

# Commutative Algebra

## Exercices 9

A Noetherian local ring  $A$  is said to be *Cohen-Macaulay* of dimension  $d$  if it has dimension  $d$  and there exists a system of parameters  $x_1, \dots, x_d$  for  $A$  such that  $x_i$  is a nonzero divisor in  $A/(x_1, \dots, x_{i-1})$  for  $i = 1, \dots, d$ .

**1.** Cohen-Macaulay rings of dimension 1. Part I: Theory.

(a) Let  $(A, \mathfrak{m})$  be a local Noetherian with  $\dim A = 1$ . Show that if  $x \in \mathfrak{m}$  is not a zero divisor then

(1)  $\dim A/xA = 0$ , in other words  $A/xA$  is Artinian, in other words  $\{x\}$  is a system of parameters for  $A$ .

(2)  $A$  has no embedded prime.

(b) Conversely, let  $(A, \mathfrak{m})$  be a local Noetherian ring of dimension 1. Show that if  $A$  has no embedded prime then there exists a nonzero divisor in  $\mathfrak{m}$ .

**2.** Cohen-Macaulay rings of dimension 1. Part II: Examples.

b. Let  $A$  be the local ring at  $(x, y)$  of  $k[x, y]/(x^2, xy)$ .

(1) Show that  $A$  has dimension 1.

(2) Prove that every element of  $\mathfrak{m} \subset A$  is a zero divisor.

(3) Find  $z \in \mathfrak{m}$  such that  $\dim A/zA = 0$  (no proof required).

c. Let  $A$  be the local ring at  $(x, y)$  of  $k[x, y]/(x^2)$ . Find a nonzero divisor in  $\mathfrak{m}$  (no proof required).

**3.** Local rings of embedding dimension 1. Suppose that  $(A, \mathfrak{m}, k)$  is a Noetherian local ring of embedding dimension 1, i.e.,

$$\dim_k \mathfrak{m}/\mathfrak{m}^2 = 1.$$

Show that the function  $f(n) = \dim_k \mathfrak{m}^n/\mathfrak{m}^{n+1}$  is either constant with value 1, or its values are

$$1, 1, \dots, 1, 0, 0, 0, 0, \dots$$

**4.** Regular local rings of dimension 1. Suppose that  $(A, \mathfrak{m}, k)$  is a regular Noetherian local ring of dimension 1. Recall that this means that  $A$  has dimension 1 and embedding dimension 1, i.e.,

$$\dim_k \mathfrak{m}/\mathfrak{m}^2 = 1.$$

Let  $x \in \mathfrak{m}$  be any element whose class in  $\mathfrak{m}/\mathfrak{m}^2$  is not zero.

(a) Show that for every element  $y$  of  $\mathfrak{m}$  there exists an integer  $n$  such that  $y$  can be written as  $y = ux^n$  with  $u \in A^*$  a unit.

(b) Show that  $x$  is a nonzero divisor in  $A$ .

(c) Conclude that  $A$  is a domain.

**5.** Let  $(A, \mathfrak{m}, k)$  be a Noetherian local ring with associated graded  $Gr_{\mathfrak{m}}(A)$ .

(a) Suppose that  $x \in \mathfrak{m}^d$  maps to a nonzero divisor  $\bar{x} \in \mathfrak{m}^d/\mathfrak{m}^{d+1}$  in degree  $d$  of  $Gr_{\mathfrak{m}}(A)$ . Show that  $x$  is a nonzero divisor.

- (b) Suppose the depth of  $A$  is at least 1. Namely, suppose that there exists a nonzero divisor  $y \in \mathfrak{m}$ . In this case we can do better: assume just that  $x \in \mathfrak{m}^d$  maps to the element  $\bar{x} \in \mathfrak{m}^d/\mathfrak{m}^{d+1}$  in degree  $d$  of  $Gr_{\mathfrak{m}}(A)$  which is a nonzero divisor on sufficiently high degrees:  $\exists N$  such that for all  $n \geq N$  the map

$$\mathfrak{m}^n/\mathfrak{m}^{n+1} \xrightarrow{\bar{x}} \mathfrak{m}^{n+d}/\mathfrak{m}^{n+d+1}$$

is injective. Then show that  $x$  is a nonzero divisor.

6. Suppose that  $(A, \mathfrak{m}, k)$  is a Noetherian local ring of dimension 1. Assume also that the embedding dimension of  $A$  is 2, i.e., assume that

$$\dim_k \mathfrak{m}/\mathfrak{m}^2 = 2.$$

Notation:  $f(n) = \dim_k \mathfrak{m}^n/\mathfrak{m}^{n+1}$ . Pick generators  $x, y \in \mathfrak{m}$  and write  $Gr_{\mathfrak{m}}(A) = k[\bar{x}, \bar{y}]/I$  for some homogenous ideal  $I$ .

- (a) Show that there exists a homogenous element  $F \in k[\bar{x}, \bar{y}]$  such that  $I \subset (F)$  with equality in all sufficiently high degrees.  
 (b) Show that  $f(n) \leq n + 1$ .  
 (c) Show that if  $f(n) < n + 1$  then  $n \geq \deg(F)$ .  
 (d) Show that if  $f(n) < n + 1$ , then  $f(n + 1) \leq f(n)$ .  
 (e) Show that  $f(n) = \deg(F)$  for all  $n \gg 0$ .

7. Cohen-Macaulay rings of dimension 1 and embedding dimension 2. Suppose that  $(A, \mathfrak{m}, k)$  is a Noetherian local ring which is Cohen-Macaulay of dimension 1. Assume also that the embedding dimension of  $A$  is 2, i.e., assume that

$$\dim_k \mathfrak{m}/\mathfrak{m}^2 = 2.$$

Notations:  $f, F, x, y \in \mathfrak{m}$ ,  $I$  as in Ex. 6 above. Please use any results from the problems above.

- (a) Suppose that  $z \in \mathfrak{m}$  is an element whose class in  $\mathfrak{m}/\mathfrak{m}^2$  is a linear form  $\alpha\bar{x} + \beta\bar{y} \in k[\bar{x}, \bar{y}]$  which is coprime with  $f$ .  
 (1) Show that  $z$  is a nonzero divisor on  $A$ .  
 (2) Let  $d = \deg(F)$ . Show that  $\mathfrak{m}^n = z^{n+1-d}\mathfrak{m}^{d-1}$  for all sufficiently large  $n$ . (Hint: First show  $z^{n+1-d}\mathfrak{m}^{d-1} \rightarrow \mathfrak{m}^n/\mathfrak{m}^{n+1}$  is surjective by what you know about  $Gr_{\mathfrak{m}}(A)$ . Then use NAK.)  
 (b) What condition on  $k$  guarantees the existence of such a  $z$ ? (No proof required; it's too easy.)

Now we are going to assume there exists a  $z$  as above. This turns out to be a harmless assumption (in the sense that you can reduce to the situation where it holds in order to obtain the results in parts (d) and (e) below).

- (c) Now show that  $\mathfrak{m}^\ell = z^{\ell-d+1}\mathfrak{m}^{d-1}$  for all  $\ell \geq d$ .  
 (d) Conclude that  $I = (F)$ .  
 (e) Conclude that the function  $f$  has values

$$2, 3, 4, \dots, d-1, d, d, d, d, d, d, \dots$$

This suggests that a local Noetherian Cohen-Macaulay ring of dimension 1 and embedding dimension 2 is of the form  $B/FB$ , where  $B$  is a 2-dimensional regular local ring. This is more or less true (under suitable "niceness" properties of the ring).