Lie Groups: Fall, 2024 Lecture VIII: Root Systems

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For this section we fix a compact connected Lie group G, a maximal torus  $T \subset G$ . We have the action of the Weyl group W on T and the induced linear action of W on t. We define the dual action  $W \times \mathfrak{t}^* \to \mathfrak{t}^*$  by  $w\varphi(X) = \varphi(w^{-1}x)$ .

We fix a Weyl invariant metric, denoted  $\langle \cdot, \cdot \rangle$ . This allows us to identify t and  $t^*$  by  $x \mapsto \langle x, \cdot \rangle$ . We then transport the metric from t to  $t^*$  by this identification. The identification of t with  $t^*$  is Weyl-equivariant. For  $\varphi \in t^*$ , we denote by  $x_{\varphi} \in t$  the corresponding element. It satisfies  $\langle x_{\varphi}, y \rangle = \varphi(y)$ . With these choices, we see that  $\alpha^{\perp} \subset t^*$  is identified with  $\operatorname{Ker}(\alpha) = x_{\alpha}^{\perp} \subset t$ .

Let  $Z \subset T \subset G$  be the center of G. We denote by  $\Lambda \subset \mathfrak{t}$  be  $\exp^{-1}(Z)$ . It is the *co-weight subspace*. The *weight space*,  $\Lambda^*$  in  $\mathfrak{t}^*$ , is the dual to  $\Lambda$ ,; i.e., the set of  $\lambda \in \mathfrak{t}^*$  that take integral values at every point of  $\Lambda$ . The adjoint action of the Weyl group W on T preserves the center. Hence, the induced action of W on  $\mathfrak{t}$  preserves  $\Lambda \subset \mathfrak{t}$  and the dual action of W on  $\mathfrak{t}^*$  preserves  $\Lambda^*$ .

Claim 0.1. Every root is a weight.

*Proof.* Every root  $\alpha: T \to S^1$  vanishes on the center and hence every  $|root: \mathfrak{t} \to \mathbb{R}$  takes integral values on  $\Lambda$ .

Claim 0.2. The action of the reflection  $w_{\alpha}$  on  $\mathfrak{t}^*$  is given by

$$\varphi \mapsto \varphi - \frac{2\langle \alpha, \varphi \rangle \alpha}{\langle \alpha, \alpha \rangle}.$$

The action of  $w_{\alpha}$  on t is given by

$$X\mapsto h-\frac{2\alpha(X)\rangle x_\alpha}{\langle\alpha,\alpha\rangle}.$$

*Proof.* Let  $w'_{\alpha}$  be the map defined by the formula in the first equation of the claim. Clearly,  $w'_{\alpha}$  a linear map  $\mathfrak{t}^* \to \mathfrak{t}^*$  that is the identity on  $\alpha^{\perp}$ . But under the identification of  $\mathfrak{t}$  with  $\mathfrak{t}^*$ , the subspace  $\alpha^{\perp}$  is tidentified with he kernel of the root  $\alpha \colon \mathfrak{t} \to \mathbb{R}$ . On the other hand, one checks immediately that  $(w'_{\alpha})^2 = \mathrm{Id}$ , that  $w'_{\alpha}$  interchanges the half-spaces  $\langle \alpha, \cdot \rangle > 0$  and  $\langle \alpha, \cdot \rangle < 0$ , and that  $w'_{\alpha}$  is an isometry. Thus,  $w'_{\alpha}$  is the unique orthogonal reflection in the codimension-1 subspace  $\alpha^{\perp}$ . These properties also characterize  $w_{\alpha}$ , so that  $w_{\alpha} = w'_{\alpha}$ . This proves the first statement.

The second, dual, statement is prove analogously.

Claim 0.3. For every root  $\alpha$  and every weight  $\lambda \in \Lambda^*$ , we have

$$-\frac{2\langle\alpha,\lambda\rangle}{\langle\alpha,\alpha\rangle}\in\mathbb{Z}.$$

*Proof.* (Following Adams) Fix a root  $\alpha$  and choose  $v \in L(T)$  a vector with  $\alpha(v) = 1$ . Then  $\exp(v) \in \ker(\alpha \colon T \to S^1)$ . Hence, is fixed by  $w_{\alpha}$ . This means that  $w_{\alpha}(v) - v = \frac{-2\alpha(v)\alpha}{\langle \alpha, \alpha \rangle}$  is in  $\Lambda$ . Hence, for any weight  $\lambda \in \Lambda^*$  we have

$$\frac{-2\alpha(v)\langle\alpha,\lambda\rangle}{\langle\alpha,\alpha\rangle}\in\mathbb{Z}.$$

Since  $\alpha(v) = 1$ , we see that

$$-\frac{2\langle\alpha,\lambda\rangle}{\langle\alpha,\alpha\rangle}\in\mathbb{Z}..$$

Claim 0.4. For roots  $\alpha$  and  $\beta$  we have

$$\beta - \frac{2\langle \alpha, \beta \rangle \alpha}{\langle \alpha, \alpha \rangle}$$

is a root, and

$$\frac{-2\langle\alpha,\beta\rangle}{\langle\alpha,\alpha\rangle}\in\mathbb{Z}$$

*Proof.* The second statement follows from Claim 0.3. The first statement follows from Claim 0.2 and the fact that the Weyl group action preserves the roots.  $\Box$ 

# 1 Root Systems

In this section we formalize the properties that we established in the previous section and the previous lecture.

