

# Semi-orthogonal Decompositions Seminar Notes

Notes taken by Amal Mattoo, who apologizes for any mistakes.

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Kuan-Wen Chen: More examples of SOD's (Grassmannians, quadrics).

**Definition 0.1.** Given a  $k$ -linear triangulated category  $D$ , and  $E_1, \dots, E_n \in \text{Ob}(D)$ ,

- $E_1, \dots, E_n$  is an exceptional sequence if

$$\text{Hom}(E_i, E_j[\ell]) = \begin{cases} k & i = j, \ell = 0 \\ 0 & i > j \text{ or } i = j, \ell \neq 0 \end{cases}$$

- An exceptional sequence is full if  $D = \langle E_1, \dots, E_n \rangle$ .

A full exceptional collection defines a semi-orthogonal decomposition.

Let's review the full exceptional collection on  $\mathbf{P}^n$ , due to Beilinson.

$$D^b(\mathbf{P}^n) = \langle \mathcal{O}(a), \dots, \mathcal{O}(a+n) \rangle$$

Strategy to prove this:

1. Exceptionalness is just a cohomology computation, following from  $\text{Hom}(\mathcal{O}(i), \mathcal{O}(j)[\ell]) = H^\ell(\mathbf{P}^n, \mathcal{O}(j-i))$
2. For fullness, consider the diagonal  $\Delta \subseteq \mathbf{P}^n \times \mathbf{P}^n$ , for which  $Rp_{2*}(Lp_1^* \mathcal{F} \otimes \mathcal{O}_\Delta) = \mathcal{F}$  for all  $\mathcal{F}$ , and construct a resolution of  $\mathcal{O}_\Delta$

$$0 \rightarrow \bigwedge^n (\Omega(1) \boxtimes \mathcal{O}(-1)) \rightarrow \bigwedge^{n-1} (\Omega(1) \boxtimes \mathcal{O}(-1)) \rightarrow \dots \rightarrow \Omega(1) \boxtimes \mathcal{O}(-1) \rightarrow \mathcal{O}_{\mathbf{P}^n \times \mathbf{P}^n} \rightarrow \mathcal{O}_\Delta \rightarrow 0$$

which follows from the Koszul resolution from

$$0 \rightarrow \Omega(1) \rightarrow \mathcal{O}^{\oplus n+1} \rightarrow \mathcal{O}(1) \rightarrow 0.$$

For each term we have a quasi-isomorphism

$$Rp_{2*}(p_1^* \mathcal{F} \otimes (\Omega^k(k) \boxtimes \mathcal{O}(-k))) \simeq \bigoplus_{i \in \mathbf{Z}} \mathbf{H}^i(\mathcal{F} \otimes \Omega^k(k)) \otimes \mathcal{O}(-k)[-i] =: T_k,$$

so for the whole resolution we have a quasi-isomorphism

$$\mathcal{F} = Rp_{2*}(p_1^* \mathcal{F} \otimes \mathcal{O}_\Delta) \simeq \text{Cone}(\dots \text{Cone}(\text{Cone}(T_n \rightarrow T_{n-1}) \rightarrow T_{n-2})),$$

which is in  $\langle \mathcal{O}(n), \dots, \mathcal{O}(-1) \rangle$ .

Now, we will do something similar for the Grassmannian. Fix  $G := \text{Gr}(k, V)$  for  $\dim V = n$ .

Question 1: What is the resolution of  $\mathcal{O}_\Delta$ ?

Let  $\Delta \subseteq G \times G$  be the diagonal, and let  $S \subseteq V$  be the tautological sub-bundle ( $k$ -dimensional). We have

$$0 \rightarrow S \rightarrow V \rightarrow V/S \rightarrow 0$$

or, dualizing

$$0 \rightarrow (V/S)^* \rightarrow V^* \rightarrow S^*$$

define  $S^\perp := (V/S)^*$ .

Fact 1:  $H^0(G, S^*) = V^*$ ,  $H^0(G, V/S) = V$ .

