

RIBET'S CONVERSE THEOREM

YU-SHENG LEE

In this note we discuss the relation between Eisenstein congruences and Ribet's converse to the Herbrand-Ribet theorem. Following [Ski09], we treat the theorem as a specialized case of the Iwasawa main conjecture and emphasize the role of the congruence modules. Throughout, let p be an odd prime, $\chi: G_{\mathbf{Q}} \rightarrow \mathbf{Z}_p^\times$ be the p -th cyclotomic character, and

$$\omega = \bar{\chi}: G_{\mathbf{Q}} \rightarrow \text{Gal}(\mathbf{Q}(\mu_p)/\mathbf{Q}) \rightarrow \mathbb{F}_p^\times \cong \mu_{p-1}$$

be the Teichmüller character.

1. THE HERBRAND-RIBET THEOREM

Let $A = Cl(\mathbf{Q}(\mu_p)) \otimes \mathbf{Z}_p$ be the p -primary part of the field $\mathbf{Q}(\mu_p)$. The action of $\text{Gal}(\mathbf{Q}(\mu_p)/\mathbf{Q})$ on A gives a decomposition

$$A = \bigoplus_{n=0}^{p-2} A_n$$

where the Galois group acts on A_n by ω^n . Recall that p is said to be irregular if $A \neq 0$, and we are interested in the finer problem of whether $A_n \neq 0$.

When n is even, the Kummer-Vandiver conjecture states that A_n should be trivial. Using the Stickelberger elements, Herbrand has shown that $A_0 = A_1 = 0$, and if $A_{p-k} \neq 0$ for some even $k < p-1$, then p divides the k -th Bernoulli number B_k defined by

$$\frac{t}{e^t - 1} = \sum_{n=0}^{\infty} B_n \frac{t^n}{n!}.$$

We refer to [Was82] for a detailed discussion of these facts. On the other hand, Ribet has shown that the converse of Herbrand's result is also true.

Theorem 1.1. [Rib76] Let $2 \leq k < p-1$ be an even number. If $p \mid B_k$, then $A_{p-k} \neq 0$.

Remark 1.2. By the von Staudt theorem we have $\text{val}_p(B_{p-1}) = -1$. This is compatible with the fact that $A_1 = 0$.

We first reinterpret A_n as Selmer groups. Let $G = G_{\mathbf{Q}}, H = G_{\mathbf{Q}(\mu_p)}, \Delta = \text{Gal}(\mathbf{Q}(\mu_p)/\mathbf{Q}) = G/H$ and $W = \mathbb{F}(\omega^n)$, define

$$H_f^1(\mathbf{Q}, W) := \ker \left(H^1(\mathbf{Q}, W) \rightarrow \prod_{\ell} H^1(I_{\ell}, W) \right).$$

Since $p \nmid \#\Delta$, we can stare at the inflation-restriction exact sequence

$$\begin{array}{ccccccc} H^1(\Delta, W) & \longrightarrow & H^1(G, W) & \xrightarrow{\sim} & H^1(H, W)^\Delta & \longrightarrow & H^2(\Delta, W) \\ \parallel & & \downarrow & & \downarrow & & \parallel \\ 0 & & H^1(I_\ell, W) & \hookrightarrow & \prod_{v|\ell} H^1(I_v, W) & & 0 \end{array}$$

and the isomorphism $H^1(H, W)^\Delta \cong \text{Hom}_\Delta(H, W)$, then realize that

$$H_f^1(\mathbf{Q}, W) \cong H_f^1(\mathbf{Q}(\mu_p), W)^\Delta = \{\phi \in \text{Hom}_\Delta(H, W) \mid f(I_v) = 0 \text{ for all } v\} = \text{Hom}(A_n, \mathbb{F}).$$

To show that $A_n \neq 0$, it suffices to show that $H_f^1(\mathbf{Q}, \mathbb{F}(\omega^n))$ is nontrivial, or equivalently, that there exists a non-split extension of Galois representations

$$0 \rightarrow \mathbb{F}(\omega^n) \rightarrow \bar{\rho} \rightarrow \mathbb{F} \rightarrow 0$$

that splits everywhere locally. We will find such an extension by studying the congruences between Galois representations of modular forms.