**Definition 1.1.** A root system consists of a finite dimensional real vector space V and a finite set of non-zero vectors  $R = \{\alpha_1, \ldots, \alpha_k\}$  of V, the roots, a finite group W, the Weyl group, acting linearly and effectively on V and a positive definite W-invariant inner product on V, satisfying the following properties:

- If  $\alpha$  is a root then so is  $-\alpha$  but no other real multiple of  $\alpha$  is a root.
- W preserves the set of roots.
- For each  $\alpha \in R$  there is an element  $w_{\alpha} \in W$  that is the reflection in the hyperplane orthogonal to  $\alpha$ .
- ullet The reflections associated with the roots generate W.
- For each pair of roots  $\alpha, \beta$  we have

$$-\frac{2\langle \alpha,\beta\rangle}{\langle \alpha,\alpha\rangle}\in\mathbb{Z}.$$

**Theorem 1.2.** For any compact, connected Lie group G with maximal torus T, the data ( $\mathfrak{t}^*$ , roots of T, W(T), W-invariant metric) form a root system.

*Proof.* The first four properties are established in Theorem 3.5, Proposition 2.16, Corollary 3.7, and Corollary 4.3, respectively, of the previous lecture. The last property is established in the previous section of this lecture.  $\Box$ 

## 2 Properties of Root Systems

We fix a root system  $(V, R, W, \langle \cdot, \cdot, \rangle)$ .

**Lemma 2.1.** Let  $\alpha, \beta$  be a pair of roots with  $\beta \neq \pm \alpha$ . Then

$$0 \leq \Big(\frac{-2\langle \alpha, \beta \rangle}{\langle \alpha, \alpha \rangle}\Big)\Big(\frac{-2\langle \beta, \alpha \rangle}{\langle \beta, \beta \rangle}\Big) < 4.$$

*Proof.* This is an immediate consequence of the fact that since  $\alpha$  and  $\beta$  are not multiples of each other we have  $(\alpha, \beta)^2 < (\alpha, \alpha)(\beta, \beta)$ .

**Corollary 2.2.** Let  $\alpha, \beta$  be roots with  $\beta \neq \pm \alpha$  and with  $\langle \alpha, \beta \rangle \leq 0$ . Then either  $\langle \alpha, \beta \rangle = 0$  or one of

$$\frac{-2\langle \alpha, \beta \rangle}{\langle \alpha, \alpha \rangle}$$
 or  $\frac{-2\langle \beta, \alpha \rangle}{\langle \beta, \beta \rangle}$ 

is equal to 1 and the other takes value in the set  $\{1, 2, 3\}$ .

Proposition 2.3. Suppose  $\beta \neq \pm \alpha$ .

1. If  $\langle \alpha, \beta \rangle = 0$ , then the angle between  $\alpha$  and  $\beta$  is  $\pi/2$ , the reflections in the hyperplanes perpendicular to  $\alpha$  and  $\beta$  commute and generate dihedral group of order 4.

2. Suppose that

$$\frac{-2\langle \beta, \alpha \rangle}{\langle \beta, \beta \rangle} = 1,$$

then the angle between  $\alpha$  and  $\beta$  is  $\pi/3$ ,  $\pi/4$ , or  $\pi/6$  depending on whether

$$v = \frac{-2\langle \alpha, \beta \rangle}{\langle \alpha, \alpha \rangle} = 1$$
, 2, or 3.

Also,  $\frac{|\beta|}{|\alpha|} = \sqrt{v}$ . In these cases the reflections in the hyperplanes perpendicular to  $\alpha$  and  $\beta$  generate a dihedral group of order 6, 8, or 12.

3. Furthermore, under the hypothesis of previous statement,  $\beta+k\alpha$  is a root for all

$$0 \leq k \leq \frac{-2\langle \beta, \alpha \rangle}{\langle \alpha, \alpha \rangle}.$$

*Proof.* The first statement is a tautology. Let us consider Statement 2. Let  $\theta$  be the angle between  $\alpha$  and  $\beta$ , so that  $0 \le \theta \le \pi/2$ . Set

$$v = \frac{-2\langle \alpha, \beta \rangle}{\langle \alpha, \alpha \rangle \rangle}.$$

Then

$$\cos^2(\theta) = \frac{v}{4},$$

or

$$\cos(\theta) = \frac{\sqrt{v}}{2}.$$

Statement 2 is now clear.

If  $\frac{-2\langle \beta, \alpha \rangle}{\langle \alpha, \alpha \rangle \rangle} = 1$ , then the reflection of  $\beta$  is the hyperplane perpendicular to  $\alpha$  is  $\beta + \alpha$ .

If  $\frac{-2\langle \beta, \alpha \rangle}{\langle \alpha, \alpha \rangle \rangle} = 2$ , then reflection of  $\alpha$  in the hyperplane perpendicular to  $\beta$  is  $\beta + \alpha$ , whereas the reflection of  $\beta$  in the hyperplane perpendicular to  $\alpha$  is  $\beta + 2\alpha$ .

