

Variation of the Swan conductor of an \mathbb{F}_ℓ -sheaf on a rigid disc

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Paris-Saclay & IHÉS

December 18, 2020

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$$t \mapsto \text{Sw}(\mathcal{F}|_{\mathcal{D}^{(t)}})$$

Constructed with the ramification
theory of Abbes-Saito.

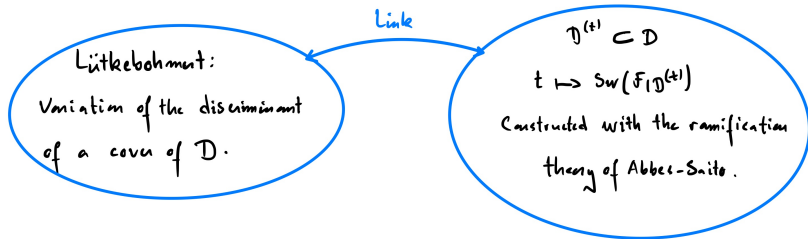
Lütkebohmert:

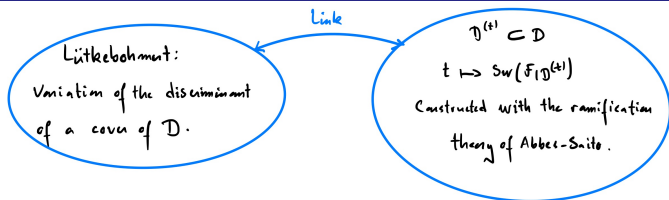
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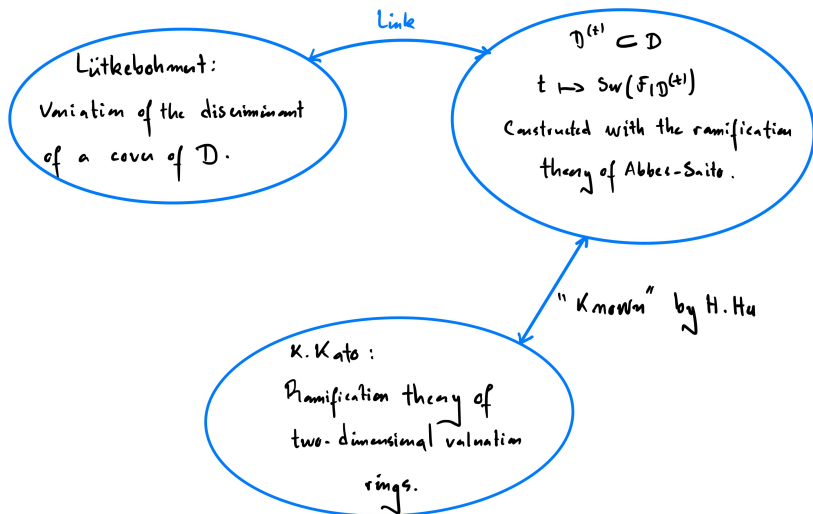
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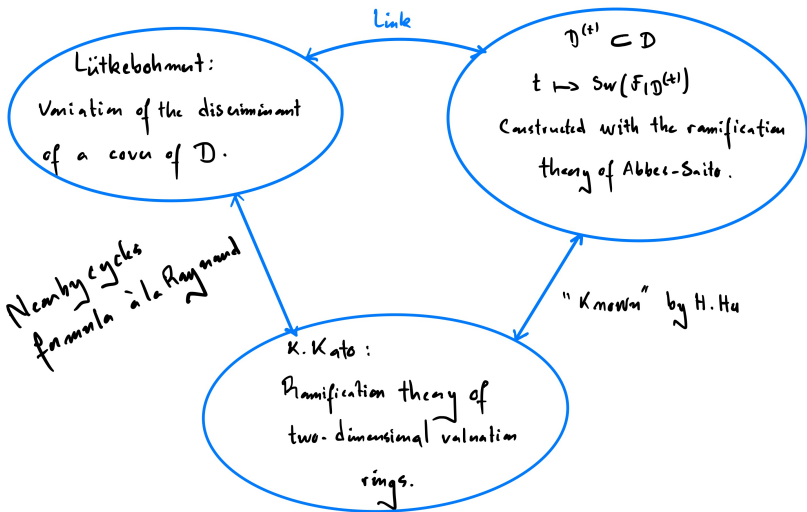
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K. Kato:
Ramification theory of
two-dimensional valuation
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- $G_K = \text{Gal}(\bar{K}/K)$
- $v : \bar{K}^\times \rightarrow \mathbb{Q}$ the valuation map normalized by $v(\pi) = 1$.

