

## Lecture 5 The minimal genus problem.

$$(X, s) \mapsto SW(X, s) \in \mathbb{Z}$$

Sibony - Witten invt.

Q  $\alpha \in H_2(X; \mathbb{Z})$ . What's

the minimal genus of a smooth  
embedded surface  $\Sigma \hookrightarrow X$  s.t.

$$[\Sigma] = \alpha?$$

One can use  $SW(X, s)$  to  
provide lower bounds.

Thm (Adjunction inequality). Assume  $b_2^+ \geq 2$ .  
self-int zero.

$s$  spin<sup>c</sup> str. s.t.  $SW(X, s) \neq 0$ .

Then, if  $\Sigma$  is a surface with  
 $g(\Sigma) \geq 1$  and  $[\Sigma] \cdot [\Sigma] = 0$

$$2g(\Sigma) - 2 \geq |\mathcal{C}_1(S) \cdot [\Sigma]|.$$

Rule 1 If  $[\Sigma] \cdot [\Sigma] \geq 0$ .

$$\Rightarrow 2g(\Sigma) - 2 \geq |\mathcal{C}_1(S) \cdot [\Sigma]| + [\Sigma] \cdot [\Sigma].$$

Rule 2 If  $\Sigma \hookrightarrow X$   
 $\curvearrowright$   
 $\mathcal{C}\text{-curve}$        $\mathcal{C}\text{-surface}$

$$\Rightarrow 2g - 2 = k_X \cdot [\Sigma] + [\Sigma] \cdot [\Sigma].$$

(adjunction equality).

" $\mathcal{C}$ -curves are the simplest"

Proof (mod technicalities) ↳ unperturbed  
ep. fr  
simplicity.  
 $\hookrightarrow \text{SW}(X, S) \neq 0$   
 $\Rightarrow \exists f, \exists (A, \Phi) \text{ soln}$   
 to SW equations.

Variational interpretation:

$$\int |F_A^{\perp}|^2 + \underbrace{4 \left( \int |\partial_A \Phi|^2 + \int (|\Phi|^2 - \frac{s}{2}) \right)^2}_{\sqrt{!}} + \\
 - \int \frac{s^2}{4} - \int F_A^{\perp} \wedge F_A^{\perp} = 0.$$

$$\Rightarrow \int |F_A^{\perp}|^2 \leq \int \frac{s^2}{4} + \int F_A^{\perp} \wedge F_A^{\perp}$$

$$= \int \frac{g^2}{q} - \underbrace{4\pi^2 \zeta(a(s))_1(x)}_{\text{Independent of } g!}$$

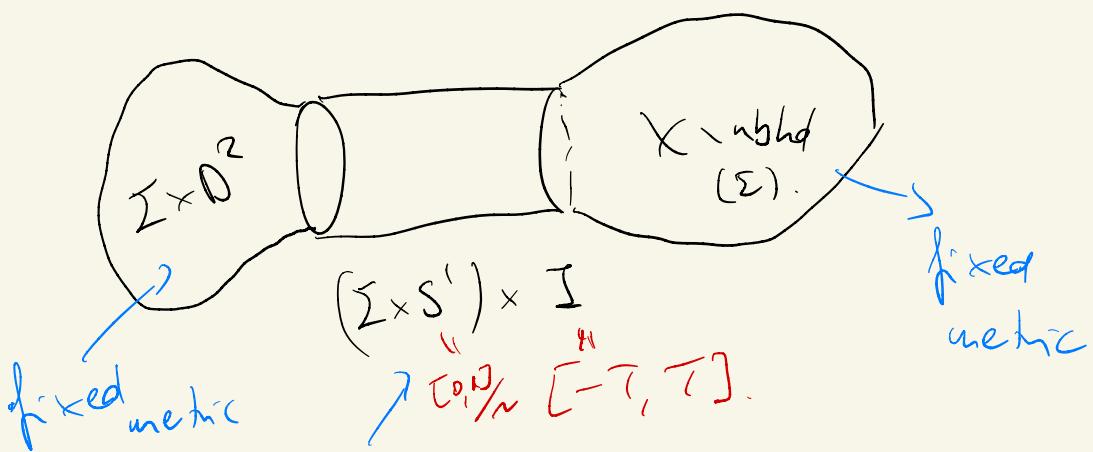
A<sub>g</sub>.