Finally, if  $\frac{-2\langle \beta, \alpha \rangle}{\langle \alpha, \alpha \rangle \rangle} = 3$ , the reflection of  $\alpha$  in the hyperplane perpendicular to  $\beta$  is  $\alpha + \beta$ , and reflection of  $\alpha + \beta$  in the hyperplane perpendicular to  $\alpha$  is  $\beta + 2\alpha$ . Lastly, reflection of  $\beta$  in the hyperplane perpendicular to  $\alpha$  is  $\beta + 3\alpha$ .

Since the roots are invariant under the Weyl group action, this establishes Statement 3 in all cases.  $\Box$ 

## 3 Examples

#### 3.1 SU(n)

SU(n) is the real sub Lie group of  $GL(n, \mathbb{C})$ , consisting of all matrices such that  $\overline{A}^{\text{tr}} = A^{-1}$  and  $\det(A) = 1$ . Notice that this is not a complex Lie group since the first equation, which involves conjugation, is not holomorphic equation. Indeed SU(2) is isomorphic to  $S^3$ .

The usual maximal torus for SU(n) consists of the matrices in SU(n) that have non-zero entries only along the diagonal.

$$\begin{pmatrix} \theta_1 & 0 & \cdots & 0 \\ 0 & \theta_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & \theta_n \end{pmatrix}$$

where the  $\theta_i \in S^1$  and  $\prod_{i=1}^n \theta_i = 1$ .

The roots are  $\{\alpha_{i,j}\}_{1 \leq i \neq j \leq n}$  where  $\alpha_{i,j}(\theta_1, \ldots, \theta_n) = \theta_i \theta_j^{-1}$ . The associated reflection  $w_{i,j}$  interchanges  $\theta_i$  and  $\theta_j$ , and the Weyl group they generate is the symmetric group permuting the n coordinates.

The Lie algebra  $L = \mathfrak{su}(n)$  is the subspace of  $\mathbb{R}^n$  consisting of

$$\{(t_1,\ldots,t_n)|\sum_i t_i=0\}.$$

Passing to L the differential of  $\alpha_{i,j}$  is the root  $t_i - t_j : \mathfrak{t} \to \mathbb{R}$ . The co-weight lattice is  $L \cap \mathbb{Z}^n$ . Each root acts by permuting two of the coordinates. Thus, the Euclidean metric in the coordinates  $(t_1, \ldots, t_{n+1})$  restricts to a Weyl invariant metric on  $\mathfrak{t}$ . In this metric,  $(t_i - t_j, t_i - t_j) = 2$  for all  $i \neq j$  and

 $\langle t_i - t_j, t_k - t_l \rangle$  is equal to  $\pm 1$  if the sets  $\{i, j\}$  and  $\{k, l\}$  have exactly one element in common and 0 if the sets  $\{i, j\}$  and  $\{k, l\}$  are disjoint.

The dual vector space to L is  $\mathbb{R}^n/\mathbb{R}(1,1,\ldots,1)$  and the weight lattice (the dual to the lattice in  $\mathfrak{t}^*$  spanned by the roots) is the quotient of  $\mathbb{Z}^n/\mathbb{Z}(1,1,\ldots,1)$ . The weight lattice consists of all  $(a_1,\ldots,a_n)\in L$  with  $na_i\in\mathbb{Z}$  for all i, with  $a_i\equiv a_j\pmod{\mathbb{Z}}$  for all i,j. This contains the co-weight lattice as a sub lattice with quotient a cyclic group of order n generated by the element  $(1/n,1/n,\ldots,1/n)$ .

A Weyl chamber is

$$\{(t_1,\ldots,t_n)\big|\sum_i t_i=0 \text{ and } t_1>t_2\cdots>t_n\}.$$

Its walls are given by the equations  $\{t_i = t_{i+1}\}$ , for  $1 \le i \le n-1$ , which are the kernels of the roots  $(t_1 - t_2), \ldots, (t_{n-1} - t_n)$ . The other Weyl chambers are given by  $\{t_{\sigma(1)} > t_{\sigma(2)} > \cdots > t_{\sigma(n)}\}$  as  $\sigma$  ranges over the permutations of  $\{1, \ldots, n\}$ .

#### **3.2** SO(2n) for $n \ge 3$

SO(2n) is the sub Lie group of  $GL(n,\mathbb{R})$  consisting of all matrices that satisfy  $A^{tr}=A^{-1}$  and  $\det(A)=1$ . Its standard maximal torus consists of all  $2\times 2$  block diagonal matrices

where the  $\theta_i \in S^1$ .