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- Graded quotient

$$\mathrm{Gr}_{\log}^r G_K = G_{K,\log}^r / G_{K,\log}^{r+} \quad (r > 0)$$

is abelian and killed by p .

The refined Swan conductor

Theorem (Kato, Abbes-Saito, Saito)

Assume that k is of finite type over a perfect sub-field k_0 . For every $r > 0$, there is an injective homomorphism, the refined Swan conductor

$$\text{rsw} : \text{Hom}(\text{Gr}_{\log}^r G_K, \mathbb{F}_p) \rightarrow \text{Hom}_{\bar{k}}(\mathfrak{m}_{\bar{K}}^r / \mathfrak{m}_{\bar{K}}^{r+}, \Omega_{\bar{k}}^1(\log) \otimes_{\bar{k}} \bar{k}).$$

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$$\Omega_k^1(\log) = (\Omega_{k/k_0}^1 \oplus (k \otimes_{\mathbb{Z}} K^\times)) / (d\bar{a} - \bar{a} \otimes a, a \in \mathcal{O}_K^\times).$$

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$$M = \bigoplus_{r \in \mathbb{Q}_{\geq 0}} M^{(r)}$$

$M^{(0)} = M^{P_K}$, $(M^{(r)})^{G_{K,\log}^r} = 0$ and $(M^{(r)})^{G_{K,\log}^{r+}} = M^{(r)}$ ($r > 0$).

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$$\text{sw}_G(M) = 0 \Leftrightarrow M^{P_K} = M.$$

The characteristic cycle

Let $\psi : \mathbb{F}_p \rightarrow \Lambda^\times$ be a nontrivial character. For $r > 0$, $M^{(r)} \neq 0$ has a *central character decomposition*

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The *Characteristic cycle* of M is

$$\mathrm{CC}_{\psi}(M) = \bigotimes_{r \in \mathbb{Q}_{>0}} \bigotimes_{\chi} (\mathrm{rsw}(\bar{\chi})(\pi^r))^{\otimes (\dim_{\Lambda} M_{\chi}^{(r)})} \in (\Omega_k^1(\log) \otimes_k \bar{k})^{\otimes m}$$

where $m = \dim_{\Lambda} M/M^{(0)}$.

Theorem (H. Hu, 2015)

If L/K is of type (II), i.e. $\mathcal{O}_L/\mathcal{O}_K$ is monogenic with purely inseparable residue extension, then

$$\mathrm{CC}_\psi(M) \in (\Omega_k^1)^m.$$

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$$\mathcal{F} \longleftrightarrow [f : X \rightarrow D + \Lambda\text{-rep. } \rho_{\mathcal{F}} \text{ of } G = \text{Aut}(X/D)].$$

We consider the Cartesian diagram ($t \in \mathbb{Q}_{\geq 0}$)

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$$\rightsquigarrow \mathrm{sw}_{G_{\bar{q}^{(t)}}}(M_{\bar{q}^{(t)}}) \quad \text{and} \quad \mathrm{CC}_\psi(M_{\bar{q}^{(t)}}).$$

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The function $\text{sw}(\mathcal{F}, \cdot) : \mathbb{Q}_{\geq 0} \rightarrow \mathbb{Q}$, $t \mapsto \text{sw}_{G_{\bar{q}(t)}}(M_{\bar{q}(t)})$ is continuous and piecewise linear, with finitely many slopes which are all integers.

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$$\varphi_s(\mathcal{F}, \cdot) : t \mapsto -\text{ord}_{\bar{\mathfrak{p}}(t)}(\text{CC}_\psi(M_{\bar{q}(t)})) + \dim_\Lambda(M_{\bar{q}(t)}/M_{\bar{q}(t)}^{(0)}),$$

where $M_{\bar{q}(t)}^{(0)}$ is the tame part of $M_{\bar{q}(t)}$ and $\text{ord}_{\bar{\mathfrak{p}}(t)}$ is the extension to $\Omega_{\kappa(\bar{\mathfrak{p}}(t))}^1$ of the normalized discrete valuation on the residue field $\kappa(\bar{\mathfrak{p}}(t))$, which is the field of fraction of $\mathcal{O}_{\mathfrak{D}_{s'}, \bar{\mathfrak{p}}(t)}$.