Consider the classical level 1 Eisenstein series E_k of weight k

$$E_k = \frac{\zeta(1-k)}{2} + \sum_{n=1}^{\infty} \sigma_{k-1}(n)q^n, \quad k \geq 4.$$

The constant terms $\frac{\zeta(1-k)}{2} = \frac{-B_k}{2k}$ have the following congruence relations.

- (1) The values B_k/k lie in \mathbf{Z}_p if and only if $(p-1) \nmid k$.
- (2) If $k \equiv k' \not\equiv 0 \pmod{p-1}$, then

$$\frac{B_k}{k} \equiv \frac{B_{k'}}{k'} \pmod{p}.$$

It follows that if $k < p-1$ is even and $p \mid B_k$, the Eisenstein series $E_{k+m(p-1)}$ have p -integral Fourier coefficients and the constant term is divisible by p . We may thus assume $k > 4$ and E_k is such an Eisenstein series. Then there exists $k = 4a + 6b$ such that

$$F := E_k - \frac{\zeta(1-k)}{2} (240E_4)^a (-504E_6)^b$$

is a nonzero level 1 cusp form of weight k . The Fourier coefficients of F are congruent to those of the Eisenstein series

$$a_\ell(F) \equiv a_\ell(E_k) = 1 + \ell^{k-1} \pmod{p}.$$

Example 1.3. Consider $B_{12} = -691/2730$, then $E_{12} - (240E_4)^3$ is a nonzero multiple of the Ramanujan Δ function.

Let $S = S_k(1, \mathbf{Z}_p)$ be the space of cusp forms and $\mathbb{T} = \mathbb{T}_k(1, \mathbf{Z}_p) \subset \text{End}_{\mathbf{Z}_p}(S)$ be the \mathbf{Z}_p -subalgebra generated by the Hecke operators. We have the following facts.

- (1) The Hecke algebra \mathbb{T} is reduced and finite flat over \mathbf{Z}_p .

(2) There exists a perfect bilinear pairing

$$\mathbb{T} \times S \rightarrow \mathbf{Z}_p, \quad (T, f) \mapsto a_1(Tf)$$

which identifies $S \cong \text{Hom}(\mathbb{T}, \mathbf{Z}_p)$. A cusp form f is a Hecke eigenform if and only if it corresponds to a homomorphism of \mathbf{Z}_p -algebras.

(3) The \mathbf{Q}_p -algebra $\mathbb{T} \otimes \mathbf{Q}_p$ is semi-simple and has a decomposition $\mathbb{T} \otimes \mathbf{Q}_p = \prod_{\lambda} K_{\lambda}$ into finite extensions over \mathbf{Q}_p . There is a correspondence between the fields (or the minimal primes of \mathbb{T}) and the conjugacy classes of Hecke eigenforms.

Since E_k is a Hecke eigenform, the \mathbf{Z}_p -module homomorphism associated to F

$$\eta: \mathbb{T} \rightarrow \mathbb{F}_p, \quad T_{\ell} \mapsto a_{\ell}(F) \equiv 1 + \ell^{k-1} \pmod{p}$$

becomes a ring homomorphism after modulo by p .