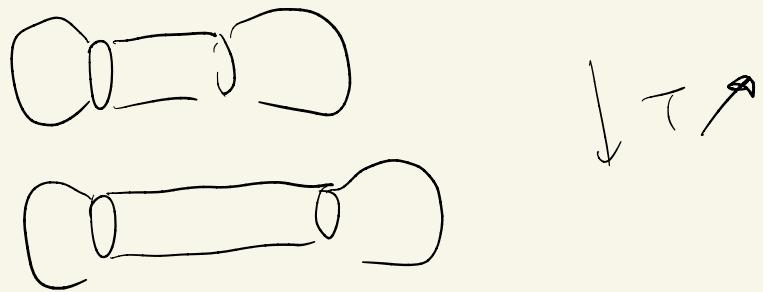
Idea: construct an interesting family  
 $\mathcal{G}_T$  of metric.

$$[\Sigma], [\Sigma] = 0 \Rightarrow \text{nbhd}(\Sigma) \cong \Sigma \times D^2.$$

$$\partial \text{nbhd}(\Sigma) = \Sigma \times S^1$$

$X \rightarrow 3$  pieces

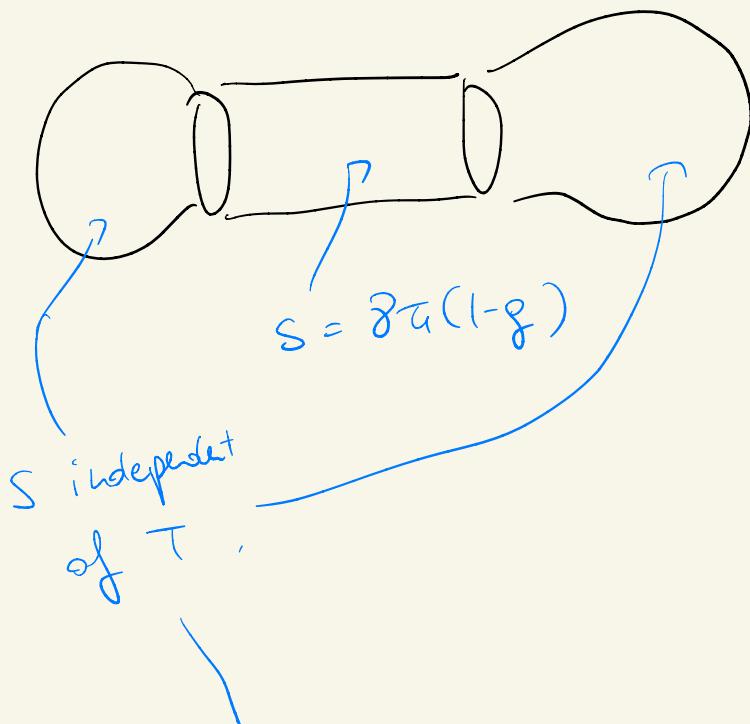




On  $\Sigma$ , pick constant curvature metric

of area 1.