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- (3) The theorem should also hold when \mathcal{F} has "horizontal ramification".
- (4) Analogous result by Ramero. Baldassarri, Pulita, Poineau-Pulita, Kedlaya proved an analogue for p -adic differential equations.

The discriminant of a rigid morphism

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- Weierstrass preparation theorem: an invertible function on $A(\rho, \rho') = \{x \in \overline{K} \mid \rho \geq v(x) \geq \rho'\}$ ($\rho, \rho' \in \mathbb{Q}$) can be written in the form

$$\xi \mapsto c\xi^d(1 + h(\xi)), \quad \text{with} \quad h(\xi) = \sum_{i \in \mathbb{Z} - \{0\}} h_i \xi^i,$$

where $c \in K^\times$, $d \in \mathbb{Z}$ (the *order* of the function) and h such that $|h(\xi)|_{\text{sup}} < 1$.

- When $X = A(r/d, r'/d)$ ($r \geq r' \geq 0$), and $f : A(r/d, r'/d) \rightarrow A(r, r') \subset D$ finite étale of order d , Lütkebohmert computes ∂_f^α explicitly and observes that it is affine and is

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- More generally, by the semi-stable reduction theorem, ∂_f^α is continuous and piecewise linear with finitely many slopes (integers) given by

$$\frac{d}{dt} \partial_f^\alpha(t+) = \sigma_i - d + \delta_f(i),$$

for some partition $r_{n+1} = 0 < r_n < \dots < r_0 = +\infty$ et $t \in [r_i, r_{i-1}[$.

Ramification of \mathbb{Z}^2 -valuation rings

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Ramification of \mathbb{Z}^2 -valuation rings

$$\begin{array}{ccccc}
 \bar{q}^{(t)} & \rightsquigarrow & \bar{x}^{(t)} & \longrightarrow & \mathfrak{X}_{K'}^{(t)} \\
 \downarrow & & \downarrow & & \downarrow \\
 \bar{p}^{(t)} & \rightsquigarrow & \bar{0}^{(t)} & \longrightarrow & \mathfrak{D}_{K'}^{(t)}.
 \end{array}$$

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\Rightarrow Ramification filtration of $\text{Gal}(\mathbb{L}_t^h / \mathbb{K}_t^h) \subset G$ indexed by the value group of V_t^h (isomorphic to \mathbb{Z}^2).

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\Rightarrow Ramification filtration of $\text{Gal}(\mathbb{L}_t^h/\mathbb{K}_t^h) \subset G$ indexed by the value group of V_t^h (isomorphic to \mathbb{Z}^2).

$$\tilde{a}_f^\alpha(t) : G = \text{Aut}(X/D) \rightarrow \mathbb{Q} \quad \text{and} \quad \widetilde{\text{sw}}_f^\beta(t) : G \rightarrow \mathbb{Z}.$$

The link

Proposition

Assume $K_X \simeq \mathcal{O}_X$. Then, we have the identity

$$\partial_f^\alpha(t) = \langle \tilde{a}_f^\alpha(t), r_G \rangle, \quad (14.1)$$

where $\langle \cdot, \cdot \rangle$ is the usual pairing for class functions and r_G is the character of the regular representation of G .

The link

Proposition

Assume $K_X \simeq \mathcal{O}_X$. Then, we have the identity

$$\partial_f^\alpha(t) = \langle \tilde{a}_f^\alpha(t), r_G \rangle, \quad (15.1)$$

where $\langle \cdot, \cdot \rangle$ is the usual pairing for class functions and r_G is the character of the regular representation of G . The right derivative of ∂_f^α at $t \in [r_i, r_{i-1}[$ is

$$\frac{d}{dt} \partial_f^\alpha(t+) = \sigma_i - d + \delta_f(i) = \langle \widetilde{\text{sw}}_f^\beta(t), r_G \rangle. \quad (15.2)$$

- 14.1 is an incarnation of the classical equality of the valuation of the different with the value of the Artin character at 1.
- 14.2 is deduced from a formula à la Raynaud for the dimension of some nearby cycle involving σ and $\delta_f(i)$.