The Lie algebra of SO(2n) is the space of skew symmetric matrices. The tangent space to the maximal torus described above is the space of matrices

of the form

$$\begin{pmatrix} \begin{pmatrix} 0 & -t_1 \\ t_1 & 0 \end{pmatrix} & & & & \\ & \begin{pmatrix} 0 & -t_2 \\ t_2 & 0 \end{pmatrix} & & & \\ & & \begin{pmatrix} \cdot & \cdot \\ \cdot & \cdot \end{pmatrix} & & \\ & & \begin{pmatrix} 0 & -t_n \\ t_n & 0 \end{pmatrix} \end{pmatrix}$$

The rest of the Lie algebra decomposes into four dimensional subspaces of skew symmetric matrices. Each of these subspaces consists of a  $2 \times 2$  block with columns in 2s-1, 2s and rows 2r-1, 2r, with r>s together with the negative of the transpose below the diagonal of this block. We decompose one of these blocks as direct sum of matrices of the form

$$\begin{pmatrix} a & -b \\ b & a \end{pmatrix}$$

and matrices of the form

$$\begin{pmatrix} a & b \\ b & -a \end{pmatrix}.$$

These subspaces are invariant under the action of the torus and these root spaces have roots  $(\theta_r\theta_s^{-1})^{\pm 1}$  and  $(\theta_r\theta_s)^{\pm 1}$  respectively. Thus, the roots of T are  $\theta_r^{\pm 1}\theta_s^{\pm 1}$  with  $1 \le r < s \le n$ .

The Lie algebra t of this maximal torus is identified with  $\mathbb{R}^n$  with coordinates  $t_1, \ldots, t_n$ . Under passage to t the roots become the linear functionals  $\pm t_r \pm t_s$  for  $1 \leq r < s \leq n$ . Each root acts by exchanging a pair of the  $t_i$  coordinates, possibly also changing the sign of each exchanged coordinate. Thus, the Euclidean metric in the coordinates  $(t_1, \ldots, t_n)$  is Weyl-invaraint. The weight lattice is

$$\{a_1, \ldots, a_n\} | 2a_i \in \mathbb{Z} \text{ and } a_i \equiv a_j \ (\mathbb{Z}) \}.$$

The co-weight lattice is  $\mathbb{Z}^n \subset \mathbb{R}^n$ . Thus, the center of SO(2n) is  $\mathbb{Z}/2\mathbb{Z}$ . As in the case of SU(n) all the roots have the same length and the possible angles between two roots  $\alpha$  and  $\beta \neq \pm \alpha$  are  $\pi/2$  and  $\pi/3$ .

A Weyl chamber in t is given by  $\{t_1 > t_2 > \dots > t_{n-1} > t_n\}$  and  $\{t_{n-1} + t_n > 0\}$ . The walls of this chamber are the kernel of the roots

$${t_1-t_2,t_2-t_3,\ldots,t_{n-1}-t_n,t_{n-1}+t_n}.$$

Consider the semi-direct product of  $\prod_{i=1}^n \mathbb{Z}/2\mathbb{Z}$  with the permutation group  $\Sigma_n$ , where  $i^{th}$  factor of the product is reflection of the  $t_i$  coordinate, and  $\Sigma_n$  acts by permuting the factors. The Weyl group is  $R \rtimes \Sigma_n$ , where  $R \subset \prod_{i=1}^n \mathbb{Z}/2\mathbb{Z}$  is the subgroup of index 2 that reverses an even number of coordinate directions. This is the group generated by the reflections in the walls of the given Weyl chamber.

## 3.3 SO(2n+1) for $n \ge 2$

As before this group consists of the matrices in  $GL(2n+1,\mathbb{R})$  that satisfy  $A^{tr}=A^{-1}$  and  $\det(A)=1$ . The Lie algebra consists of the skew-symmetric matrices in  $M((2n+1)\times(2n+1),\mathbb{R})$ . The standard maximal torus is the image under the natural embedding  $SO(2n)\subset SO(2n+1)$  of the standard maximal torus for SO(2n). Thus, all the root spaces with roots  $\theta_r^{\pm 1}\theta_s^{\pm 1}$  for  $1\leq r < s \leq n$  are roots of the maximal torus for SO(2n+1) and the sum of t and these roots spaces form  $\mathfrak{so}(2n)\subset \mathfrak{so}(2n+1)$ . The additional root spaces consist of skew symmetric matrices that are zero except in their last row and column. The dimension of this space is 2n and hence there are n more pairs of roots for  $T\subset SO(2n+1)$  that are not roots coming from SO(2n). Direct computation shows that these roots are  $\theta_n^{\pm 1}$  for  $1\leq r\leq n$ .

The Lie algebra of the maximal torus of  $\mathfrak{so}(2n+1)$  is equal to the Lie algebra of the maximal torus of SO(2n). Hence, there are coordinates  $(t_1,\ldots,t_n)$  for the Lie algebra of this maximal torus just as for the maximal of SO(2n). The roots are  $\pm t_i \pm t_j$  for  $1 \le i < j \le n$  and  $\pm t_i$  for  $1 \le i \le n$ . This is the first time we encounter roots of different lengths. The long roots act by permutation of two of the  $t_i$ , possibly with a sign change of the exchanged variables. The short roots act by sign change of one of the  $t_i$ . Thus, the Euclidean metric on the coordinates  $(t_1,\ldots,t_n)$  is Weyl invariant. In this metric the roots  $\pm t_r$  all have length 1 and the roots  $\pm t_r \pm t_s$  have length  $\sqrt{2}$ . For  $r \ne s$  the angle between  $t_r$  and  $t_s$  is  $\pi/2$ . The angle between  $t_r$  and and  $\pm t_r \pm t_s$  is  $\pi/4$ . The angle between the roots  $t_r + t_s$  and  $t_r - t_s$  is  $\pi/2$ . A Weyl chamber is given by  $t_1 > t_2 \cdots > t_n > 0$ . The walls of this Weyl chamber are given by the kernels of the roots  $t_1 - t_2, \ldots, t_{n-1} - t_n, t_n$ . The Weyl group is  $(\prod_{i=1}^n \mathbb{Z}/2\mathbb{Z}) \rtimes \Sigma_n$ , where the  $i^{th}$  factor acts by reversal of the  $i^{th}$  coordinate.