Lemma 1.4 (Deligne-Serre lifting). There exists an eigenform $f \in S_k(1, \mathcal{O})$, where \mathcal{O} is the ring of integer of a finite extension over \mathbf{Q}_p with a uniformizer ϖ , such that

$$a_{\ell}(f) \equiv 1 + \ell^{k-1} \pmod{(\varpi)}.$$

Proof. We apply the going-down to the maximal ideal $\mathfrak{m} := \ker(\eta)$ in \mathbb{T} . Since $\mathfrak{m} \cap \mathbf{Z}_p = (p)$, there exists a minimal prime ideal $\mathfrak{p} \subset \mathbb{T}$ such that $\mathfrak{p} \cap \mathbf{Z}_p = (0)$. The quotient \mathbb{T}/\mathfrak{p} is isomorphic to a ring \mathcal{O} as in the statement. Let f be the eigenform corresponding to the ring homomorphism $\mathbb{T} \rightarrow \mathbb{T}/\mathfrak{p} \cong \mathcal{O}$. The congruence property follows from that (ϖ) pullbacks to \mathfrak{m} . \square

The irreducible Galois representation associated to f

$$\rho_f: G_{\mathbf{Q}} \rightarrow \text{GL}_2(K), \quad K = \text{Frac } \mathcal{O}$$

is unramified away from p and satisfies $\text{tr} \rho_f(\text{Frob}_{\ell}) = a_{\ell}(f)$ for all $\ell \neq p$. It follows from

$$(1) \quad \text{tr} \rho_f(\text{Frob}_{\ell}) = a_{\ell}(f) \equiv 1 + \ell^{k-1} = 1 + \chi^{k-1}(\text{Frob}_{\ell}) \pmod{(\varpi)}$$

and the Chebotarev's density that $\text{tr} \rho_f(\sigma) \equiv 1 + \chi^{k-1}(\sigma) \pmod{(\varpi)}$ for all $\sigma \in G_{\mathbf{Q}}$. Therefore, the semi-simplified reduction $\bar{\rho}^{ss}$ is isomorphic to $\omega^{k-1} \oplus 1$. To find a lattice whose reduction gives the desired non-split extension (up to a twist), we need to employ Urban's lattice construction.

Proposition 1.5 ([Urb01]). Let $\rho: G_{\mathbf{Q}} \rightarrow \text{GL}_2(K)$ be an irreducible Galois representation such that

$$\text{tr} \rho \equiv \chi_1 + \chi_2 \pmod{\mathfrak{a}} = (\varpi)^n.$$

for characters $\chi_i: G_{\mathbf{Q}} \rightarrow \mathcal{O}^{\times}$ that are distinct modulo (ϖ) . Then there exists a stable lattice $\mathcal{L} \subset K^2$ whose reduction is a non-split extension between χ_1 and χ_2 modulo \mathfrak{a} .

Proof. Observe that $\det \rho(\sigma) = \text{tr} \rho(\sigma^2) - \text{tr} \rho(\sigma)^2/2$ and therefore

$$\det(X\mathbf{I} - \rho(\sigma)) \equiv (X - \chi_1(\sigma))(X - \chi_2(\sigma)) \pmod{\mathfrak{a}}.$$

Since χ_i are distinct modulo (ϖ) , we can pick $\sigma_0 \in G_{\mathbf{Q}}$ whose characteristic polynomial has distinct roots modulo (ϖ) . By the Hensel lemma, the the roots lift to distinct eigenvalues in \mathcal{O} . We pick a basis $\{v_1, v_2\}$ of eigenvectors and write

$$\rho(\sigma_0) = \begin{pmatrix} \alpha_1 & \\ & \alpha_2 \end{pmatrix}, \quad \alpha_i \in \mathcal{O}, \quad \alpha_i \equiv \chi_i(\sigma_0) \pmod{\mathfrak{a}}.$$