A nearby cycles formula

$$\begin{array}{ccc} \bar{x}^{(t)} & \longrightarrow & \mathfrak{X}_{K'}^{(t)} \\ \downarrow & & \downarrow \\ \bar{0}^{(t)} & \longrightarrow & \mathfrak{D}_{K'}^{(t)}. \end{array}$$

$$\begin{array}{ccc}
 \bar{x}_j^{(t)} & \longrightarrow & \mathfrak{X}_{K'}^{(t)} \\
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 \end{array}
 \rightsquigarrow
 \mathcal{O}_{\mathfrak{D}_{K'}^{(t)}, \bar{0}^{(t)}} = A^{(t)} \rightarrow B_j^{(t)} = \mathcal{O}_{\mathfrak{X}_{K'}^{(t)}, \bar{x}_j^{(t)}}.$$

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- $P_j^{(t)}$ = set of height 1 prime ideals of $B_j^{(t)}$

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- $P_j^{(t)}$ = set of height 1 prime ideals of $B_j^{(t)}$
- $B_{j,s}^{(t)} = B_j^{(t)} / \mathfrak{m}_K B_j^{(t)}$ is reduced
- $\widetilde{B_{j,0}^{(t)}}$ normalization of $B_{j,0}^{(t)}$
- $\delta_j^{(t)} = \dim_k(\widetilde{B_{j,0}^{(t)}} / B_{j,0}^{(t)})$.

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 - $\delta_j^{(t)} = \dim_k(\widetilde{B_{j,0}^{(t)}} / B_{j,0}^{(t)})$.
 - $A_{K'}^{(t)} = A^{(t)} \otimes_{\mathcal{O}_{K'}} K' \rightarrow B_{j,K'}^{(t)} = A^{(t)} \otimes_{\mathcal{O}_{K'}} K'$.
- Bilinear trace map $B_{j,K'} \times B_{j,K'} \rightarrow A_{K'}^{(t)}$ well-defined
- \rightsquigarrow K' -linear determinant homomorphism $T_j^{(t)}$
- $d_j^{(t)} = \dim_{K'}(\text{Coker}(T_j^{(t)}))$

Proposition

For each $i = 1, \dots, n$ and each $t \in]r_i, r_{i-1}[\cap \mathbb{Q}$, we have

$$\sum_j (d_j^{(t)} - 2\delta_j^{(t)} + |P_j|) = \sigma_i + \delta_f(i). \quad (16.1)$$

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Remark

Imagine $Y^{(t)} = \mathfrak{X}_{K'}^{(t)}$ were a scheme over $S' = \text{Spec}(\mathcal{O}_{K'})$. Then, Kato proved that

$$2\delta_j^{(t)} - |P_j^{(t)}| + 1 = \dim_{\Lambda} H_{\text{ét}}^1(Y_{(\bar{x}'_j)}^{(t)} \times \bar{\eta}, \Lambda). \quad (19.1)$$

Sketch of proof



$$\begin{array}{ccc}
 X^{[t]} & \hookrightarrow & X^{(t)} \\
 \downarrow & \square & \downarrow f^{(t)} \\
 D^{[t]} & \hookrightarrow & D^{(t)}
 \end{array}
 \quad \rightsquigarrow \quad
 \begin{array}{ccc}
 \mathfrak{X}_{K'}^{[t]} & \hookrightarrow & \mathfrak{X}_{K'}^{(t)} \\
 \downarrow & \square & \downarrow \tilde{f}^{(t)} \\
 \mathfrak{D}_{K'}^{[t]} & \hookrightarrow & \mathfrak{D}_{K'}^{(t)}
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$$X^{[t]} = \prod_{j=1}^{\delta_f(i)} D^{[t/d_{ij}]} \rightsquigarrow \mathfrak{X}_{K'}^{[t]} = \prod_{j=1}^{\delta_f(i)} \mathfrak{D}^{[t/d_{ij}]}$$

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$$X^{[t]} = \prod_{j=1}^{\delta_f(i)} D^{[t/d_{ij}]} \rightsquigarrow \mathfrak{X}_{K'}^{[t]} = \prod_{j=1}^{\delta_f(i)} \mathfrak{D}^{[t/d_{ij}]}$$

$$\mathfrak{Y}_{K'}^{(t)} = (\mathfrak{X}_{K'}^{(t)} \cup (\prod_j^{\delta_f(i)} \mathfrak{D}_{ij}^{(t)})) / \mathfrak{D}^{[t/d_{ij}]} \sim \mathfrak{D}_{ij}^{[t]} \rightarrow \mathrm{Spf}(\mathcal{O}_{K'}).$$

is a formal relative curve, normal, proper (flat); smooth rigid generic fiber $\mathfrak{Y}_{\eta'}^{(t)}$ and $\mathrm{Sing}(\mathfrak{Y}_{s'}^{(t)}) \subset \mathfrak{X}_{s'}^{(t)} - \mathfrak{X}_{s'}^{[t]} = \widehat{f}_{s'}^{(t)-1}(0^{(t)})$.