Then

$$H^0(G \times G, S^* \boxtimes V/S) = V^* \otimes V = \text{End}(V)$$

Let  $\xi \in H^0(G \times G, S^* \boxtimes V/S)$  correspond to  $\text{Id} \in \text{End}(V)$ , and note that it corresponds to  $\mathcal{O}_{G \times G} \rightarrow S^* \boxtimes (V/S)$ , or dually to

$$S \boxtimes S^\perp \xrightarrow{-\xi} \mathcal{O}_{G \times G} \rightarrow \mathcal{O}_\Delta \rightarrow 0.$$

Taking the Koszul complex yields a resolution

$$\dots \rightarrow \bigwedge^k (S \boxtimes S^\perp) \rightarrow \bigwedge^{k-1} (S \boxtimes S^\perp) \rightarrow \dots \rightarrow S \boxtimes S^\perp \rightarrow \mathcal{O}_{G \times G} \rightarrow \mathcal{O}_\Delta \rightarrow 0.$$

Fact 2: For  $W_1, W_2$  vector spaces, we have

$$\bigwedge^p (W_1 \otimes W_2) \cong \bigoplus_{\alpha \geq 0, \sum \alpha_i = p} \Sigma^\alpha W_1 \otimes \Sigma^{\alpha^*} W_2$$

as a  $\text{GL}(W_1) \times \text{GL}(W_2)$  module. Here  $\alpha$  is a Young diagram and  $\Sigma^\alpha$  is a Schur functor associated to  $\alpha$ , and  $\alpha^*$  is the dual diagram, which reverses rows and columns.

**Definition 0.2.** For  $\alpha = (\alpha_1, \dots, \alpha_n)$  a decreasing sequence of integers and  $W$  a vector space of  $\dim W = n$ , the irreducible representation of  $\text{GL}(W)$  with highest weight  $\alpha$  is called  $\Sigma^\alpha W$ . If  $\alpha \geq 0$ , then  $\alpha$  corresponds to a Young diagram.

The representation is constructed by tensor operations.

**Example.**  $\Sigma^{(1,1,\dots,1)} W = \bigwedge^n W$ , and  $\Sigma^{(n)} W = \text{Sym}^n W$ .

Fact 2 implies

$$\bigwedge^p (S \boxtimes S^\perp) = \bigoplus_{\alpha \geq 0, \sum \alpha_i = p} \Sigma^\alpha S \boxtimes \Sigma^{\alpha^*} S^\perp$$

**Proposition 0.3** (Kapranov).

$$D^b(\text{Gr}(k, V)) \cong \langle \Sigma^\alpha S : \Sigma^{\alpha^*} S^\perp \neq 0 \rangle$$

This corresponds to  $\Sigma^\alpha S$  for  $\alpha$  such that the number of rows of  $\alpha$  is  $\leq k$  and the number of columns of  $\alpha$  is  $\leq n - k$ .

Question 2: Are  $\Sigma^\alpha S$  an exceptional sequence?

We will show that they are, taking the objects in any order preserving inclusion of Young diagrams.

$$\begin{aligned} \text{Hom}(\Sigma^\alpha S, \Sigma^\beta S[\ell]) &= \text{Ext}^\ell(\Sigma^\alpha S, \Sigma^\beta S) \\ &= H^\ell(G, \Sigma^{(-\alpha_k, -\alpha_{k-1}, \dots, -\alpha_1)} S \otimes \Sigma^\beta S) \\ &= H^\ell(G, \Sigma^{(-\alpha_k, -\alpha_{k-1}, \dots, -\alpha_1)^*} S^\perp \otimes \Sigma^\beta S) \end{aligned}$$

where the last step requires  $(-\alpha_k, \dots, -\alpha_1) \geq 0$ .

