

# The Igusa tower and the $p$ -adic theory

August 17, 2021

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- $\Lambda = \mathbb{Z}_p[[\mathbb{Z}_p^\times]]$ ,  $\kappa : \mathbb{Z}_p^\times \rightarrow \Lambda$
- $\omega =$  line bundle arising from universal elliptic curve as in previous talks



# Igusa tower

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## Igusa tower

$\mathrm{I}g \rightarrow \mathfrak{X}^{\mathrm{ord}}$  classifies  $E \in \mathfrak{X}^{\mathrm{ord}}$ , trivialization  $\psi : \mathbb{Z}_p \rightarrow T_p(E)^{\mathrm{\acute{e}t}}$ .

Hodge-Tate map  $T_p(E)^{\mathrm{\acute{e}t}} \rightarrow \omega$  induces  $T_p(E)^{\mathrm{\acute{e}t}} \otimes \mathcal{O}_{\mathfrak{X}^{\mathrm{ord}}} \xrightarrow{\sim} \omega$   
 induces

$$\begin{array}{ccc}
 \mathrm{I}g & \longrightarrow & \{\text{trivializations of } \omega\} \\
 & \searrow & \swarrow \\
 & \mathfrak{X}^{\mathrm{ord}} &
 \end{array}$$

# The sheaf $\omega^k$

Set  $\omega^k = (\mathcal{O}_{\text{Ig}} \widehat{\otimes} \Lambda)^{\mathbb{Z}_p^\times}$  with the diagonal action. For each  $k \in \mathbb{Z}$ ,  $x \mapsto x^k$  on  $\mathbb{Z}_p^\times$  extends to  $k : \Lambda \rightarrow \mathbb{Z}_p$ .

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## Lemma 1

*As a sheaf of  $\Lambda \otimes \mathcal{O}_{\mathfrak{X}^{\text{ord}}}$ -modules,  $\omega^k$  is invertible, and for each  $k$  we (canonically) recover  $\omega^k \simeq \omega^k \otimes_{\Lambda, k} \mathbb{Z}_p$ .*

Proof: compare sections and use the above diagram

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- Choose a coherent extension  $\mathcal{F}_n$  of  $\omega^\kappa / \mathfrak{m}_\Lambda^n$  to  $X_n$ , and let  $\mathcal{I}$  be the ideal of  $X_n \setminus X_n^{\text{ord}}$
- $H_C^1(X_n^{\text{ord}}, \omega^\kappa / \mathfrak{m}_\Lambda^n) := \lim_m H^1(X_n, \mathcal{I}^m \mathcal{F}_n)$  (independent of  $\mathcal{F}_n$  by results of Hartshorne)

# Frobenius

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Lift to  $F : \text{lg} \rightarrow \text{lg}$  by composition:

$$(E, \psi : \mathbb{Z}_p \xrightarrow{\sim} T_p(E)^{\text{ét}}) \mapsto (E/\mu_p, \psi \xrightarrow{\sim} T_p(E)^{\text{ét}} \xrightarrow{\sim} T_p(E/\mu_p))$$

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Pullback  $F^* \mathcal{O}_{\text{lg}} \rightarrow \mathcal{O}_{\text{lg}}$ , trace  $\text{tr} : F_* \mathcal{O}_{\text{lg}} \rightarrow \mathcal{O}_{\text{lg}}$

## Lemma 2

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## Proof.

We have  $\text{tr}(F_*\mathcal{O}_{\mathfrak{X}^{\text{ord}}}) \subseteq p\mathcal{O}_{\mathfrak{X}^{\text{ord}}}$ , and  $\text{lg}$  is the fiber product

$$\begin{array}{ccc} \text{lg} & \xrightarrow{F} & \text{lg} \\ \downarrow & & \downarrow \\ \mathfrak{X}^{\text{ord}} & \xrightarrow{F} & \mathfrak{X}^{\text{ord}} \end{array}$$

so by commutativity  $\text{tr}(F_*\mathcal{O}_{\text{lg}})$  also has image divisible by  $p$ .  $\square$

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By equivariance define  $F : F^*\omega^\kappa \rightarrow \omega^\kappa$  and  $U_p : F_*\omega^\kappa \rightarrow \omega^\kappa$  by tensoring  $F^*\mathcal{O}_{\text{lg}} \rightarrow \mathcal{O}_{\text{lg}}$  and  $\frac{1}{p}\text{tr}$  with  $\Lambda$  and taking  $\mathbb{Z}_p^\times$ -invariants.

## Relationship to the universal isogeny

The universal isogeny  $\pi : E \rightarrow E/\mu_p$  induces  $\pi^* : F^*\omega \rightarrow \omega$ , and similarly the dual induces  $\hat{\pi}^* : \omega \rightarrow F^*\omega$ .