Since $\text{tr}\rho(\sigma\sigma_0^n) \equiv \chi_1(\sigma\sigma_0^n) + \chi_2(\sigma\sigma_0^n) \pmod{\mathfrak{a}}$, the relation

$$a_\sigma\alpha_1^n + d_\sigma\alpha_2^n \equiv \chi_1(\sigma)\alpha_1^n + \chi_2(\sigma)\alpha_2^n \pmod{\mathfrak{a}}, \quad \rho(\sigma) = \begin{pmatrix} a_\sigma & b_\sigma \\ c_\sigma & d_\sigma \end{pmatrix}$$

holds for all n and $\sigma \in G_{\mathbf{Q}}$. And since $\{(1, 1), (\alpha_1, \alpha_2)\}$ generate \mathcal{O}^2 , we actually have

$$a_\sigma \equiv \chi_1(\sigma), \quad d_\sigma \equiv \chi_2(\sigma) \pmod{\mathfrak{a}}$$

for all $\sigma \in G_{\mathbf{Q}}$ and thus

- (1) $a_\sigma, d_\sigma \in \mathcal{O}$ for all $\sigma \in G_{\mathbf{Q}}$,
- (2) $b_\sigma c_\tau = a_{\sigma\tau} - a_\sigma a_\tau \in \mathcal{O}$ for all $\sigma, \tau \in G_{\mathbf{Q}}$ and $b_\sigma c_\tau \equiv 0 \pmod{\mathfrak{a}}$.

Let $C = \{c_\sigma \mid \sigma \in \mathcal{O}[G_{\mathbf{Q}}]\}$ be the \mathcal{O} -submodule in K generated by all $c_\sigma \in G_{\mathbf{Q}}$. Then C is nonzero because ρ is irreducible and it is actually a fractional ideal since the Galois group is compact. We put $\mathcal{L}_1 = \mathcal{O}v_1, \mathcal{L}_2 = Cv_2$ and $\mathcal{L} = \mathcal{L}_1 \oplus \mathcal{L}_2$, which is the stable lattice generated by v_1 over $\mathcal{O}[G_{\mathbf{Q}}]$. By above, the reduction of \mathcal{L} modulo \mathfrak{a} is an extension

$$(2) \quad 0 \rightarrow \mathcal{O}/\mathfrak{a}(\chi_2) \cong \bar{\mathcal{L}}_2 \rightarrow \bar{\mathcal{L}} \rightarrow \bar{\mathcal{L}}_1 \cong \mathcal{O}/\mathfrak{a}(\chi_1) \rightarrow 0$$

as $C/\mathfrak{a}C \cong \mathcal{O}/\mathfrak{a}$. We claim that $\bar{\mathcal{L}}$ has no quotient on which $G_{\mathbf{Q}}$ acts by χ_2 . Otherwise

$$(\rho(\sigma_0) - \chi_2(\sigma_0))v_1 \equiv (\alpha_1 - \alpha_2)v_1 \pmod{\mathfrak{a}},$$

and therefore v_1 lies in the kernel, which contradicts that v_1 generates \mathcal{L} . In particular, the extension gives a nontrivial class in $\text{Ext}_{G_{\mathbf{Q}}}^1(\bar{\chi}_1, \bar{\chi}_2) = H^1(\mathbf{Q}, \mathcal{O}/\mathfrak{a}(\chi_2\chi_1^{-1}))$. \square

Remark 1.6. The proposition was proved for the more general setting when \mathcal{O} is local Henselian and $\bar{\rho}^{ss}$ is the sum of mutually non-isomorphic irreducible representations. We refer to [BC09, chapter 1] for a formulation of these results in terms of pseudo-representations and generalized matrix algebras.

Apply the construction to $\mathfrak{a} = (\varpi)$ and $(\chi_1, \chi_2) = (1, \chi^{k-1})$, we can obtain a nontrivial class in $H^1(\mathbf{Q}, \mathbb{F}(\omega^{k-1}))$ or $H^1(\mathbf{Q}, \mathbb{F}(\omega^{1-k}))$. In either case, the restriction to I_ℓ for $\ell \neq p$ is trivial since ρ_f is unramified away from p and the image of \mathcal{L}_1 in $\bar{\mathcal{L}}$ is a section of the extension in (2). To finish the proof, we need to use the fact that $a_p(f) \equiv 1 + p^{k-1} \equiv 1$ is a unit and thus f is ordinary.

