

# Bounds on stalks of perverse sheaves

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Everything is over an algebraically closed field  $k$  (which can be loosened to an infinite perfect field, I think). We will consider sheaves of  $\mathbb{F}$ -modules, where  $\mathbb{F}$  is a finite field of characteristic  $\ell$  invertible in  $k$ .

## 1 Main theorem

To state the main theorem, we need the following definition.

**Definition 1.** Let  $X$  be a smooth variety of dimension  $n$ , and let  $C$  be a conical cycle in  $T^*X$  of dimension  $n$ . Let  $x$  be a closed point of  $X$ . For  $0 \leq i \leq n$ , we define the  *$i$ th polar multiplicity* of  $C$  at  $x$  (denoted  $\gamma_C^i(x)$ ) as follows:

- If  $0 \leq i \leq n-1$ : Let  $V$  be a rank  $i+1$  subbundle of  $T^*X$  defined over a neighborhood of  $x$  such that the fiber  $V_x$  is a generic point of the Grassmannian of  $(i+1)$ -dimensional subspaces of  $T_x^*X$ . Then  $\gamma_C^i(x)$  is defined as the multiplicity of the pushforward cycle  $\pi_*(\mathbb{P}(C) \cap \mathbb{P}(V))$  at  $x$ , where  $\pi : \mathbb{P}(T^*X) \rightarrow X$  is the projection. (Note that this pushforward is an  $i$ -dimensional cycle.)
- If  $i = n$ :  $\gamma_C^n(x)$  is the multiplicity of the zero section  $T_X^*X$  in  $C$ .

**Lemma 1.** The 0th polar multiplicity of  $C$  at  $x$  is the multiplicity of  $T_x^*X$  in  $C$ .

*Proof.*  $\mathbb{P}(V)$  is a generic section of  $\mathbb{P}(T^*X)$  defined near  $x$ . Thus, over  $x$ , it can only intersect the components of  $\mathbb{P}(C)$  with maximal dimension, i.e. the copies of  $\mathbb{P}(T_x^*X)$ . We get the lemma from the fact that the intersection of  $\mathbb{P}(T_x^*X)$  with a generic section is a point of multiplicity 1 above  $x$ .  $\square$

Of course, it has to be shown that polar multiplicities are well-defined, which I won't do for time's sake. Here's the main theorem:

**Theorem 1.** Let  $K$  be a perverse  $\Lambda$ -sheaf on  $X$  smooth projective. Then for all  $i$ ,  $\dim_{\mathbb{F}} \mathcal{H}^{-i}K_x \leq \gamma_{CC(K)}^i(x)$ .

**Corollary 1.** For a conical closed set  $C$  in  $T^*X$ , let  $C_x$  denote the fiber of  $C$  above  $x$ . If  $i < n - \dim SS(K)_x$ , then  $\mathcal{H}^{-i}K_x \cong 0$ .

## 2 Alternate definition of polar multiplicities

By definition, the multiplicity of a cycle at a point  $x \in X$  is the local intersection number of that cycle with a sufficiently general smooth subvariety through  $x$ . In particular, polar multiplicities are local intersection numbers on  $X$ . Using the projection formula, we can alternatively define polar multiplicities as local intersection numbers on  $P^*(T^*X)$ .

**Definition 2.** Let  $Y$  be a smooth variety with a map  $f : Y \rightarrow X$ . Let  $C_1$  and  $C_2$  be cycles on  $Y$  with total dimension  $\dim Y$  such that  $C_1 \cap C_2 \cap f^{-1}(x)$  is proper. Suppose that all connected components of  $C_1 \cap C_2$  are either contained in  $f^{-1}(x)$  or disjoint from  $f^{-1}(x)$ . We define the **local intersection number**  $(C_1, C_2)_{Y,x}$  as the degree of the part of the refined intersection  $C_1 \cdot C_2$  supported on  $f^{-1}(x)$ .

**Definition 3 (Alternate).** We present an alternate definition of the  $i$ th polar multiplicity of a conical cycle  $C$  at a point  $x \in X$ .

- If  $0 \leq i \leq n-1$ : Let  $Y$  be a sufficiently general (locally defined) smooth codimension  $i$  subvariety of  $X$  through  $x$ , and let  $V$  be a sufficiently general (locally defined) rank  $i+1$  subbundle of the restriction  $T^*X|_Y$ . Define  $\gamma_C^i(x)$  as the local intersection number  $(\mathbb{P}(C), \mathbb{P}(V))_{\mathbb{P}(T^*X),x}$ .
- If  $i = n$ : Same as before (multiplicity of the zero section).