The co-weight lattice is  $\mathbb{Z}^n \subset \mathbb{R}^n$  and the root lattice is dual to the co-weight lattice, so that the weight lattice is equal to the co-weight lattice. This means that the center of SO(2n+1) is the trivial group.

#### 3.4 The compact symplectic groups

Let K be a field of characteristic 0 and let V be a finite dimensional K-vector space. A symplectic form on V is a non-degenerate skew symmetric pairing  $V \otimes V \to K$ . Such a pairing exists if and only if the dimension of V is even. In fact, for any such pairing there is a basis  $\{e_1, \ldots, e_{2n}\}$  for V so that the pairing, denoted  $\langle \cdot, \cdot \rangle$  is given by

$$\langle e_{2i-1}, e_{2j-1} \rangle = \langle e_{2i}, e_{2j} \rangle = 0$$
 for all  $1 \le i, j \le n$ 

and

$$\langle e_{2i-1}, e_{2j} \rangle = -\langle e_{2j}, e_{2i-1} \rangle = \delta_{i,j}$$
 for all  $1 \le i, j \le n$ .

Writing elements of V as column vectors using the basis  $\{e_1, \ldots, e_{2n}\}$  the form is given by

$$\langle x, y \rangle = x^{tr} I y$$

where I is the block diagonal matrix with  $2 \times 2$  blocks

$$\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

down the diagonal. Then an element  $A \in GL(2n, F)$  is in the symplectic group if and only if

$$A^{tr}IA = I.$$

This algebraic group over K is denoted Sp(2n, K)

We are especially interested in  $Sp(2n,\mathbb{R})$ , which is a real Lie group, and  $Sp(2n,\mathbb{C})$ , which is a complex Lie group. These groups are not compact. Indeed,  $Sp(2,\mathbb{R}) = SL(2,\mathbb{R})$ . The compact form of the symplectic group, denoted Sp(n) is the intersection  $Sp(2n,\mathbb{C}) \cap U(2n)$  in  $GL(2n,\mathbb{C})$ . Clearly, this is a compact real Lie group.

There is a different description of Sp(n). First we take the usual positive definite hermitian inner product on  $\mathbb{C}^{2n}$ , and the resulting isometry group is the unitary group U(2n). We choose a slightly different symplectic form. In the standard basis  $\{e_1, \ldots, e_{2n}\}$  the complex symplectic form is given by

$$\begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix}$$

where I is the  $n \times n$  identity complex matrix.

Next we define the structure of a quaternion (left) vector space structure on  $\mathbb{C}^{2n}$  extending the given complex structure by defining  $j(\zeta e_i) = \overline{\zeta} e_{i+n}$ 

for all  $1 \leq i \leq n$  and  $\zeta \in \mathbb{C}$ . It follows that  $j\zeta e_{n+i} = -\overline{\zeta}e_i$  for all  $1 \leq i \leq n$  and  $\zeta \in \mathbb{C}$ . Multiplication by i, j, k are all isometries of the positive definite inner product.

One of the exercises is to show that a unitary matrix (acting from the left) commutes with the quaternion structure (i.e., commutes with left multiplication by j) if and only if it is an element of the complex symmetric group defined by I. This then tells us that Sp(n) is the group of automorphisms (acting on from the left) of the left quaternion vector space structure that we have defined on  $\mathbb{C}^{2n}$  that preserve the positive definite hermitian inner product.

Taking the second description, we see that the Lie Algebra of Sp(n) is the sub Lie algebra of  $\mathfrak{u}(2n)$  consisting of the matrices  $M(2n\times 2n,\mathbb{C})$  that satisfy

$$\begin{pmatrix} A & B \\ -\overline{B} & \overline{A} \end{pmatrix}$$

with  $A \in \mathfrak{u}(n)$  and B is symmetric. The standard maximal torus of Sp(n) consists of subspace matrices with diagonal entries of norm 1 such that for  $1 \leq i \leq n$  the (n+i,n+i) diagonal entry equal to the inverse of the (i,i) diagonal entry.

The adjoint action of the maximal torus on the sub Lie algebra given by B=0 is the usual adjoint action of the maximal torus of U(n) on its Lie algebra so that the roots for this action are  $(\theta_i\theta_j^{-1})^{\pm 1}$  for  $1 \leq i < j \leq n$ . The adjoint action of the maximal torus on an element in position (i, n+j) is  $\theta_i\theta_{n+j}^{-1}=\theta_i\theta_j$ . Thus, the roots for the action of the maximal torus on B are  $(\theta_i\theta_j)^{\pm 1}$  for all  $1 \leq i \leq j \leq n$ .