(by Gauss Bonnet  $\Rightarrow S(\varepsilon) = 8\pi(1-g).$ )



for  $\theta \tau$ , ↓

$$\int_X \frac{s^2}{4} = C + \int_{\Sigma \times S^1 \times [-\tau, \tau]} \frac{s^3}{4} = \\ = C + 32\pi^2 \tau \cdot (1 - g)^2.$$

For  $F_A^t$ ,  $\left[ \frac{i}{2\pi} F_A^t \right] = c_1(S)$  -  
By Chern-Weil

$$\Rightarrow \int_{\Sigma \times \{0\} \times \{t\}} \frac{i}{2\pi} F_A^t = \langle c_1(S), [\Sigma] \rangle$$

$$\Rightarrow \int_{\Sigma \times \{0\} \times \{t\}} |F_A^t|^2 \geq 4\pi^2 |\langle c_1(S), [\Sigma] \rangle|^2$$

$(c_S + \text{Area}(\Sigma) = 1) -$

$$\Rightarrow \int_X |\mathbb{F}_{A^\epsilon}|^2 \geq \int_{\Sigma \times S^1 \times [\frac{T}{2}, T]} |\mathbb{F}_{A^\epsilon}|^2 \geq 8\pi^2 T |\langle c_1(S), [\Sigma] \rangle|^2$$

$\Rightarrow \text{BT}$

$$8\pi^2 T |\langle c_1(S), [\Sigma] \rangle|^2 \leq C^4 + 32\pi^2 T (1-g)^2$$

$$4(1-g)^2 \geq |\langle c_1(S), [\Sigma] \rangle|^2.$$

$\downarrow (g \geq 1).$

$$2g-2 \geq |\langle c_1(S), [\Sigma] \rangle|.$$

Applicability: need  $\text{SW}(X, S) \neq 0$ !

(Laura's class).

almost

Today use  $\checkmark$  this for Thom conjecture.

$\Sigma \subset \mathbb{C}\mathbb{P}^2$  smooth algebraic curve

of degree  $d$

$$(\rightarrow [\Sigma] = d[\mathbb{C}\mathbb{P}^1] \in H_2(\mathbb{C}\mathbb{P}^2)).$$

$$k = -3[\mathbb{C}\mathbb{P}^1]$$

$$g(\Sigma) = \frac{d^2 - 3d + 2}{2} \quad \begin{cases} d=1,2 & g=0 \\ d=3 & g=1 \end{cases}$$

Thom conj if  $\Sigma \subset \mathbb{C}\mathbb{P}^2$  represents

$$d[\mathbb{C}\mathbb{P}^1], \text{ then } g(\Sigma) \geq \frac{d^2 - 3d + 2}{2}.$$

Proved by

Kronheimer - Mrowka

early triumphs of SW invariants.

Strategy  $\Sigma \subset \mathbb{C}P^2$ ,  $[\Sigma] = d[\mathbb{C}P^1]$ .

$$\hookrightarrow [\Sigma] \cdot [\Sigma] = d^2$$

blow up at  $d^2$  points on  $\Sigma$ ,

$\tilde{\Sigma} \subseteq \mathbb{C}P^2 \# d^2 \overline{\mathbb{C}P^2} \xrightarrow{\text{proper transfrm}} E_i$  exceptional divisor

$$[\tilde{\Sigma}] = d[\mathbb{C}P^1] - \sum E_i$$

$$[\tilde{\Sigma}] \quad \stackrel{4}{\nearrow} \quad \stackrel{d^2}{\nearrow} \text{elts.}$$

$$\Rightarrow [\tilde{\Sigma}] \cdot (\tilde{\Sigma}) = 0$$

$$g(\tilde{\Sigma}) = g(\Sigma)$$

IF adjunction map on  $\mathbb{C}P^2$  holds  
"conicid"  
for the class  $C(s) = -3[\mathbb{C}P^1] + \sum E_i$

$$\begin{aligned} \Rightarrow 2g(\tilde{\Sigma}) - 2 &= 2g(\tilde{\Sigma}) - 2 \geq \underbrace{[\tilde{\Sigma}]}_{\text{PD}(C(S))} \\ &\geq \left| \left\langle -3[C_P] + \sum E_i, d[C_P] - \sum E_i \right\rangle \right| \\ &= d^2 - 3d \end{aligned}$$