Theorem 1.7. We say an eigenform f is p -ordinary if $a_p(f)$ is a p -unit. When this is the case,

$$\rho_f|_{I_p} \sim \begin{pmatrix} \chi^{k-1} & * \\ & 1 \end{pmatrix}.$$

Now, if the reduction of the lattice \mathcal{L} is a non-split extension $0 \rightarrow \mathbb{F} \rightarrow \bar{\mathcal{L}} \rightarrow \mathbb{F}(\omega^{k-1}) \rightarrow 0$ and $\mathcal{F} \subset K^2$ is the subspace where I_p acts by χ^{k-1} , the reduction of $\mathcal{L} \cap \mathcal{F}$ is a section of the extension when restricted to I_p . Therefore we have show that

$$0 \neq [\bar{\mathcal{L}}] \in H_f^1(\mathbf{Q}, \mathbb{F}(\omega^{1-k})) \cong \text{Hom}(A_{p-k}, \mathbb{F}).$$

This completes the proof of Ribet's converse theorem.

2. CONGRUENCE MODULES

What happens when $\zeta(1-k)/2$ is divisible by higher powers of p ? It is natural to expect that we will be able to construct non-split extensions in larger coefficients. Indeed, if the congruence relation (1) holds for $\mathfrak{a} = (\varpi^n)$, the same arguments will give a nontrivial class in

$$H_f^1(\mathbf{Q}, \mathcal{O}/\mathfrak{a}(\chi^{1-k})) := \ker \left(H^1(\mathbf{Q}, \mathcal{O}/\mathfrak{a}(\chi^{1-k})) \rightarrow \prod_{\ell} H^1(I_{\ell}, \mathcal{O}/\mathfrak{a}(\chi^{1-k})) \right).$$

However, the Deligne-Serre lifting lemma only works for prime ideals, and we have no control of the sizes of congruences for each minimal prime below $\mathfrak{m} = \ker(\eta)$. We therefore need to consider all these primes, or equivalently all the Hecke eigenforms congruent to E_k , at the same time.

Suppose $p^r \parallel \zeta(1-k)/2$. The ring homomorphism

$$\mathbb{T} \rightarrow \mathbf{Z}_p/(p^r), \quad T_{\ell} \mapsto 1 + \ell^{k-1} \pmod{p^r}$$

factors through the ideal $I := (T_{\ell} - 1 - \ell^{k-1}) \subset \mathbb{T}$. Let J be the kernel of the surjective homomorphism $\mathbf{Z}_p \rightarrow \mathbb{T}/I$, the following commutative diagram shows that $J \subset (p^r)$.

$$\begin{array}{ccccc} & & \mathbb{T}/I & \xrightarrow{\eta} & \mathbb{T}/\mathfrak{m} \\ & \nearrow \sim & \downarrow & & \downarrow \wr \\ \mathbf{Z}_p/J & \longrightarrow & \mathbf{Z}_p/(p^r) & \longrightarrow & \mathbb{F}_p \end{array}$$

Let $\mathbb{T}_{\mathfrak{m}}$ be the localization, then the components of $K := \mathbb{T}_{\mathfrak{m}} \otimes \mathbf{Q}_p = \prod_{\lambda} K_{\lambda}$ corresponds to conjugacy classes of eigenforms that are congruent to E_k . If ρ_{λ} is the p -adic Galois representation associated to each eigenform, we write

$$\rho_{\mathfrak{m}} = \prod \rho_{\lambda} : G_{\mathbf{Q}} \rightarrow \mathrm{GL}_2(K) = \prod \mathrm{GL}_2(K_{\lambda}),$$

which is irreducible at each component and $\mathrm{tr} \rho_{\mathfrak{m}}(\mathrm{Frob}_{\ell}) = T_{\ell} \in \mathbb{T}_{\mathfrak{m}} \subset K$ if $\ell \neq p$. Since by tautology