The maximal torus is identified with  $\prod_{i=1}^n S^1$  given by the coordinates  $(\theta_1, \ldots, \theta_n)$ , the differential of this map is an identification of the Lie algebra of the maximal torus with  $\mathbb{R}^n$  with coordinates  $(t_1, \ldots, t_n)$ . On the Lie algebra of the maximal torus, there are 2n(n-1) roots of the form  $\pm t_i \pm t_j$  for  $1 \leq i < j \leq n$ , the short roots, and 2n roots of the form  $\pm 2t_i$ , the long roots. Every short root acts by permutation of two of  $\{t_1, \ldots, t_n\}$ , possibly reversing the sign of the exchanged coordinates. The long roots act by changing the sign of one of the coordinates. Hence, the usual Euclidean metric on the coordinates  $(t_1, \ldots, t_n)$  is Weyl invariant. Under this inner product the first group of roots have length  $\sqrt{2}$ , then the second group have length 2. As in the case of SO(2n+1) the angles between the roots are  $\pi/2, \pi/3$ , and  $\pi/4$ .

A Weyl chamber is given by  $t_1 > t_2 > \cdots > t_n > 0$ . Its walls are given by the vanishing hyperplanes of the roots  $\{(t_1-t_2), (t_2-t_3), \dots, (t_{n-1}-t_n), 2t_n\}$ .

The Weyl group  $\prod_{i=1}^n \mathbb{Z}/2\mathbb{Z} \rtimes \Sigma_n$  just as in the case of SO(2n+1). The co-weight lattice is the usual integral lattice in the coordinate  $(t_1, \ldots, t_n)$  whereas the weight lattice is given by the equations  $t_i \cong t_j \pmod{\mathbb{Z}}$  and  $2t_i \in \mathbb{Z}$ . It follows that the center of Sp(n) is  $\mathbb{Z}/2\mathbb{Z}$ .

## 4 Positive Roots and Simple roots

In each of the examples above we specified a Weyl chamber and then described things in terms of that choice. Of course, all Weyl chambers are equivalent under the action of the Weyl group, so different choices lead to an isomorphic description up to conjugation. We now formalize the important notions relative to a fixed Weyl chamber. For this section we fix a root system and use all the associated notation from above.

**Definition 4.1.** We fix a Weyl chamber  $C_0$ , the fundamental Weyl chamber. Since  $C_0$  is disjoint from the walls defined by the roots, each root is either positive or negative on  $C_0$ . Those that are positive on  $C_0$  are called positive roots, and those that are negative are called negative roots (relative, of course, to  $C_0$  which we consider as fixed for this discussion).

Remark 4.2. Every root is either positive or negative and the involution -1 on V interchanges positive and negative roots. Thus, each wall is defined as the kernel of a unique positive root.

In the last lecture we established the following claim.

Claim 4.3. Let  $\alpha_1, \ldots, \alpha_k$  define the walls of  $C_0$ , chosen so that  $\alpha_i > 0$  on C. Then  $C_0 = \bigcap_{i=1}^k {\{\alpha_i > 0\}}$ .

**Lemma 4.4.** The fundamental Weyl chamber  $C_0$  is the only chamber on which all positive roots are positive.

Proof. Let C be a chamber distinct from  $C_0$ . We claim that there is a wall separating  $C_0$  and C. If not then all the positive roots defining walls of  $C_0$  are positive on C and hence  $C \subset C_0$  which implies  $C = C_0$ . If the wall  $W_{\alpha}$  associated to a positive root  $\alpha$  separates C and  $C_0$ , then  $\alpha$  is negative on C.

## 4.1 Simple Roots

**Lemma 4.5.** If  $\alpha$  and  $\beta$  are positive roots and  $\alpha + \beta$  is a root, then  $\alpha + \beta$  is a positive root. A non-trivial sum of positive roots with positive coefficients is never zero.

*Proof.* The first statement is obvious from the definition. As to the second any sum of positive roots with positive coefficients takes positive value at any point of  $C_0$ .

**Definition 4.6.** A positive root is a *simple* root if it cannot be written as a sum of two positive roots.

Lemma 4.7. Every positive root is a sum of simple roots.

*Proof.* Suppose that  $\alpha$  is a positive root that cannot be written as a sum of simple roots. Then  $\alpha$  is not simple so that it can be written as a sum  $\beta_1 + \beta_1'$ . If each of  $\beta_1$  and  $\beta_1'$  can be written as a sum of positive roots then so can  $\alpha$ . Thus, renumbering if necessary, we can assume  $\beta_1$  cannot be written as a sum of simple roots.

We continue this process  $\beta_1 = \beta_2 + \beta_2'$  with  $\beta_2$  not a sum of simple roots, etc., creating a list  $\{\beta_i = \beta_{i+1} + \beta_{i+1}'\}_{i=1}^{\infty}$  with each  $\beta_i$  not expressible as a sum of simple roots.

