

## CYCLIC CODES

**Example of a Simple Cyclic Code** Consider the binary code

$$C = \{000, 110, 011, 101\}.$$

One easily checks that this is a linear code since the sum of any two codewords in  $C$  is again a codeword in  $C$ . Let us denote a codeword in  $C$  by  $c = (c_1, c_2, c_3)$  where  $c_i$  is either 0 or 1 for  $i = 1, 2, 3$ .

The key property that makes this a cyclic code is that for any codeword  $c = (c_1, c_2, c_3) \in C$  we have  $(c_3, c_1, c_2)$  is again a codeword in  $C$ .

**Definition (Cyclic Code)** *A binary code is cyclic if it is a linear  $[n, k]$  code and if for every codeword  $(c_1, c_2, \dots, c_n) \in C$  we also have that  $(c_n, c_1, \dots, c_{n-1})$  is again a codeword in  $C$ .*

**Remark:** The shift  $(c_1, c_2, \dots, c_n) \longrightarrow (c_n, c_1, \dots, c_{n-1})$  is called a right cyclic shift.

**Question:** Is  $\{000, 100, 010, 001\}$  a cyclic code?

**Answer:** The answer is NO because this code is not linear.

### REALIZING CYCLIC CODES WITH POLYNOMIALS OVER $\mathbb{F}_2$

In the following we let  $\mathbb{F}_2[x]$  denote the set of all polynomials

$$a_0 + a_1x + \dots + a_mx^m$$

with  $a_i \in \mathbb{F}_2$  for  $i = 0, 1, \dots, m$ . We note that these polynomials form an additive group.

**Definition (Code Polynomial associated to a Cyclic Codeword)** *Let  $a = (a_0, a_1, \dots, a_{n-1})$  be a codeword in a cyclic  $[n, k]$  code  $C$ . We define the polynomial associated to  $a \in C$  to be*

$$a(x) := a_0 + a_1x + \dots + a_{n-1}x^{n-1} \in \mathbb{F}_2[x].$$

Notice that

$$x \cdot a(x) = a_0x + a_1x^2 + \dots + a_{n-2}x^{n-1} + a_{n-1}x^n.$$

This is almost a right cyclic shift of the polynomial which would have the representation

$$a_{n-1} + a_0x + a_1x^2 + \dots + a_{n-2}x^{n-1}.$$

But notice the following identity!

$$\boxed{x \cdot a(x) \equiv a_{n-1} + a_0x + a_1x^2 + \dots + a_{n-2}x^{n-1} \pmod{(x^n - 1)}}. \tag{1}$$

Furthermore, it immediately follows that we also have:

$$x^2 \cdot a(x) \equiv a_{n-2} + a_{n-1}x + a_0x^2 + a_1x^3 + \dots + a_{n-3}x^{n-1} \pmod{(x^n - 1)}$$

$$x^3 \cdot a(x) \equiv a_{n-3} + a_{n-2}x + a_{n-1}x^2 + a_0x^3 + \dots + a_{n-4}x^{n-1} \pmod{(x^n - 1)}$$

⋮

$$x^\ell \cdot a(x) \equiv a_{n-\ell} + a_{n-\ell+1}x + a_{n-\ell+2}x^2 + \dots + a_0x^\ell + \dots + a_{n-\ell-1}x^{n-1} \pmod{(x^n - 1)}.$$

**Remark:** The numbering  $a = (a_0, a_1, \dots, a_{n-1})$  starting with  $a_0$  instead of  $a_1$  is used because it simplifies the statement of the modular relation (1).

## CONSTRUCTING CYCLIC CODES WITH POLYNOMIALS OVER $\mathbb{F}_2$

**CLAIM:** Fix an integer  $n > 1$ . Let  $g(x) \in \mathbb{F}_2[x]$  divide the polynomial  $x^n - 1$ . Assume the degree of  $g(x)$  is  $n - k$  for some  $0 \leq k \leq n$ . Consider the set of polynomials

$$\mathcal{P}_g := \left\{ g(x) \cdot \alpha(x) \pmod{(x^n - 1)} \mid \alpha(x) \in \mathbb{F}_2[x] \text{ with } \deg(\alpha(x)) \leq k \right\}.$$

Every polynomial  $f(x) \in \mathcal{P}_g$  can be written in the form

$$f(x) = a_0 + a_1x + \cdots + a_{n-1}x^{n-1}.$$

Then the set of all distinct  $\{a_0, a_1, \dots, a_{n-1}\}$  coming from  $f(x) \in \mathcal{P}_g$  form a cyclic  $[n, k]$  code.

**Remark:** The polynomial  $g$  is called a generator polynomial for the cyclic  $[n, k]$  code described in the above theorem.