$$\mathrm{tr} \rho_{\mathfrak{m}}(\mathrm{Frob}_{\ell}) = T_{\ell} \equiv \ell^{k-1} + 1 = \chi^{k-1}(\mathrm{Frob}_{\ell}) + 1 \pmod{I},$$

we have $\mathrm{tr} \rho_{\mathfrak{m}}(\sigma) \equiv \chi^{k-1}(\sigma) + 1 \pmod{I}$ for $\sigma \in G_{\mathbf{Q}}$. Apply the same argument as in the proof of the lattice construction, we can find a basis $\{v_1, v_2\}$ of eigenvectors for some $\rho_{\mathfrak{m}}(\sigma_0)$ such that

$$\rho_{\mathfrak{m}}(\sigma) = \begin{pmatrix} a_{\sigma} & b_{\sigma} \\ c_{\sigma} & d_{\sigma} \end{pmatrix} \quad \text{satisfies}$$

- (1) $a_{\sigma} \in \mathbb{T}_{\mathfrak{m}}$ for all $\sigma \in G_{\mathbf{Q}}$ and $a_{\sigma} \equiv \chi^{k-1}(\sigma) \pmod{I}$,
- (2) $d_{\sigma} \in \mathbb{T}_{\mathfrak{m}}$ for all $\sigma \in G_{\mathbf{Q}}$ and $d_{\sigma} \equiv 1 \pmod{I}$,
- (3) $b_{\sigma} c_{\tau} \in \mathbb{T}_{\mathfrak{m}}$ for all $\sigma, \tau \in G_{\mathbf{Q}}$ and $b_{\sigma} c_{\tau} \equiv 0 \pmod{I}$.

Let $C = \{c_{\sigma} \mid \sigma \in \mathbb{T}_{\mathfrak{m}}[G_{\mathbf{Q}}]\}$ be the $\mathbb{T}_{\mathfrak{m}}$ -submodule in K generated by all $c_{\sigma} \in G_{\mathbf{Q}}$. Since each ρ_{λ} is an irreducible Galois representation, the projection of C to each K_{λ} is a nonzero fractional ideal. In particular, C is a finite faithful $\mathbb{T}_{\mathfrak{m}}$ -module.

Put $\mathcal{L}_1 = \mathbb{T}_m v_1$, $\mathcal{L}_2 = C v_2$ and $\mathcal{L} = \mathcal{L}_1 \oplus \mathcal{L}_2$. Again, \mathcal{L} is the stable lattice generated by v_1 over $\mathbb{T}_m[G_{\mathbf{Q}}]$ and the reduction modulo I is an extension

$$0 \rightarrow C/IC \cong \bar{\mathcal{L}}_2 \rightarrow \bar{\mathcal{L}} \rightarrow \bar{\mathcal{L}}_1 \cong \mathbb{T}_m/I(\chi^{k-1}) \rightarrow 0$$

having no quotient on which $G_{\mathbf{Q}}$ acts trivially. Since $\mathbb{T}_m/I = \mathbf{Z}_p/J$, for any $\phi \in \text{Hom}(C/IC, \mathbf{Q}_p/\mathbf{Z}_p)$ the non-split extension

$$0 \rightarrow \bar{\mathcal{L}}_2/\ker(\phi) \rightarrow \bar{\mathcal{L}}/\ker(\phi) \rightarrow \bar{\mathcal{L}}_1 \rightarrow 0$$

gives a nontrivial class in $H_f^1(\mathbf{Q}, \bar{\mathcal{L}}_2/\ker(\phi)(\chi^{1-k})) \hookrightarrow H_f^1(\mathbf{Q}, \mathbf{Q}_p/\mathbf{Z}_p(\chi^{1-k}))$. Thus the map

$$\text{Hom}(C/IC, \mathbf{Q}_p/\mathbf{Z}_p) \hookrightarrow H_f^1(\mathbf{Q}, \mathbf{Q}_p/\mathbf{Z}_p(\chi^{1-k}))$$

is injective and dually we have a surjective homomorphism $H_f^1(\mathbf{Q}, \mathbf{Q}_p/\mathbf{Z}_p(\chi^{1-k}))^\vee \rightarrow C/IC$ between finitely-generated \mathbf{Z}_p -modules.