Here, “sufficiently general” means that  $T_x Y \subset T_x X$  and  $V_x \subset T_x^* X$  are generic subspaces. This helps ensure that  $(\mathbb{P}(C), \mathbb{P}(V))_{\mathbb{P}(T^*X),x}$  makes sense (the intersection  $\mathbb{P}(C) \cap \mathbb{P}(V)$  is 0-dimensional) and is independent of choice of  $Y$  and  $x$ .

**Lemma 2.** The definitions agree.

*Proof.* As mentioned above, the multiplicity of  $\pi_*(\mathbb{P}(C) \cap \mathbb{P}(V))$  (for a subbundle  $V \subset T^*X$  on  $X$ , not  $Y$ ) is defined as  $(\pi_*(\mathbb{P}(C) \cap \mathbb{P}(V)), Y)_{X,x}$  for sufficiently general  $Y$ . We have

$$\begin{aligned} (\pi_*(\mathbb{P}(C) \cap \mathbb{P}(V)), Y)_{X,x} &= (\mathbb{P}(C) \cap \mathbb{P}(V), \pi^*Y)_{\mathbb{P}(T^*X),x} \\ &= (\mathbb{P}(C), \mathbb{P}(V) \cap \pi^*Y)_{\mathbb{P}(T^*X),x}. \end{aligned}$$

Here, the first equality is the projection formula, and the second equality is associativity of refined intersections. Note that  $\mathbb{P}(V) \cap \pi^*Y$  is simply  $\mathbb{P}(V|_Y)$ . It remains to check that the pair  $(Y, V|_Y)$  is sufficiently general if  $V_x$  is sufficiently general and  $Y$  is sufficiently general depending on  $V_x$ . This check is done by counting dimensions.  $\square$

## 3 Proof of the main theorem

### 3.1 Proper $C$ -transversality

The proof of the main theorem makes use of the functoriality of characteristic cycles under certain pullbacks. To state this functoriality result, we’ll need to define proper  $C$ -transversality.

**Definition 4.** Let  $W$  be smooth of dimension  $m$ , and let  $h : W \rightarrow X$  be a map. We say that  $h$  is **properly  $C$ -transversal** if it is  $C$ -transversal and each irreducible component of  $h^*C$  has dimension  $m$ .

**Definition 5.** Let  $A$  be a conical cycle of dimension  $n$  on  $T^*X$ , and let  $C$  be its support. Let  $h : W \rightarrow X$  be properly  $C$ -transversal. We define the conical cycle  $h^!A := (-1)^{n-m} dh_*(h^{-1}(A))$  on  $T^*W$ , where  $m$  is the dimension of  $W$  and the maps are  $dh : W \times_X T^*X \rightarrow T^*W$  and  $h : W \times_X T^*X \rightarrow T^*X$ .

**Theorem 2.** Let  $h : W \rightarrow X$  be properly  $C$ -transversal, and let  $L$  be a complex micro-supported on  $C$ . Then  $CC(h^*L) = h^!CC(L)$ .

There's also a functoriality result for proper pushforwards, but I'm too lazy to state it precisely, so we'll see the result when we need it.

### 3.2 The proof modulo some lemmas

**Theorem 3.** Let  $K$  be a perverse  $\Lambda$ -sheaf on  $X$  smooth projective. Then for all  $i$ ,  $\dim_{\mathbb{F}} \mathcal{H}^{-i}K_x \leq \gamma_{CC(K)}^i(x)$ .

*Proof.* The proof is done by induction on  $i$ . Fix a projective embedding of  $X$ , and take a generic pencil of conics  $\bar{X} \subset X \times \mathbb{P}^1$ . Let  $p : \bar{X} \rightarrow X$  and  $q : \bar{X} \rightarrow \mathbb{P}^1$  be the projections. Since the pencil is generic, we can choose it so that  $x$  does not lie on the base locus, so that  $p$  is an isomorphism above a neighborhood of  $x$  and  $q$  makes sense as a map from a neighborhood of  $x$  to  $\mathbb{P}^1$ . Thus, we will sometimes use  $\bar{X}$  and  $X$  interchangeably.

To induct, we use the nearby/vanishing cycles distinguished triangle for  $q$ :

$$p^*K \rightarrow R\Psi_q p^*K \rightarrow R\Phi_q p^*K.$$

This triangle gets us an exact sequence of cohomology sheaves:

$$(R^{-i-1}\Phi_q p^*K)_x \rightarrow \mathcal{H}^{-i}(K)_x \rightarrow (R^{-i}\Psi_q p^*K)_x \rightarrow (R^{-i}\Phi_q p^*K)_x.$$

Since the pencil is generic, we may assume that  $p$  is an isomorphism above a neighborhood of  $x$ . Thus,  $p^*K$  is perverse near  $p^{-1}(x)$  (which we'll just denote  $x$  for convenience). By the perverse t-exactness of nearby cycles,  $R\Psi_q p^*K[-1]$  is perverse near  $x$  as well.