Claim 4.8. In any expression for  $\alpha$  as a positive sum of two or more positive roots the coefficient of  $\alpha$  in the sum is 0.

*Proof.* Otherwise,  $\alpha = \alpha + \mu$  where  $\mu$  is a positive sum of positive roots. Then  $\mu = 0$  which by Lemma 4.5 means  $\mu$  is the trivial sum.

Since

$$\alpha = \beta'_1 + \dots + \beta'_{i-1} + (\beta_i + \beta'_i)$$
  
 $\beta_j = \beta'_{i+1} + \dots + \beta'_{i-1} + (\beta_i + \beta'_i)$  for  $j < i$ 

it follows from the previous claim  $\alpha, \beta_1, \beta_2, \ldots$  are all distinct. Since there is a finite number of roots, this is a contradiction.

**Lemma 4.9.** If  $\alpha$  and  $\beta$  are simple roots, then  $\langle \alpha, \beta \rangle \leq 0$ .

*Proof.* If  $\langle \alpha, \beta \rangle > 0$ , the by Part 3 of Proposition 2.3 the element  $\beta - \alpha$  is a root. Either  $\beta - \alpha$  or  $\alpha - \beta$  is a positive root and consequently, either  $\beta = \alpha + (\beta - \alpha)$  or  $\alpha = \beta + (\alpha - \beta)$  is not simple.

**Definition 4.10.** We denote by S be the set of simple roots.

Proposition 4.11. The simple roots are linearly independent.

*Proof.* Suppose we have a linear relation  $\sum_{\alpha \in S} \lambda_{\alpha} \alpha = 0$ . We form two disjoint subsets of simple roots:  $S_+ = \{\alpha | \lambda_{\alpha} > 0\}$  and  $S_- = \{\alpha | \lambda_{\alpha} < 0\}$ . Then define v by

$$v = \sum_{\alpha \in S_+} \lambda_{\alpha} \alpha = \sum_{\alpha \in S_-} -\lambda_{\alpha} \alpha$$

with both sides having positive numerical coefficients. We have

$$\langle v,v \rangle = \sum_{(\alpha,\beta) \in S_+ \times S_-} \lambda_\alpha \lambda_\beta \langle \alpha,\beta \rangle \leq 0.$$

This implies that v=0, and consequently that  $\sum_{\alpha\in S_+}\lambda_{\alpha}\alpha=0$ . It follows from Lemma 4.5 that  $S_+=\emptyset$ . The same argument shows that  $S_-=\emptyset$ , proving the linear independence of the simple roots.

Corollary 4.12. The simple roots are a basis for the orthogonal space to the subspace on which the Weyl group acts trivially, and

$$\cap_{\alpha \in S} \{\alpha > 0\}$$

is the fundamental Weyl chamber.

*Proof.* Since every root is either a positive linear combination or negative linear combination of the simple roots, the simple roots span the same space as all the roots. This is clearly the orthogonal complement to the maximal linear subspace on which Weyl group action is trivial. By the linear independence of the simple roots, they form a basis for this subspace.

Let  $\alpha_1, \dots, \alpha_k$  be the simple roots. Then every positive root is positive on  $D = \bigcap_{i=1}^k \{\alpha_i > 0\}$ . This means that no wall meets this sub space and hence it is contained in a Weyl chamber C. On the other hand,  $\overline{D} \setminus D$  is contained in the union of the walls so that no connected open subset of V that properly contains D is contained in a chamber. Thus, D is a chamber and its walls are the walls defined by  $\alpha_1, \dots, \alpha_k$ .

#### 4.2 The Dynkin diagram

**Definition 4.13.** The Dynkin diagram has nodes and connections between the nodes. The nodes are indexed by the simple roots. Two nodes have no connection if the roots that index the nodes are orthogonal. Two nodes, indexed by  $\alpha, \beta$  have a single line connection between them if the angle between  $\alpha$  and  $\beta$  is  $\pi/3$ ; they have a double line connection between them if the angle between  $\alpha$  and  $\beta$  is  $\pi/4$ , and they have a triple line connection

between them if the angle between  $\alpha$  and  $\beta$  is  $\pi/6$ . In the last two cases we add an arrow to the multiple connection that points toward the shorter root.

## 4.3 Examples of Dynkin Diagrams

The Dynkin diagram of a product  $G \times H$  is the disjoint union of the Dynkin diagrams of G and H. The Dynkin diagram of any torus is empty. SU(n). The maximal torus T of SU(n) is diagonal  $n \times n$  matrices with complex numbers of norm 1 down the diagonal whose product is 1. The Lie algebra t is diagonal  $n \times n$  matrices with purely imaginary entries down the diagonal that sum to zero. Let  $t_j \colon t \to \mathbb{R}$  be the function that assigns to a matrix in t the imaginary part of its (j,j)-entry. The roots of SU(n) are  $\pm t_i \mp t_j$  for all  $1 \le i \ne j \le n$ . The usual fundamental Weyl chamber is the region of t given by  $\{t_1 > t_2 \dots > t_n\}$ . The positive roots are  $t_i - t_j$  with i < j and the simple roots are  $(t_1 - t_2), (t_2 - t_3), \dots, t_{n-1} - t_n$ . Clearly, for  $i \ne k$  we have  $\langle t_i - t_{i+1}, t_k - t_{k+1} \rangle = 0$  unless  $k = \{i - i, i + 1\}$ , and in the exceptional case

$$\frac{-2\langle \alpha, \beta \rangle}{\langle \alpha, \alpha \rangle} = 1.$$

Thus, the nodes of the Dynkin diagram for SU(n) are indexed by  $\{1, \ldots, n-1\}$  and two nodes are connected (by a single line) if and only if the nodes are adjacent in the order determined by their indices.

