

FINITENESS OF GOOD MODULI SPACES OF GRADED POINTS

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March 2025

Abstract

In this note we give a conceptual proof of the fact that, given a quasi-compact algebraic stack $\mathcal{X}$ with good moduli space X , the good moduli space of every quasi-compact connected component of $\mathrm{Grad}(\mathcal{X})$ is finite over X . Our main intermediate result states that if a stack $\mathcal{Y}$ is a Θ -action retract onto a stack $\mathcal{Z}$ with good moduli space Z , then Z is a categorical moduli space for $\mathcal{Y}$.

1. Setup. Let $\mathcal{X}$ be an algebraic stack, locally finitely presented over a quasi-separated and locally noetherian algebraic space S , with affine stabilizers and separated inertia. The stack of graded points of $\mathcal{X}$ is the mapping stack $\mathrm{Grad}(\mathcal{X}) = \mathrm{Map}(\mathrm{B}\mathbb{G}_m, \mathcal{X})$, which is algebraic and satisfies the same properties of $\mathcal{X}$ named above, see for example Halpern-Leistner [6]. There is an obvious map $\mathrm{tot}: \mathrm{Grad}(\mathcal{X}) \rightarrow \mathcal{X}$ called the *total point map*.

In this note, we work under the assumption that $\mathcal{X}$ is quasi-compact and has a good moduli space $p: \mathcal{X} \rightarrow X$ in the sense of Alper [1]. Let $\mathcal{X}_\alpha \subset \mathrm{Grad}(\mathcal{X})$ be a connected component and assume that $\mathcal{X}_\alpha$ is quasi-compact. It is shown in Ibáñez Núñez [7, Lemma 2.6.7] that $\mathcal{X}_\alpha$ has a good moduli space $p_\alpha: \mathcal{X}_\alpha \rightarrow X_\alpha$ and that the induced map $g_\alpha: X_\alpha \rightarrow X$ is affine. In this note we give a proof of the following fact.

2. Theorem. *The induced map $g_\alpha: X_\alpha \rightarrow X$ between good moduli spaces is finite.*

3. Luna's result. This result is known by Luna in the case of a stack of the form $\mathcal{X} = \mathrm{Spec} A/\mathrm{GL}_n$ over a field of characteristic 0, Luna [8, Théorème]. Therefore, if the base S is the spectrum of a characteristic 0 field, then the theorem follows by taking étale slices as in Alper, Hall, and Rydh [2, Theorem 4.12].

4. Stack of filtrations. Our proof of **Theorem 2** will use the stack of filtrations $\mathrm{Filt}(\mathcal{X})$, defined as the mapping stack $\mathrm{Filt}(\mathcal{X}) = \mathrm{Map}(\Theta, \mathcal{X})$, where $\Theta = \mathbb{A}^1/\mathbb{G}_m$ is the quotient of $\mathbb{A}^1$ by the usual scaling action of $\mathbb{G}_m$, see Halpern-Leistner [6]. The associated graded map $\mathrm{gr}: \mathrm{Filt}(\mathcal{X}) \rightarrow \mathrm{Grad}(\mathcal{X})$, defined by precomposition along $0: \mathrm{B}\mathbb{G}_m \rightarrow \Theta$, is quasi-compact and induces a bijection on connected components [6, Lemma 1.3.8]. Let $\mathcal{X}_\alpha^+ \subset \mathrm{Filt}(\mathcal{X})$ be the connected component mapping to $\mathcal{X}_\alpha$.

Since $\mathcal{X}$ has a good moduli space, $\mathcal{X}$ is Θ -complete, that is, the evaluation map $\text{ev}: \text{Filt}(\mathcal{X}) \rightarrow \mathcal{X}$, defined by precomposition along $1: \text{Spec } \mathbb{Z} \rightarrow \Theta$, is universally closed, by Alper, Halpern-Leistner, and Heinloth [4, Theorem 5.4]. Since $\mathcal{X}_\alpha^+$ is quasi-compact, the restriction of the evaluation map $\text{ev}_\alpha: \mathcal{X}_\alpha^+ \rightarrow \mathcal{X}$ is proper.