**Proposition 0.4.** • If  $\alpha_1 \geq \alpha_2 \geq \dots \geq \alpha_k \geq -(n-k)$ , then

$$H^i(G, \Sigma^\alpha S) = \begin{cases} 0 & i > 0 \text{ or } i = 0 \text{ for some } \alpha_i \geq 0 \\ \Sigma^{-\alpha_k, \dots, -\alpha_1} V^* & \text{all } \alpha_i < 0 \end{cases}$$

- If  $n-k \geq \alpha_1 \geq \dots \geq \alpha_k \geq 0$  and  $k \geq \beta_1 \geq \dots \geq \beta_{n-k} \geq 0$ , then  $\Sigma^\alpha S \boxtimes \Sigma^\beta S^\perp$  can have non-zero cohomology only when  $\beta = \alpha^*$ . In this case,  $H^{\Sigma\alpha_i} = \mathbf{C}$ ,  $H^j = 0$  for  $j \neq \sum \alpha_i$ .

*Proof idea.* Use Bott–Borel–Weil theorem in representation theory. Consider

$$F = \text{Flag}(1, 2, \dots, n-1, V) = \text{GL}(V)/B$$

where  $B$  is the Borel subgroup consisting of upper triangular matrices. Line bundles on  $F$  correspond to characters  $\chi$  of maximal torus  $\chi = (a_1, \dots, a_n)$ . Denote the line bundle corresponding to  $\chi$  as  $\mathcal{O}(\chi)$ .

Let  $\pi_i$  be the  $i$ -dimensional tautological bundle, so

$$\pi_1 \subset \dots \subset \pi_{n-2} \subset \pi_{n-1} \subset V \otimes \mathcal{O}_F.$$

Then the line bundle  $\pi_{i+1}/\pi_i$  corresponds to the character  $(0, 0, 0, \dots, -1, 0, \dots)$  where only the  $i+1$  entry is nonzero.

Define the positive chamber of the character space  $C^+ = \{(a_1, \dots, a_n) : a_1 \geq a_2 \geq \dots \geq a_n\}$ .

**Theorem 0.5 (BBW).** • If  $\chi \in C^+$ , then

$$H^i(F, \mathcal{O}(\chi)) = \begin{cases} \Sigma^{\chi} V & i = 0 \\ 0 & i > 0 \end{cases}$$

- If  $\alpha \notin C^+$ , take a  $\sigma \in S_n$  such that  $\sigma(\alpha) \in C^+$ . Let  $\rho = (n, n-1, \dots, 1)$ . Then:
  - if  $\sigma(\alpha + \rho) - \rho \notin C^+$ , then  $H^i(\mathcal{O}(\chi)) = 0$  for all  $i$ .
  - if  $\sigma(\alpha + \rho) - \rho \in C^+$ , then

$$H^i(\mathcal{O}(\chi)) = \begin{cases} \Sigma^{\sigma(\alpha + \rho) - \rho} V & i = \ell(\sigma) \\ 0 & \text{otherwise} \end{cases},$$

where  $\ell(\sigma)$  is the length of  $\sigma$ .

In our case, let  $F_1 = \text{Flag}(1, 2, 3, \dots, k, V)$  and  $p_1 : F_1 \rightarrow G$  the natural map. Let

$$L_1 = \pi_1^{\otimes \alpha_k} \otimes (\pi_2/\pi_1)^{\alpha_{k-1}} \otimes \dots \otimes (\pi_k/\pi_{k-1})^{\alpha_1}$$

be the line bundle corresponding to  $(-\alpha_k, -\alpha_{k-1}, \dots, -\alpha_1)$ . Then using the BBW theorem,  $Rp_{1*}L_1 = \Sigma^\alpha S$ .

Similarly, let  $F_2 = \text{Flag}(k, k+1, \dots, n-1, V) \xrightarrow{p_2} G$  and

$$L_2 = (\pi_{k+1}/\pi_k)^{\otimes 1-\beta_1} \otimes \dots \otimes (V/\pi_{n-1})^{-\beta_{n-k}}.$$

Then  $Rp_{2*}L_2 = \Sigma^\beta S^\perp$ .