**Example (1):** Let  $n = 3$ . Then  $g(x) := x - 1$  divides  $x^3 - 1$ . Note that since we are over  $\mathbb{F}_2$  we see that  $g(x)$  is also equal to  $1 + x$ . We now list all possible

$$g(x) \cdot \alpha(x) \pmod{(x^3 - 1)}$$

with  $\deg(\alpha(x)) \leq 2$ . The only possible  $\alpha(x)$  are  $0, 1, x, 1 + x, x^2, 1 + x^2, x + x^2, 1 + x + x^2$ . Furthermore

$$x^3 \equiv 1 \pmod{x^3 - 1}.$$

It follows that for this example

$$\begin{aligned} (1+x) \cdot 0 &\equiv 0 \pmod{x^3 - 1} &\longrightarrow 000, \\ (1+x) \cdot 1 &\equiv 1+x \pmod{x^3 - 1} &\longrightarrow 110, \\ (1+x) \cdot x &\equiv x+x^2 \pmod{x^3 - 1} &\longrightarrow 011, \\ (1+x) \cdot (1+x) &\equiv 1+x^2 \pmod{x^3 - 1} &\longrightarrow 101, \\ (1+x) \cdot x^2 &\equiv 1+x^2 \pmod{x^3 - 1} &\longrightarrow 101, \\ (1+x) \cdot (1+x^2) &\equiv x+x^2 \pmod{x^3 - 1} &\longrightarrow 011, \\ (1+x) \cdot (x+x^2) &\equiv 1+x \pmod{x^3 - 1} &\longrightarrow 110, \\ (1+x) \cdot (1+x+x^2) &\equiv 0 \pmod{x^3 - 1} &\longrightarrow 000, \end{aligned}$$

In the above we have taken a polynomial such as  $x + x^2$  and rewritten it as the codeword  $\longrightarrow 011$ .

We see that we get the codewords  $\{000, 101, 110, 011\}$  which is a cyclic code. So the above CLAIM holds for this example.

**Remark:** Note that in the above calculation we obtained each codeword in  $\{000, 101, 110, 011\}$  exactly twice. This suggests that it is enough to consider polynomials  $\alpha(x) \in \mathbb{F}_2[x]$  with  $\deg(\alpha(x)) < k$ .

**Explanation of why each code word is repeated twice:**

We have  $(1+x) \cdot (1+x+x^2) = x^3 - 1$ . Hence  $(1+x) \cdot x^2 \equiv (1+x)^2 \equiv 1+x^2 \pmod{x^3 - 1}$ . This means that  $(1+x) \cdot x^2$  is in the list of the first four code polynomials. It follows that  $(1+x) \cdot (1+x^2)$  and  $(1+x) \cdot (x+x^2)$  and  $(1+x) \cdot (1+x+x^2) \equiv 0$  must also be in the list of the first four code polynomials.

**Example (2):** Let's take  $n = 3$  and  $g(x) := 1 + x + x^2$  which also divides  $1 + x^3$  since  $1 + x^3 = (1 + x) \cdot (1 + x + x^2)$ . Note that we defined  $k$  so that  $\deg(g(x)) = n - k$ . It follows that since  $g(x)$  has degree 2 that  $k = 1$ . In this case there are only four possible polynomials  $\alpha(x)$  of degree  $\leq k = 1$ . These are  $\{0, 1, x, 1 + x\}$ . It follows that

$$\begin{aligned} (1 + x + x^2) \cdot 0 &\equiv 0 \pmod{x^3 - 1} &\longrightarrow 000, \\ (1 + x + x^2) \cdot 1 &\equiv 1 + x + x^2 \pmod{x^3 - 1} &\longrightarrow 111, \\ (1 + x + x^2) \cdot x &\equiv 1 + x + x^2 \pmod{x^3 - 1} &\longrightarrow 111, \\ (1 + x + x^2) \cdot (1 + x) &\equiv 0 \pmod{x^3 - 1} &\longrightarrow 000, \end{aligned}$$

We see that the code generated is the  $[3,1]$  repetition code which is just  $\{000, 111\}$ . The codewords are repeated exactly twice.

We will now prove the following theorem.

**Theorem (1):** Fix an integer  $n > 1$ . Let  $g(x) \in \mathbb{F}_2[x]$  divide the polynomial  $x^n - 1$ . Assume the degree of  $g(x)$  is  $n - k$  for some  $0 \leq k \leq n$ . Consider the set of polynomials

$$\mathcal{P}_g := \left\{ g(x) \cdot \alpha(x) \pmod{(x^n - 1)} \mid \alpha(x) \in \mathbb{F}_2[x] \text{ with } \deg(\alpha(x)) < k \right\}.$$

Every code polynomial  $f(x) \in \mathcal{P}_g$  can be written in the form

$$f(x) = a_0 + a_1x + \cdots + a_{n-1}x^{n-1}.$$

Then the set of all  $\{a_0, a_1, \dots, a_{n-1}\}$  coming from  $f(x) \in \mathcal{P}_g$  form a cyclic  $[n, k]$  code.