5. Proposition. *Let $p_\alpha^+: \mathcal{X}_\alpha^+ \rightarrow X_\alpha$ be the composition of the associated graded map $\text{gr}_\alpha: \mathcal{X}_\alpha^+ \rightarrow \mathcal{X}_\alpha$ and the good moduli space $p_\alpha: \mathcal{X}_\alpha \rightarrow X_\alpha$. Then $p_\alpha^+: \mathcal{X}_\alpha^+ \rightarrow X_\alpha$ is a categorical moduli space, that is, any map $\mathcal{X}_\alpha^+ \rightarrow Y$, with Y an algebraic space, factors uniquely through p_α^+ .*

Proof. Consider the maps $\mathbb{N} \rightarrow \mathbb{N}^2: b \mapsto (0, b)$ and $\mathbb{N}^2 \rightarrow \mathbb{N}: (a, b) \mapsto a + b$. After applying $\Theta_{(-)}$ as in Bu, Halpern-Leistner, Ibáñez Núñez and Kinjo [5, §5.1.2], we obtain maps $\Theta \rightarrow \Theta^2$ and $\Theta^2 \rightarrow \Theta$, respectively. After taking mapping stacks, we obtain maps $\text{Filt}(\mathcal{X})^2 \rightarrow \text{Filt}(\mathcal{X})$ and $\text{Filt}(\mathcal{X}) \hookrightarrow \text{Filt}(\mathcal{X})^2$, respectively. The second map is a closed and open immersion by [5, Theorem 5.1.4], and composed with the first one it gives the identity on $\text{Filt}(\mathcal{X})$. Thus, the image of $\mathcal{X}_\alpha^+$ in $\text{Filt}^2(\mathcal{X})$ under $\text{Filt}(\mathcal{X}) \rightarrow \text{Filt}^2(\mathcal{X})$ lies in the open and closed substack $\text{Filt}(\mathcal{X}_\alpha^+) \subset \text{Filt}(\text{Filt}(\mathcal{X})) = \text{Filt}^2(\mathcal{X})$. This realizes $\mathcal{X}_\alpha^+$ as a closed and open substack of $\text{Filt}(\mathcal{X}_\alpha^+)$, witnessing the canonical Θ -action on $\mathcal{X}_\alpha^+$.

We claim that the associated graded map $\text{gr}: \text{Filt}(\mathcal{X}_\alpha^+) \rightarrow \text{Grad}(\mathcal{X}_\alpha^+)$ is identified with $\text{gr}_\alpha: \mathcal{X}_\alpha^+ \rightarrow \mathcal{X}_\alpha$ when restricted to $\mathcal{X}_\alpha^+$. For this, consider the map $\mathbb{Z} \times \mathbb{N} \rightarrow \mathbb{Z}: (a, b) \mapsto a + b$, which gives a morphism $\text{BG}_m \times \Theta \rightarrow \text{BG}_m$. We obtain commuting squares

$$\begin{array}{ccc} \text{BG}_m \times \Theta & \longrightarrow & \text{BG}_m \\ \downarrow & & \downarrow \\ \Theta^2 & \longrightarrow & \Theta \end{array} \quad \text{and} \quad \begin{array}{ccc} \text{Grad}(\text{Filt}(\mathcal{X})) & \longleftarrow & \text{Grad}(\mathcal{X}) \\ \uparrow \text{gr} & & \uparrow \text{gr} \\ \text{Filt}(\text{Filt}(\mathcal{X})) & \longleftarrow & \text{Filt}(\mathcal{X}), \end{array}$$

where the horizontal arrows in the right square are open and closed immersions. The claim follows.

Now take a map $f: \mathcal{X}_\alpha^+ \rightarrow Y$ with Y an algebraic space. Applying Filt and Grad , we obtain a diagram

$$\begin{array}{ccccc} & & \mathcal{X}_\alpha^+ & \xrightarrow{f} & Y \\ & \text{id} \nearrow & \uparrow \text{ev} & & \uparrow \sim \\ \mathcal{X}_\alpha^+ & \hookrightarrow & \text{Filt}(\mathcal{X}_\alpha^+) & \longrightarrow & \text{Filt}(Y) \\ \text{gr}_\alpha \downarrow & & \downarrow \text{gr} & & \downarrow \sim \\ \mathcal{X}_\alpha & \hookrightarrow & \text{Grad}(\mathcal{X}_\alpha^+) & \longrightarrow & \text{Grad}(Y). \end{array}$$

This shows that f factors through gr_α . This factorization is unique because gr_α has a section. The result follows because $\mathcal{X}_\alpha \rightarrow X_\alpha$ is a categorical moduli space [3, Theorem 3.12]. $\square$

6. We remark that the proof of Proposition 5 only uses that $\mathcal{X}_\alpha$ has a good moduli space. It is not necessary to assume that $\mathcal{X}$ has a good moduli space. Thus, we have the following stronger

result.