$$\Rightarrow g(\tilde{\Sigma}) \geq \frac{d^2 - 3d + 2}{2}$$

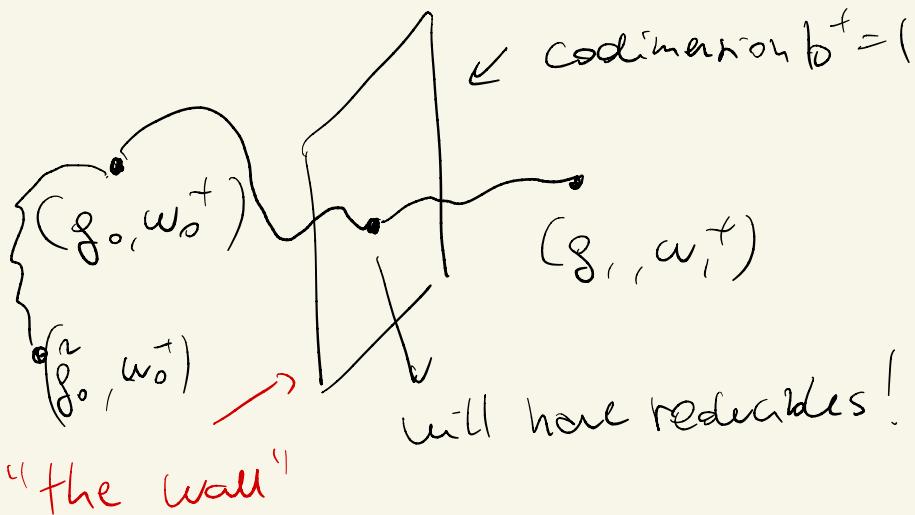
Problems •  $b^+(Q_P^2 \# d^2 \bar{Q}_P^2) = 1$

$\rightarrow SW(X, s)$  not well-defined.

• how to show  $SW(X, s) \neq \emptyset$  ?

We'll kill two birds with one stone!

by thinking harder about  $b^+ = 1$ .



$$[M_{(g_0, w_0)}] = [M_{(\tilde{g}_0, \tilde{w}_0)}]$$

$\Rightarrow$  when  $b^+=1$ , we get 2  
muts, one for each side of  
the wall!

Q what's the relation between  
the two sides?

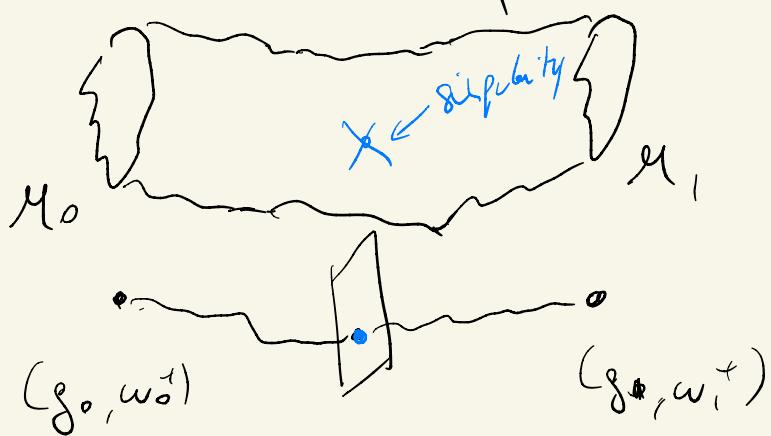
Assume  $b_1 = 0$ . Then  $X = \mathbb{C}\mathbb{P}^2 \#_n \overline{\mathbb{C}\mathbb{P}}^2$

(or we find any wfd with  $b_1 = 0, b^+ = 1, b^- = n$ ).