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### Lemma 3

*The maps  $p^{-k}(\pi^*)^k$  and  $F_*\omega^k \xrightarrow{(\hat{\pi}^*)^k} F_*F^*\omega^k \xrightarrow{\frac{1}{p}\text{tr}} \omega^k$  are the specializations of  $F$  and  $U_p$  respectively.*

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### Proof.

We have a commutative diagram

$$\begin{array}{ccc}
 T_p(E)^{\text{ét}} & \longrightarrow & T_p(E/\mu_p)^{\text{ét}} \\
 \downarrow & & \downarrow \\
 \omega & \xrightarrow{\hat{\pi}^*} & F^*\omega
 \end{array}$$

so  $F : F^*\omega^k \rightarrow \omega^k$  is given by  $(\hat{\pi}^*)^{-k} = p^{-k}(\pi^*)^k$ , and similarly for  $U_p$ . □



# As cohomological correspondences

$X_0 \rightarrow \mathrm{Spa}(\mathbb{Z}_p, \mathbb{Q}_p)$  adic modular curve of level  $\Gamma_0(p) \cap \Gamma_1(N)$ , with projections  $p_1, p_2 : X_0 \rightarrow X$

$\mathfrak{X}_0, \mathfrak{X}_0^{\mathrm{ord}}$ , etc.

$$\mathfrak{X}_0^{\mathrm{ord}} = \mathfrak{X}_0^{\mathrm{ord},F} \sqcup \mathfrak{X}_0^{\mathrm{ord},V}$$

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On  $\mathfrak{X}_0^{\mathrm{ord},F}$ ,  $p_1$  is an isomorphism and  $p_2 = F$ , on  $\mathfrak{X}_0^{\mathrm{ord},V}$   $p_2$  is an isomorphism and  $p_1 = F$ . Therefore on  $\mathfrak{X}_0^{\mathrm{ord},F}$

$$F : F^* \omega^\kappa \simeq p_2^* \omega^\kappa \rightarrow \omega^\kappa \simeq p_1^! \omega^\kappa$$

and on  $\mathfrak{X}_0^{\mathrm{ord},V}$

$$U_p : F_* \omega^\kappa \simeq p_{1*} p_2^* \omega^\kappa \rightarrow \omega^\kappa \quad \rightsquigarrow \quad U_p : p_2^* \omega^\kappa \rightarrow p_1^! \omega^\kappa$$

so we can view  $F$  and  $U_p$  as cohomological correspondences supported on  $\mathfrak{X}_0^{\mathrm{ord},F}, \mathfrak{X}_0^{\mathrm{ord},V}$ .

# Comparison with $T_p$

Similarly let  $T_p^F, T_p^V$  be the projections onto  $\mathfrak{X}_0^{\text{ord},F}, \mathfrak{X}_0^{\text{ord},V}$ , and specialize all the operators to  $\omega^k$ .

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## Lemma 4

*If  $k \geq 1$ , we have  $T_p^F = p^{k-1}F$ ,  $T_p^V = U_p$ , and  $T_p = p^{k-1}F + U_p$ ;  
if  $k \leq 1$ , we have  $T_p^F = F$ ,  $T_p^V = p^{1-k}U_p$ , and  $T_p = F + p^{1-k}U_p$ .*

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## Proof.

$T_{p,k}^{\text{naive},F}$ ,  $T_{p,k}^{\text{naive},V}$  are given by pullback by  $\pi$  and  $\hat{\pi}$ , so the claim follows from Lemma 3 by comparing normalizations.  $\square$

# Main theorem

## Theorem 5

*There are locally finite actions of  $F$  and  $U_p$  on  $H_c^1(\mathfrak{X}^{\text{ord}}, \omega^\kappa)$  and  $H^0(\mathfrak{X}^{\text{ord}}, \omega^\kappa)$  respectively, and  $e(F)H_c^1(\mathfrak{X}^{\text{ord}}, \omega^\kappa)$  and  $e(U_p)H^0(\mathfrak{X}^{\text{ord}}, \omega^\kappa)$  are finite projective  $\Lambda$ -modules. Further for  $k \leq -1$  we have*

$$e(F)H_c^1(\mathfrak{X}^{\text{ord}}, \omega^\kappa) \otimes_{\Lambda, k} \mathbb{Z}_p = e(T_p)H^1(X, \omega^k)$$

*and for  $k \geq 3$*

$$e(U_p)H^0(\mathfrak{X}^{\text{ord}}, \omega^\kappa) \otimes_{\Lambda, k} \mathbb{Z}_p = e(T_p)H^0(X, \omega^k).$$

The cases are similar, so we'll look at the statement for  $F$ .