7. Proposition. Let $\mathcal{Y} \rightarrow \mathcal{X}$ be a Θ -action retract in the sense of [5, §5.1.10], where $\mathcal{Y}$ and $\mathcal{X}$ are algebraic stacks satisfying the basic assumptions [5, §1.1.11]. Suppose that $\mathcal{X}$ has a good moduli space $\mathcal{X} \rightarrow Z$. Then the composition $\mathcal{Y} \rightarrow \mathcal{X} \rightarrow Z$ is a categorical moduli space.

8. The categorical moduli space $\mathcal{Y} \rightarrow Z$ is an example of *non-reductive moduli space* in the sense of forthcoming work of David Rydh.

The next ingredient in the proof of **Theorem 2** is the following useful property of p_α^+ .

9. Proposition. We have a canonical isomorphism $p_{\alpha,*}^+ \mathcal{O}_{\mathcal{X}_\alpha^+} = \mathcal{O}_{X_\alpha}$. Here, $p_{\alpha,*}^+$ denotes the underived pushforward.

Proof. Let $R = \mathrm{Spec}_{\mathcal{O}_{X_\alpha}}(p_{\alpha,*}^+ \mathcal{O}_{\mathcal{X}_\alpha^+})$ and denote $r: R \rightarrow X_\alpha$ the obvious map. The map $\mathcal{X}_\alpha^+ \rightarrow R$ factors uniquely through p_α^+ by **Proposition 5**, giving a map $u: X_\alpha \rightarrow R$. We have a commutative diagram

$$\begin{array}{ccccc}
 & & X_\alpha & & \\
 & p_\alpha^+ \nearrow & \downarrow \mathrm{id} & \nwarrow u & \\
 \mathcal{X}_\alpha^+ & \xrightarrow{\quad} & R & & \\
 & p_\alpha^+ \searrow & \downarrow & \swarrow r & \\
 & & X_\alpha & &
 \end{array}$$

Applying affinization over X_α , we obtain a new commutative diagram

$$\begin{array}{ccccc}
 & & X_\alpha & & \\
 & r \nearrow & \downarrow \mathrm{id} & \nwarrow u & \\
 R & \xrightarrow{\quad} & R & & \\
 & r \searrow & \downarrow & \swarrow r & \\
 & & X_\alpha & &
 \end{array}$$

that shows that u and r are inverses of each other. The result follows. $\square$

10. Proposition 9 is still valid if we replace p_α^+ by any categorical good moduli space, since this is the only property of p_α^+ that we used in the proof.

11. Proof of Theorem 2. First, we note the commutativity of the diagram

$$\begin{array}{ccccc}
 & & \mathcal{X}_\alpha^+ & & \\
 & \mathrm{gr}_\alpha \swarrow & & \searrow \mathrm{ev}_\alpha & \\
 \mathcal{X}_\alpha & & & & \mathcal{X} \\
 p_\alpha \downarrow & \searrow p \circ \mathrm{tot}_\alpha & & & \downarrow p \\
 X_\alpha & \xrightarrow{\quad g_\alpha \quad} & X & &
 \end{array}$$

Indeed, commutativity of the lower part of the diagram is immediate from the definition of g_α , while the upper part of the diagram commutes by [7, Lemma 2.2.2]. We know from [7, Lemma 2.6.7] that g_α is affine, so we need to show that $g_{\alpha,*}(\mathcal{O}_{X_\alpha})$ is coherent. By [Proposition 9](#), we have

$$g_{\alpha,*}(\mathcal{O}_{X_\alpha}) = g_{\alpha,*}p_{\alpha,*}^+(\mathcal{O}_{\mathcal{X}_\alpha^+}) = g_{\alpha,*}\mathrm{gr}_{\alpha,*}p_{\alpha,*}(\mathcal{O}_{\mathcal{X}_\alpha^+}) = p_*\mathrm{ev}_{\alpha,*}(\mathcal{O}_{\mathcal{X}_\alpha^+}).$$

Now ev_α is proper, by [§4](#), and thus $\mathrm{ev}_{\alpha,*}(\mathcal{O}_{\mathcal{X}_\alpha^+})$ is coherent, while p_* preserves coherence by Alper [1, Theorem 4.16, (x)]. We conclude.

12. Acknowledgements. I would like to thank Andres Fernandez Herrero and Tasuki Kinjo for helpful discussions.

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