- $\mathfrak{Y}_{K'}^{(t)}$ proper flat formal curve \Rightarrow algebraizable (Grothendieck) :
there exists $Y_{K'}^{(t)}$ normal, proper flat over $S' = \text{Spec}(\mathcal{O}_{K'})$,
with smooth generic fiber, such that $\widehat{Y_{K'}^{(t)}} \cong \mathfrak{Y}_{K'}^{(t)}$.

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- Approximation of $f^{(t)}$: rigid Runge theorem (Raynaud)
 $\Rightarrow \exists g^{(t)} : Y_{K'}^{(t)} \rightarrow \mathbb{P}_{S'}^1$ s.t. $\widehat{g_{\eta'}^{(t)}}$ is close enough to $f^{(t)}$ on
 $D_{ij}^{[t]}$ that $df^{(t)}$ and $dg_{\eta'}^{(t)}$ have the same zeros with same orders
of vanishing on $D_{ij}^{[t]}$.

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Then,

$$2g(Y_{\bar{\eta}}^{(t)}) - 2|\pi_0(Y_{\bar{\eta}}^{(t)})| = \deg(\text{div}(dg_{\eta'}^{(t)})).$$

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Then,

$$2g(Y_{\bar{\eta}}^{(t)}) - 2|\pi_0(Y_{\bar{\eta}}^{(t)})| = \deg(\text{div}(dg_{\eta'}^{(t)})).$$

- $\deg(\text{div}(dg_{\eta'}^{(t)})) = \sum_{j=1}^N d_j^{(t)} - \sigma_i - 2\delta_f(i).$

- $2|\pi_0(Y_{\bar{\eta}}^{(t)})| - 2g(Y_{\bar{\eta}}^{(t)}) = \chi(Y_{\bar{\eta}}^{(t)}, \Lambda) = \chi(Y_{s'}^{(t)}, R\Psi_{Y_{K'}^{(t)}/S'}(\Lambda))$

$$\blacksquare \quad 2|\pi_0(Y_{\bar{\eta}}^{(t)})| - 2g(Y_{\bar{\eta}}^{(t)}) = \chi(Y_{\bar{\eta}}^{(t)}, \Lambda) = \chi(Y_{s'}^{(t)}, R\Psi_{Y_{K'}/S'}(\Lambda))$$

$$\chi(Y_{s'}^{(t)}, R\Psi_{Y_{K'}/S'}(\Lambda)) = N + \delta_f(i) - \sum_{j=1}^N \dim_{\Lambda} H_{\text{ét}}^1(Y_{\bar{x}_j}^{(t)} \times \bar{\eta}, \Lambda)$$

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$$\dim_{\Lambda} H_{\text{ét}}^1(Y_{\bar{x}'_j}^{(t)} \times \bar{\eta}, \Lambda) = 2\delta_j^{(t)} - |P_j^{(t)}| + 1 \quad (\text{Kato}).$$

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Put together \Rightarrow QED.

Theorem

Let $\chi \in R_\Lambda(G)$. Then, the map

$$\tilde{a}_f^\alpha(\chi, \cdot) : \mathbb{Q}_{\geq 0} \rightarrow \mathbb{Q}, \quad t \mapsto \langle \tilde{a}_f^\alpha(t), \chi \rangle_G \quad (20.1)$$

is continuous and piecewise linear, with finitely many slopes which are all integers. Its right derivative at $t \in \mathbb{Q}_{\geq 0}$ is

$$\frac{d}{dt} \tilde{a}_f^\alpha(\chi, t+) = \langle \widetilde{\text{sw}}_f^\beta(t), \chi \rangle_G. \quad (20.2)$$

Conclusion

Proposition

Let M be a Λ -valued representation of G . Then, we have the identities

$$\langle \widetilde{a}_f^\alpha, \chi_M \rangle = \text{sw}_G(M), \quad (21.1)$$

$$\langle \widetilde{\text{sw}}_f^\beta, \chi_M \rangle = -\text{ord}_p(\text{CC}_\psi(M)) + \dim_\Lambda(M/M^{(0)}). \quad (21.2)$$

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Deduced from a comparison theorem of H. Hu:

$$\text{CC}_\psi(M) = \text{KCC}_{\psi(1)}(\chi_M).$$

Thank you !