# Action on cohomology

First: define action of  $F$  on  $H_c^1(\mathfrak{X}^{\text{ord}}, \omega^\kappa) = \lim_n H_c^1(X_n, \omega^\kappa / \mathfrak{m}_\Lambda^n)$ .

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We have  $X_{0,n}^{\text{ord}} = X_{0,n}^{\text{ord},F} \sqcup X_{0,n}^{\text{ord},V}$ , and  $X_{0,n}^{\text{ord},F} \hookrightarrow X_n^{\text{ord}} \times X_n^{\text{ord}}$  is the graph of Frobenius  $F : X_n^{\text{ord}} \rightarrow X_n^{\text{ord}}$ , so  $p_1$  is an isomorphism and  $p_2 = F$ ; thus we can view  $F$  as a cohomological correspondence  $p_2^* \omega^\kappa / \mathfrak{m}_\Lambda^n \rightarrow p_1^! \omega^\kappa / \mathfrak{m}_\Lambda^n$  on  $X_{0,n}^{\text{ord}}$  which is  $F$  on  $X_{0,n}^{\text{ord},F}$  and 0 on  $X_{0,n}^{\text{ord},V}$ .



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We can extend to  $X_{0,n}$  by choosing an extension  $\mathcal{F}$  and taking (co)limits over  $\mathcal{I}^{-m} \mathcal{F}$ . As in Hung's talk this yields an endomorphism  $F : H_c^1(X_n, \omega^\kappa / \mathfrak{m}_\Lambda^n)$ , and taking the limit gives the desired action.

## Finiteness: base case

Look at the case  $n = 1$ , i.e. mod  $p$ : sections of

$\omega^\kappa/\mathfrak{m}_\Lambda = (\mathcal{O}_{\text{lg}} \widehat{\otimes} \Lambda)^{\mathbb{Z}_p^\times}/\mathfrak{m}_\Lambda$  are  $\mathbb{Z}_p^\times$ -invariant functions valued in  $\mathbb{F}_p$  on pairs  $(E, \psi : \mathbb{F}_p \rightarrow E[p])$ , which decompose as

$$\omega^\kappa/\mathfrak{m}_\Lambda = \bigoplus_{j=-p+1}^{-1} \omega^j,$$

so

$$H_c^1(X_1^{\text{ord}}, \omega^\kappa/\mathfrak{m}_\Lambda) = \bigoplus_{j=-p+1}^{-1} H_c(X_1^{\text{ord}}, \omega^j).$$

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By Lemma 4, the action of  $F$  on the left is given by  $T_p$  on the right (since  $j \leq -1$ ) so it follows from the mod  $p$  case that the action is locally finite and after applying projectors both sides are finite  $\mathbb{F}_p$ -vector spaces.

## Finiteness: induction

$$0 \rightarrow \omega^\kappa \otimes (\mathfrak{m}_\Lambda^n / \mathfrak{m}_\Lambda^{n+1}) \rightarrow \omega^\kappa / \mathfrak{m}_\Lambda^{n+1} \rightarrow \omega^\kappa / \mathfrak{m}_\Lambda^n \rightarrow 0$$

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induces

$$\begin{aligned} 0 \rightarrow H_c^1(X_{n+1}^{\text{ord}}, \omega^\kappa \otimes (\mathfrak{m}_\Lambda^n / \mathfrak{m}_\Lambda^{n+1})) &\rightarrow H_c^1(X_{n+1}^{\text{ord}}, \omega^\kappa / \mathfrak{m}_\Lambda^{n+1}) \\ &\rightarrow H_c^1(X_{n+1}^{\text{ord}}, \omega^\kappa / \mathfrak{m}_\Lambda^n) \rightarrow 0 \end{aligned}$$

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so the result follows by induction plus a lemma for local finiteness.



# Equalities

Finally,

$$e(F)H_c^1(\mathfrak{X}^{\text{ord}}, \omega^k) \otimes_{\Lambda, k} \mathbb{Z}_p = e(F)H_c^1(\mathfrak{X}^{\text{ord}}, \omega^k),$$

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and  $X \rightarrow \mathfrak{X}$  induces  $H_c^1(\mathfrak{X}^{\text{ord}}, \omega^k) \rightarrow H^1(X, \omega^k)$ . Applying projectors,

$$e(F)H_c^1(\mathfrak{X}^{\text{ord}}, \omega^k) \rightarrow e(T_p)H^1(X, \omega^k)$$

is a map of finite free  $\mathbb{Z}_p$ -algebras and is an isomorphism modulo  $p$  for  $k \leq -1$  by Haodong's talk, so is an isomorphism.