Thus, letting  $F_1 \times F_2 \xrightarrow{p=(p_1, p_2)} G$ , we have

$$H^i(G, \Sigma^\alpha S \boxtimes \Sigma^\beta S^\perp) = H^i(F_1 \times F_2, L_1 \boxtimes L_2).$$

□

Finally, we turn to the case of quadrics. Let  $Q \subset \mathbf{P}(E)$ , for  $E$  an  $N$ -dimensional vector space.

Question 1: can we find a resolution of  $\mathcal{O}_\Delta \subseteq Q \times Q$ ?

A *Koszul algebra* is a quadratic algebra with a linear minimal free resolution, i.e., exists

$$\dots \xrightarrow{\phi_2} B(-1) \xrightarrow{\phi_1} B \rightarrow k \rightarrow 0$$

requiring that entries of  $\phi_i$  are either 0 or linear.

Fact: any complete intersection quadratic algebra is Koszul.

*Koszul dual* (Priddy dual):

- If  $B$  is a Koszul algebra  $T(V)/R$ , let  $A = \text{Ext}_B(k, k) = T(V^*)/R^*$ , where  $R$  and  $R^*$  are dual relations. Then  $\text{Ext}_A(k, k) = B$ .
- There is a generalized Koszul complex

$$\rightarrow A_1^* \otimes B \rightarrow A_0^* \otimes B \rightarrow \mathbf{C} \rightarrow 0$$

exact as a  $B$ -module, where the maps are  $\sum_i (r_{\xi_i} \otimes \ell_{\chi_i})$ , where  $\xi_i$  and  $\chi_i$  are dual bases.

For our quadric  $Q$ , let  $B = \bigoplus_i H^i(Q, \mathcal{O}(i))$  and  $A$  be the Koszul dual of  $B$ . Exists an exact sequence

$$L(A^*) = \{\rightarrow \dots \rightarrow \widetilde{A}_i^*(-i) \rightarrow \dots \rightarrow A_0^*\}$$

Set  $\Psi_i = \ker(\widetilde{A}_i^* \rightarrow \widetilde{A}_{i-1}^*(1))$  for  $i \geq 0$ .

**Proposition 0.6.** *Exists an exact sequence*

$$\dots \rightarrow \Psi_2 \boxtimes \mathcal{O}(-2) \rightarrow \Psi_1 \boxtimes \mathcal{O}(-1) \rightarrow \mathcal{O}_{Q \times Q} \rightarrow \mathcal{O}_\Delta \rightarrow 0$$

**Proposition 0.7.**

$$\ker(C^{-N+3} \rightarrow C^{-N+4}) = \Sigma(-1) \otimes \Sigma(-N+2)$$

when  $N$  is odd. Here  $\Sigma$  is a Spinor bundle on  $Q$  (involves  $\Sigma_+$  and  $\Sigma_-$  when  $N$  is even).

**Theorem 0.8** (Kapranov).  $D^b(Q) = \langle \Sigma, \mathcal{O}, \mathcal{O}(1), \dots, \mathcal{O}(N+3) \rangle$  when  $N$  is odd (slightly different when  $N$  is even).

**Example.**  $\text{Gr}(2, 4) \hookrightarrow \mathbf{P}^5$  is a quadric under the Plücker embedding. Then

$$D^b(\text{Gr}(2, 4)) = \langle \mathcal{O}, S, \text{Sym}^2 S, \mathcal{O}(-1), S(-1), \mathcal{O}(-2) \rangle,$$

where the terms correspond to the Young diagrams  $\emptyset, (1), (1, 1), (2), (2, 1), (2, 2)$ .

Here  $\Sigma_+ = S^*$  and  $\Sigma_- = \mathbf{C}^4/S$ , so we have a decomposition

$$\langle S^*, \mathbf{C}^4/S, \mathcal{O}, \mathcal{O}(1), \mathcal{O}(2), \mathcal{O}(3) \rangle$$

which is mutation equivalent.