$$\dim M_{(g_i, \alpha_i)}(X, s) = \frac{c_1^2 - 2\chi - 3g}{4} =$$

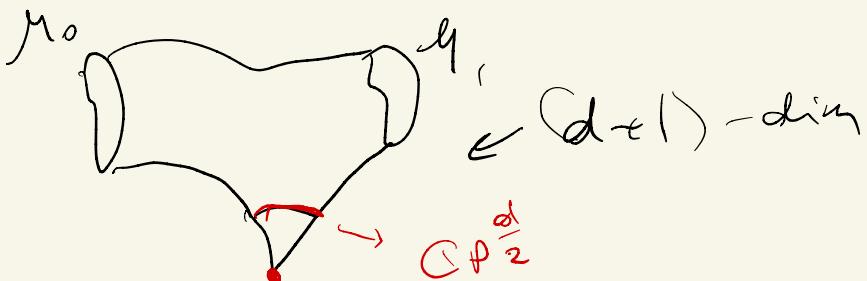
$$= \frac{c_1^2 - g + b_2^-}{4} = d(s)$$

even integer!



If  $d(s) \geq 0$ , singularity is

a core over  $\mathbb{C}P^{\frac{d}{2}} \subseteq$



s.t.

$$[\mathbb{C}P^{\frac{d}{2}}] = \cup^d \in H_d(\mathbb{C}P^d; \mathbb{Z}).$$

$\Rightarrow$  cobordism between  $M_0, M_1$ , &  $\mathbb{C}P^{\frac{d}{2}}$ .

$$b_1 = 0.$$

Then (Wall-crossing formula) - If  $d(s) \geq 0$ ,

$(g_0, \omega_0)$ ,  $(g_1, \omega_1)$  are on opposite sides of the wall, then

$SW_{(g_0, \omega_0)}(x_s)$  &  $SW_{(g_1, \omega_1)}(x_s)$  differ

by one!

Now  $\mathbb{C}P^2 \# n\overline{\mathbb{C}P^2}$  admits a metric  $g^0$  with PSC!  
(# Fubini-Study metrics).

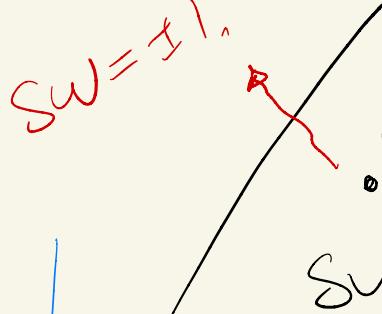
$\Rightarrow$  for small perturbation  $\omega_0 \neq 0$ ,

if  $d(s) > 0$   $SW_{(g_0, \omega_0)}(X, s) = 0$ .

$(g_0, \omega_0)$

$^\circ$

$SW = \pm 1$



$$SW(X, s) = 0$$

on this hole, there are always  
Sols to (perturbed) SW!

⇒ adjunction map.

Technical part: in the argument for  
Thm, we work on the other  
side of the wall!

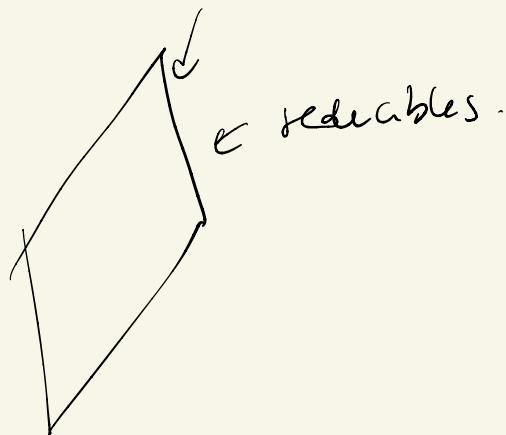
Q Which side of the wall? (fix orientation  
& of  $\mathcal{H}_g^+$ )

$$b^+ = 1 \Rightarrow \text{pick } k \in \mathcal{H}_g^+ \cong \mathbb{R}.$$

Recall that the wall is

$$\omega \in \mathbb{R}^+ \text{ s.t.}$$

$$L_i \int_{\text{walk}} = 2a(c_i(S^+) \cup [k])[\chi]$$



$\Rightarrow$  side of the wall

$$L_i \int_{\text{walk}} \geq 2a(c_i(S^+) \cup [k])[\chi]$$

$$\Rightarrow SW^\pm(X, s)$$