Our base case is  $i = 0$ . We need the following lemmas.

**Lemma 3.** Let  $L \in D_c^b(X, \mathbb{F})$  be a complex. Let  $CC'(L)$  (resp.  $SS'(L)$ ) be  $CC(L)$  (resp.  $SS(L)$ ) with any occurrence of  $T_x^*X$  removed. As above, let  $\bar{X} \subset X \times \mathbb{P}^1$  be a generic pencil of conics with projections  $p : \bar{X} \rightarrow X$  and  $q : \bar{X} \rightarrow \mathbb{P}^1$ . Let  $y = q(x)$ , and let  $i : q^{-1} \hookrightarrow \bar{X}$  be the inclusion. Then we have the following:

- (1)  $CC(R\Psi_q p^*L) = i^!p^!CC'(L)$ .
- (2) In a neighborhood of  $x$ ,  $R\Psi_q p^*L$  is supported at  $x$ .
- (3) The multiplicity of  $T_x^*X$  in  $CC(K)$  is  $-\dim\text{tot}(R\Phi_q p^*L)_x$ .
- (4) If  $L$  is perverse, then  $(R\Phi_q p^*L)_x$  is concentrated in degree -1.

**Lemma 4.** Let  $C$  be a conical cycle, and let  $C'$  be  $C$  with all copies of  $T_x^*X$  removed. Let  $\tilde{X}$  be a generic conic section of  $X$  through  $x$ , let  $i : \tilde{X} \rightarrow X$  be the inclusion, and let  $\tilde{C} := -i^!C'$ .

Then for  $i > 0$ , the  $i$ th polar multiplicity of  $C$  at  $x$  equals the  $(i-1)$ st polar multiplicity of  $\tilde{C}$  at  $x$ .

Since  $R\Psi_q p^*K[-1]$  is perverse,  $R^0\Psi_q p^*K \cong 0$ . Thus,

$$\dim \mathcal{H}^0(K)_x \leq \dim(R^{-1}\Psi_q p^*K)_x \leq \dim\text{tot}(R^{-1}\Psi_q p^*K)_x = -\dim\text{tot}(R\Phi_q p^*K)_x,$$

where the last equality follows from Lemma 3. By the Milnor formula,  $-\dim\text{tot}(R\Phi_q p^*K)_x = (CC(K), dq^*(\omega))_{T^*X, x}$  (the RHS should actually be an intersection number in  $T^*\bar{X}$ , but since  $\bar{X}$

and  $X$  are the same in a neighborhood of  $x$ , we are okay). It can be shown that  $q$  is  $SS'(K)$  in a neighborhood of  $x$ , so that the only component of  $SS(K)$  that intersects  $dq^*(\omega)$  is  $T_x^*X$ . Thus,  $(CC(K), dq^*(\omega))_{T_x^*X, x}$  is the multiplicity of  $T_x^*X$  in  $CC(K)$ , as  $T_x^*X$  is the only conical cycle with high enough fiber dimension at  $x$ . This multiplicity is the 0th polar multiplicity of  $CC(K)$  at  $x$ , so we have proven the theorem for  $i = 0$ .

By Lemma 3(4),  $(R^{-i-1}\Phi_q p^*K)_x \cong 0$  for all  $i > 0$ , so the map  $\mathcal{H}^{-i}(K)_x \rightarrow (R^{-i}\Psi_q p^*K)_x$  is injective for all  $i > 0$ . Thus,  $\dim \mathcal{H}^{-i}(K)_x \leq \dim (R^{-i}\Psi_q p^*K)_x$  for all  $i > 0$ . Because  $R\Psi_q p^*K[-1]$  is perverse, we can apply the inductive hypothesis to deduce that  $\dim \mathcal{H}^{-i}(K)_x$  is at most the  $(i-1)$ st polar multiplicity of  $CC(R\Psi_q p^*K[-1])$  at  $x$ . By Lemma 3,  $CC(R\Psi_q p^*K[-1]) = -i^!CC'(K)$ , and by Lemma 4, the  $(i-1)$ st polar multiplicity of  $-i^!CC'(K)$  at  $x$  is the same as the  $i$ th polar multiplicity of  $CC(K)$ . Thus, we conclude that  $\dim \mathcal{H}^{-i}(K)_x$  is at most the  $i$ th polar multiplicity of  $CC(K)$  at  $x$ .  $\square$