**Remark** Note that the difference between Theorem (1) and the CLAIM on the previous page is that we only need polynomials  $\alpha(x)$  with  $\deg(\alpha(x)) < k$ . In the CLAIM we had  $\deg(\alpha(x)) \leq k$ .

**Proof of Theorem (1):** Let  $C$  denote the code generated in the above theorem. First of all every codeword in  $C$  is associated to a code polynomial of the form  $g(x) \cdot \alpha(x)$  where  $\alpha(x) = a_0 + a_1x + \cdots + a_{k-1}x^{k-1} \in \mathbb{F}_2[x]$  is a polynomial of degree  $< k$ . It follows that the sum of any two codewords is again a codeword since the sum of any two polynomials of degree  $< k$  must again be a polynomial of degree  $< k$ .

It remains to prove that the code  $C$  is cyclic. Let  $f(x) = a_0 + a_1x + \cdots + a_{n-1}x^{n-1} \in \mathcal{P}_g$ . Then we may write

$$\begin{aligned} x \cdot f(x) &= a_0x + a_1x^2 + \cdots + a_{n-2}x^{n-1} + a_{n-1}x^n \\ &= a_{n-1} + a_0x + a_1x^2 + \cdots + a_{n-2}x^{n-1} + a_{n-1}(x^n + 1) \\ &= h(x) + a_{n-1}(x^n + 1). \end{aligned}$$

Since both  $x \cdot f(x)$  and  $x^n + 1$  are divisible by  $g(x)$  it follows that  $h(x)$  must also be divisible by  $g(x)$ . Hence  $h(x)$  (which represents the cyclic right shift of  $f(x)$ ) must also be a code polynomial in  $\mathcal{P}_g$ , and the code generated by  $g(x)$  is a cyclic code.  $\square$

We shall next prove that every cyclic code can be constructed (as in Theorem (1)) from a generating polynomial  $g(x)$  which divides  $x^n - 1$ .

**Theorem (2):** *Let  $C$  be a cyclic code. Then there exists a uniquely determined code polynomial  $g(x)$  of minimal degree in  $C$  which has the following properties.*

- (i)  $g(x)$  is unique.
- (ii)  $g(x)$  divides  $x^n - 1$ .
- (iii) The code  $C$  can be constructed using  $g(x)$  as in Theorem (1).

The polynomial  $g(x)$  is called the generator polynomial for the code  $C$ .

**Proof of Theorem (2):**

(i) Assume there are two distinct code polynomials  $g_1(x), g_2(x)$  of minimal degree in  $C$ . Then  $g_1(x) - g_2(x)$  will have a smaller degree than  $g_1(x)$  or  $g_2(x)$ . This is a contradiction so the polynomial  $g(x)$  of minimal degree must be unique.

(ii) Next, assume  $g(x)$  does not divide  $x^n - 1$ . Then

$$x^n - 1 = g(x)\beta(x) + r(x), \quad \left( \beta(x), r(x) \in \mathcal{F}_2[x] \right),$$

where  $r(x)$  is the remainder polynomial which must have degree smaller than  $g(x)$ . This implies  $r(x)$  is also a code polynomial of smaller degree than  $g(x)$  which is a contradiction.

(iii) Once we have found  $g(x)$  it follows from (i), (ii), that we may construct the code  $C$  as in Theorem (1). □

### HOW TO FIND ALL [7,k] CYCLIC CODES

We first factor  $x^7 - 1 = (x - 1) \cdot (x^3 + x + 1) \cdot (x^2 + x^2 + 1)$ . Since we are only considering binary codes (where +1 is the same as -1), we can rewrite the factorization as  $1 + x^7 = (1 + x) \cdot (1 + x + x^3) \cdot (1 + x^2 + x^3)$ . As there are 3 irreducible factors there are 8 cyclic codes (including 0 and  $\mathbb{F}_2^7$ ) with the following generator polynomials:

- (1)  $g(x) = 1, \quad C = \mathbb{F}_2^7 = [7, 7]$  code
- (2)  $g(x) = 1 + x, \quad C = [7, 6]$  code
- (3)  $g(x) = 1 + x + x^3, \quad C = [7, 4]$  code
- (4)  $g(x) = 1 + x^2 + x^3, \quad C = [7, 4]$  code
- (5)  $g(x) = (1 + x)(1 + x + x^3) = 1 + x^2 + x^3 + x^4, \quad C = [7, 3]$  code
- (6)  $g(x) = (1 + x)(1 + x^2 + x^3) = 1 + x + x^2 + x^4, \quad C = [7, 3]$  code
- (7)  $g(x) = (1 + x + x^3)(1 + x^2 + x^3) = 1 + x + x^2 + x^3 + x^4 + x^5 + x^6, \quad C = [7, 1]$  code
- (8)  $g(x) = x^7 + 1, \quad C = \{0000000\} = [7, 0]$  code.