  a lattice  $\approx \mathbb{Z}^r$  and  $\mathbf{T} = \mathfrak{t}/\Gamma$  the corresponding compact torus. The *compact  $p$ -form electrodynamics* is defined on

$$(2.20) \quad \mathcal{P} = T^* \mathcal{A}_{\mathbf{T}} / \mathcal{G}_{\mathbf{T}}$$

where the space  $\mathcal{A}_{\mathbf{T}}$  is the space of *connections on the  $p - 1$ -gerbe*, defined as follows. Pick a nice  $\vee$ Cech covering  $M = \cup_{\alpha \in A} U_{\alpha}$

$$(2.21) \quad \mathcal{A}_{\mathbf{T}} = \{ (A_{\alpha}) \mid A_{\alpha} \in \Omega^p(U_{\alpha}) \otimes \mathfrak{t}, \quad A_{\alpha} - A_{\beta} \in \Omega_{\Gamma}^p(U_{\alpha} \cap U_{\beta}) \}$$

where  $\Omega_{\Gamma}^p(S) \subset \Omega^p(S) \otimes \mathfrak{t}$  consists of all  $\mathfrak{t}$ -valued  $p$ -forms  $\mathfrak{o}$ , *curvatures of  $p - 2$ -gerbes defined on  $U_{\alpha} \cap U_{\beta}$* , such that

$$(2.22) \quad \int_{\Sigma} \mathfrak{o} \in \Gamma$$

for any integral closed chain  $\Sigma \in Z_p(S; \mathbb{Z})$ . Note that the differential  $F := dA$  is a globally defined  $\mathfrak{t}$ -valued  $p + 1$ -form, with  $\Gamma$ -valued periods:

$$(2.23) \quad \int_{\Xi} F \in \Gamma$$

for any integral closed chain  $\Xi \in Z_{p+1}(S; \mathbb{Z})$ . Indeed, the *overlap condition* implies  $dA_{\alpha} = dA_{\beta}$  on any  $U_{\alpha} \cap U_{\beta}$ , thus  $F$  is globally well-defined. On the other hand

$$(2.24) \quad \int_{\Xi} F = \sum_{\alpha} \int_{\Xi \cap U_{\alpha}} F - \sum_{\alpha, \beta} \int_{\Xi \cap U_{\alpha} \cap U_{\beta}} F + \dots = \\ \sum_{\alpha} \int_{\partial(\Xi \cap U_{\alpha})} A_{\alpha} - \sum_{\alpha, \beta} \int_{\partial(\Xi \cap U_{\alpha} \cap U_{\beta})} A_{\alpha \text{ or } \beta} + \dots$$

which may be nontrivial yet valued in  $\Gamma$   $\square$  Finish the argument  $\blacksquare$ .

The symplectic form is

$$(2.25) \quad \Omega_{T^*\mathcal{A}_T} = \int_M \langle \delta E, \wedge \delta A \rangle$$

where  $E \in \Omega^{d-p}(M) \otimes \mathfrak{t}^*$  and we denote by  $\langle \cdot, \cdot \rangle$  the pairing  $\mathfrak{t}^* \otimes \mathfrak{t} \rightarrow \mathbb{R}$ .

The gauge group  $\mathcal{G}_T = \Omega_T^p(M)$  acts by

$$(2.26) \quad (A_\alpha) \sim (A_\alpha + \varpi|_{U_\alpha}), \quad \varpi \in \Omega_T^p(M)$$

Any element  $\varpi \in \Omega_T^p(M)$  defines a cohomology class, a *p-winding number*

$$(2.27) \quad [\varpi] \in H^p(M, \Gamma)$$

Any two  $\varpi', \varpi''$  with the same *p-winding number* differ by an exact *p-form*

$$(2.28) \quad \varpi' - \varpi'' = d\xi, \quad \xi \in \Omega^{p-1}(M)$$

The group  $\mathcal{G}_T$ , therefore, is a direct product of a lattice  $H^p(M, \Gamma)$  and a vector space  $\Omega^{p-1}(M)/Z^{p-1}(M)$ . The action of  $\mathcal{G}_T$  is the combination of the Hamiltonian vector fields generated by

$$(2.29) \quad \mu = dE \in \Omega^{d+1-p}(M) \otimes \mathfrak{t}^* = (\text{Lie}\mathcal{G}_T)^*$$

and the action of the lattice  $H^p(M, \Gamma)$  by shifts in  $H^p(M, \mathfrak{t})$ . The latter is the orthogonal summand in the Hodge decomposition

$$(2.30) \quad \mathcal{A}_T = \Pi_{H^{p+1}(M, \Gamma)} H^p(M, \mathfrak{t}) \oplus (\text{imd}^* \cap \Omega^p(M) \otimes \mathfrak{t})$$

*2.2.1. Observables in compact theory.* We can still define the electric fluxes, except that now they take values in  $\mathfrak{t}^*$ , not in numbers, so we pair it with an element  $\mu \in \mathfrak{t}$  to land back in  $\mathbb{R}$ :

$$(2.31) \quad F_\mu(\Sigma_{(d-p)}) = \int_{\Sigma_{(d-p)}} \langle E, \mu \rangle$$

for a class of  $d-p$ -chains, defined up to a boundary  $\Sigma_{(d-p)} \sim \Sigma_{(d-p)} + \partial B_{(d-p+1)}$ . The generalized Bohm-Aharonov phase now becomes the generalized holonomy:

$$(2.32) \quad W_\lambda(C_{(p)}) = \exp 2\pi i \int_{C_{(p)}} \langle \lambda, A \rangle$$

for a closed  $p$ -chain  $C_{(p)}$ ,  $\partial C_{(p)} = 0$ .