Definition 2.1. Let M be an R -module of finite presentation

$$R^a \xrightarrow{h} R^b \rightarrow M \rightarrow 0.$$

We define the (0-th) Fitting ideal $\text{Fitt}_R(M)$ to be the R -ideal generated by the determinants of all (b, b) -minors in h if $a \geq b$, and $\text{Fitt}_R(M) = R$ if $a < b$. The definition is independent of the choice of the presentation.

We also recall the following facts from [MW84, Appendix].

- (1) $\text{Fitt}(M) \subset \text{Fitt}(M')$ if $M \twoheadrightarrow M'$.
- (2) $\text{Fitt}(M) \subset \text{Ann}(M)$, therefore the Fitting ideal of a faithful R -module is trivial.
- (3) $\text{Fitt}_{R/I}(M/IM) = \text{Fitt}_R(M) \pmod{I}$.

We can deduce from which that $\text{Fitt}_{\mathbf{Z}_p} H_f^1(\mathbf{Q}, \mathbf{Q}_p/\mathbf{Z}_p(\chi^{1-k}))^\vee \subset \text{Fitt}_{\mathbf{Z}_p}(C/IC)$ and

$$\text{Fitt}_{\mathbf{Z}_p}(C/IC) \pmod{J} = \text{Fitt}_{\mathbf{Z}_p/J}(C/IC) = \text{Fitt}_{\mathbb{T}_m/I}(C/IC) = \text{Fitt}_{\mathbb{T}_m}(C) \pmod{I}.$$

But $\text{Fitt}_{\mathbb{T}_m}(C) = 0$ since C is a faithful \mathbb{T}_m -module, thus

$$\text{Fitt}_{\mathbf{Z}_p}(C/IC) \subset J \subset (p^r) = (\zeta(1-k)).$$

And as $\#C/IC = \#\mathbf{Z}_p/\text{Fitt}_{\mathbf{Z}_p}(C/IC) \geq \#\mathbf{Z}_p/(\zeta(1-k))$, we obtain the following proposition that partially answers the question we posed in the beginning of the section.

Proposition 2.2. Let $k \geq 4$ be an even number and $(p-1) \nmid k$, we have

$$\#H_f^1(\mathbf{Q}, \mathbf{Q}_p/\mathbf{Z}_p(\chi^{1-k})) \geq \#\mathbf{Z}_p/(\zeta(1-k)).$$

At last, we recall the definition of congruence modules and reinterpret the above chain of inclusions. Let $M' = M'_k(1, \mathbf{Z}_p)$ be the space of modular forms with $a_n(f) \in \mathbf{Z}_p$ for all $n \geq 1$ and \mathbb{T}' be the Hecke algebra acting on M' . Note that we do not require the constant term to be p -integral. Since $S \subset M'$, the Hecke algebra \mathbb{T} is a quotient of \mathbb{T}' . In fact, we have

$$\mathbb{T}' \otimes \mathbf{Q}_p \cong \mathbf{Q}_p \times (\mathbb{T} \otimes \mathbf{Q}_p)$$

where the \mathbf{Q}_p -component is given by the Eisenstein series E_k . Let $e \in \mathbb{T}' \otimes \mathbf{Q}_p$ be the idempotent corresponding to $(\mathbb{T} \otimes \mathbf{Q}_p)$, then $e\mathbb{T}' = \mathbb{T}$.