SO(2n). The usual maximal torus for SO(2n) is block diagonal matrices with two-by-two blocks down the diagonal, with the  $i^{th}$ - block being

$$\begin{pmatrix} \cos(\theta_i) & -\sin(\theta_i) \\ \sin(\theta_i) & \cos(\theta_i). \end{pmatrix}$$

Thus, the lie algebra is block diagonal matrices with two-by-two blocks down the diagonal of the form

$$\begin{pmatrix} 0 & -t_i \\ t_i & 0. \end{pmatrix}$$

We restrict now to the case when  $n \ge 4$ . The roots are  $\pm t_i \pm t_j$  for all  $a \le i \ne j \le n$  and the usual fundamental Weyl chamber is  $\{t_1 > t_2 > \dots > t_n\}$  and

 $\{t_{n-1}+t_n\} > 0$ . The positive roots are  $t_i-t_j$  and  $t_i+t_j$  for  $1 \le i < j \le n$  and the simple roots are  $t_1-t_2, t_2-t_3, \ldots, t_{n-1}-t_n, t_{n-1}+t_n$ . The first n-1 simple roots form the Dynkin diagram for SU(n), namely a chain of length (n-1) with simple connections. The last simple root is orthogonal to all other simple roots except  $e_{n-2}-e_{n-1}$  and together with the first (n-2) simple roots forms another chain with single connections of length (n-1).

SO(2n+1). The usual maximal torus for SO(2n+1) is the image under the natural embedding of the usual maximal torus for SO(2n). Likewise, the maximal torus for SO(2n+1) is the image of the maximal torus from SO(2n) and we use the same functions  $t_i$  on t.

We assume that  $n \geq 2$ . As we have seen the roots of SO(2n+1) are  $\pm (t_i - t_j), \pm (t_i + t_j)$  for  $1 \leq i \neq j \leq n$  and  $\pm t_i$  for  $1 \leq i \leq n$ . The usual Weyl chamber is  $t_1 > t_2 \cdots > t_n > 0$  and the simple roots are  $t_1 - t_2, \ldots, t_{n-1} - t_n, t_n$ . The first (n-1) simple roots form the Dynkin diagram SU(n), a chain of length (n-1) with single connections. The last root is orthogonal to all roots except the (n-1) and the angle between these roots is  $\pi/4$ . Thus, there is a double line connection between the two nodes indexed by these roots. The last root is the shorter of the two, so the arrow points toward the  $n^{th}$  node.

Sp(n). The group Sp(n) is a subgroup of U(2n). Its standard maximal torus is a sub torus of the standard maximal torus of U(2n) consisting of diagonal matrices with diagonal entries of norm 1 with the (i,i)-entry equal to minus the (n+i,n+i)-entry for all  $1 \le i \le n$ . The maximal torus is diagonal matrices with purely imaginary entries down the diagonal with the (i,i)-entry equal to minus the (n+i,n+i)-entry. For  $1 \le j \le n$ , we set  $t_j \colon t \to \mathbb{R}$  equal to the imaginary part of the (j,j)-entry. Then the roots are  $\pm (t_i - t - j)$  for  $1 \le i \ne j \le n$  and  $2t_j$  for  $1 \le j \le n$ . The standard Weyl chamber is  $\{t_1 > t_2 > \dots > t_n > 0\}$  and the simple roots are  $(t_1 - t_2), \dots, (t_{n-1} - t_n), 2t_n$ . As before the first (n-1) simple roots form

the Dynkin diagram for SU(n), namely a chain of length n-1 with single line connections. The last simple root is orthogonal to all other simple roots except the  $(n-1)^{st}$  and it makes angle  $\pi/4$  with this root. Thus, the  $n^{th}$  root is connected to the  $(n-1)^{st}$  by a double line connection. Since the last root is longer than the others, the arrow on the connection points away from the  $n^{th}$  node.

# 4.4 Classification of Connected Dynkin diagrams

There is a complete classification of connected Dynkin diagrams of root systems. In addition to the four infinite series listed above:  $A_n$  for  $n \geq 1$ ;  $B_n$  for  $n \geq 2$ ;  $C_n$  for  $n \geq 2$ ; and  $D_n$  for  $n \geq 4$  there are exactly 5 exceptional connected Dynkin diagrams of root systems. They are  $E_6, E_7, E_8, F_4$ , and  $G_2$  as pictured below. The general Dynkin diagram is a finite disjoint union of diagrams of these types.

$$E_{\delta}$$
  $E_{\gamma}$   $E_{\gamma$