Definition 2.3. Following [TU22], we define the congruence modules

$$C_0(\mathbb{T}') = e\mathbb{T}'/e\mathbb{T}' \cap \mathbb{T}', \quad C_0(M') = eM'/eM' \cap M'.$$

Since $e\mathbb{T}' \cap \mathbb{T}'$ is the kernel of the homomorphism to the Eisenstein component

$$\mathbb{T}' \rightarrow \mathbf{Q}_p, \quad T_\ell \mapsto 1 + \ell^{k-1},$$

its image in $e\mathbb{T}' = \mathbb{T}$ is precisely $I = (T_\ell - 1 - \ell^{k-1})$ and we have recovered $C_0(\mathbb{T}') \cong \mathbb{T}/I$. On the other hand, we have $eM' \cap M' = S$ and $C_0(M')$ measures the congruences between cusp forms and the Eisenstein series E_k .

Lemma 2.4. The congruence module $C_0(M')$ has an element of order p^n if and only if there exists $G \in M'$ such that $F := E_k - p^n G \in S$.

Proof. Since $C_0(M') \cong M'/(\mathbf{Z}_p E_k \oplus S)$, if $G \in M'$ projects to an element of order p^n in $C_0(M')$, then $p^n G = aE_k + F$ for some $a \in \mathbf{Z}_p^\times$ and $F \in S \setminus pS$. The converse is then also clear. \square

In particular, recall that we have constructed $F = E_k - \frac{\zeta(1-k)}{2}(240E_4)^a(-504E_6)^b \in S$. By the lemma there exists a submodule isomorphic to $\mathbf{Z}_p/(\zeta(1-k))$ in $C_0(M')$. We now observe that

$$\begin{aligned} \text{Ann}_{\mathbf{Z}_p} C_0(M') &\subset (\zeta(1-k)), \quad \text{since } \mathbf{Z}_p/(\zeta(1-k)) \hookrightarrow C_0(M'), \\ J = \text{Ann}_{\mathbf{Z}_p} C_0(\mathbb{T}') &\subset \text{Ann}_{\mathbf{Z}_p} C_0(M'), \quad \text{since } C_0(\mathbb{T}') \otimes M' \twoheadrightarrow C_0(M'), \end{aligned}$$

and we have already proved $\text{Fitt}_{\mathbf{Z}_p} H_f^1(\mathbf{Q}, \mathbf{Q}_p/\mathbf{Z}_p(\chi^{1-k}))^\vee \subset J$. In conclusion, we have

$$(\zeta(1-k)) \supset \text{Ann}_{\mathbf{Z}_p} C_0(M') \supset \text{Ann}_{\mathbf{Z}_p} C_0(\mathbb{T}') \supset \text{Fitt}_{\mathbf{Z}_p} H_f^1(\mathbf{Q}, \mathbf{Q}_p/\mathbf{Z}_p(\chi^{1-k}))^\vee.$$

This will turn out to be a recurring theme.

REFERENCES

- [BC09] Joël Bellaïche and Gaëtan Chenevier. *Families of Galois representations and Selmer groups*. Number 324 in Astérisque. Société mathématique de France, 2009. 4
- [MW84] B. Mazur and A. Wiles. Class fields of abelian extensions of \mathbf{Q} . *Inventiones mathematicae*, 76:179–330, 1984. 6
- [Rib76] Kenneth A. Ribet. A Modular Construction of Unramified p -Extensions of $\mathbf{Q}(\mu_p)$. *Inventiones mathematicae*, 34:151–162, 1976. 1
- [Ski09] Christopher M. Skinner. Galois representations, Iwasawa Theory, and Special Values of L-functions. *CMI Summer School on Galois representations*, 2009. 1
- [TU22] Jacques Tilouine and Eric Urban. Integral period relations and congruences. *Algebra & Number Theory*, 2022. 7
- [Urb01] Eric Urban. Selmer groups and the Eisenstein-Klingen ideal. *Duke Mathematical Journal*, 106(3):485 – 525, 2001. 3
- [Was82] Lawrence C. Washington. *Introduction to cyclotomic fields*. Springer-Verlag, New York, 1982. 1