

# Review of etale cohomology

Matthew Hase-Liu

Let's recall something more familiar: coherent sheaf cohomology.

Let  $X$  be a variety and consider the Zariski topology on  $X$ . Then, sheaves will take open subsets of  $X$  to  $\mathcal{O}(U)$ -modules (abelian groups). Coherent cohomology is defined by taking the derived functor of global sections.

In etale cohomology, we consider  $Y \rightarrow X$  that are etale, which means  $Y \rightarrow X$  is smooth and of relative dimension zero. Sheaves will send etale morphisms to abelian groups. The global sections functor sends  $F$  to  $F(\text{Id}_X)$ , and then we take the derived functor to get etale cohomology. If we apply this to the structure sheaf, this just recovers Zariski cohomology. If we take the sheaf  $\mathbb{Q}_\ell$ , we take some limit of sheaves on  $\mathbb{Z}/\ell^n$  and invert  $\ell$ .

Let's start with the case of a finite ring  $A$  and let  $\text{Mod}(X, A)$  denote  $A$ -module sheaves on  $X$ . As in Rafah's talk, we can take its derived category to obtain  $D(X, A)$ .

There are a number of important functors on  $D(X, A)$ :

**Example 1.** The global sections functor can then be extended to the derived category:

$F \in \text{Mod}(X, A)$ , whose global sections, i.e.  $F(\text{Id}_X)$ , gives a functor  $\Gamma: \text{Mod}(X, A) \rightarrow \text{Mod}_A$ . This is left-exact, and hence we have an induced derived functor  $R\Gamma: D(X, A) \rightarrow D(A)$ . In particular,  $H^i(X, F) = H^i(R\Gamma(F))$

**Example 2.** Let  $f: X \rightarrow Y$  be a morphism of schemes. Then, there is a functor  $f_*: \text{Mod}(X, A) \rightarrow \text{Mod}(Y, A)$  given by  $f_*F(Y') = F(Y' \times_Y X)$ , noting that  $Y' \times_Y X \rightarrow X$  is etale.

This is left-exact, and hence we obtain an induced derived functor  $Rf_*: D(X, A) \rightarrow D(Y, A)$ .

Any such  $f_*$  admits a left adjoint  $f^*$  that is exact, which induces a derived functor  $f^*: D(Y, A) \rightarrow D(X, A)$ .

**Example 3.** Let  $j: U \subset X$  be an open immersion. Then  $j^*$  moreover admits a left adjoint  $j_!$  called the extension by zero, which satisfies the fact  $j^*j_! = \text{Id}$ .

Hence, we have a triple of adjunctions  $(j_!, j^*, j_*)$ .

Let  $i: Z \hookrightarrow X$  be the complement of  $U$ . Then  $i_*$  moreover admits a right adjoint  $i^!$ . Then, we have the following "excision sequences" in the derived category:

$$i_* Ri^! F \rightarrow F \rightarrow Rj_* j^* F \rightarrow \bullet,$$

$$j_! j^* F \rightarrow F \rightarrow i_* i^* F \rightarrow \bullet,$$

Everything works if  $A$  is replaced with  $\mathbb{Q}_\ell$  or  $\overline{\mathbb{Q}_\ell}$ .

For non-proper varieties, we often work with compactly supported cohomology instead:

If  $j: X \subset \overline{X}$  is an open immersion with  $\overline{X}$  proper, then we define  $H_c^i(X, F) := H^i(\overline{X}, F)$  (this is independent of the choice of compactification—proper base change!). You can also think of this as  $H^i(R\Gamma(j_! F))$ .

A few facts:

**Theorem 4.** *Let  $X$  be a smooth variety of dimension  $d$  over an algebraically closed field  $k$ .*

- (i)  $H_c^i(X, A)$  is a finite-type  $A$ -module.
- (ii)  $H_c^i(X, A) = 0$  for  $i < 0$  and  $i > 2d$ . Moreover, if  $X$  is affine, then  $H_c^i(X, A) = 0$  for  $i < d$ .
- (iii) If  $d = 1$ ,  $n$  is invertible in  $k$ , and  $X$  is smooth and projective of genus  $g$ , we have

$$H^i(X, \mathbb{Z}/n\mathbb{Z}) \cong \begin{cases} \mathbb{Z}/n\mathbb{Z} & \text{if } i = 0, \\ (\mathbb{Z}/n\mathbb{Z})^{2g} & \text{if } i = 1, \\ \mathbb{Z}/n\mathbb{Z} & \text{if } i = 2, \\ 0 & \text{else.} \end{cases}$$

Let us use the excision sequence:

**Example 5.** Let  $U = X - D$ , where  $X$  is a smooth projective curve over an algebraically closed field  $k$  and  $D$  is a finite collection of closed points. Let  $i: D \hookrightarrow X$  and  $j: U \subset X$ . Let  $\ell$  be invertible in  $k$ . We have

$$j_! j^* \mathbb{Q}_\ell \rightarrow \mathbb{Q}_\ell \rightarrow i_* i^* \mathbb{Q}_\ell \rightarrow \bullet,$$

which induces

$$\begin{aligned} 0 \rightarrow H_c^0(U, \mathbb{Q}_\ell) \rightarrow H^0(X, \mathbb{Q}_\ell) \rightarrow H^0(D, \mathbb{Q}_\ell) \rightarrow H_c^1(U, \mathbb{Q}_\ell) \rightarrow \\ \rightarrow H^1(X, \mathbb{Q}_\ell) \rightarrow H_c^1(D, \mathbb{Q}_\ell) \rightarrow H_c^2(U, \mathbb{Q}_\ell) \rightarrow H^2(X, \mathbb{Q}_\ell) \rightarrow H_c^2(D, \mathbb{Q}_\ell) \rightarrow 0 \end{aligned}$$

Then, we have

$$0 \rightarrow H_c^0(U, \mathbb{Q}_\ell) \rightarrow \mathbb{Q}_\ell \rightarrow \mathbb{Q}_\ell^{|D|} \rightarrow H_c^1(U, \mathbb{Q}_\ell) \rightarrow \mathbb{Q}_\ell^{2g} \rightarrow 0 \rightarrow H_c^2(U, \mathbb{Q}_\ell) \rightarrow \mathbb{Q}_\ell \rightarrow 0,$$

so

$$H_c^i(U, \mathbb{Q}_\ell) \cong \begin{cases} \mathbb{Q}_\ell^{2g+|D|-1} & \text{if } i = 1, \\ \mathbb{Q}_\ell & \text{if } i = 2, \\ 0 & \text{otherwise.} \end{cases}$$

**Example 6.** In particular,  $H_c^2(\mathbb{A}^1, \mathbb{Q}_\ell) = \mathbb{Q}_\ell$  and  $H_c^{i \neq 2}(\mathbb{A}^1, \mathbb{Q}_\ell) = 0$ .

**Note: I'm being sloppy (maybe on purpose)! You have to add twists.**

The Grothendieck-Lefschetz fixed point theorem says the following:

**Theorem 7.** *If  $X/\mathbb{F}_q$  is a variety, then*

$$\#X(\mathbb{F}_q) = \sum_i (-1)^i \text{Tr} \left( \text{Fr}_q : H_c^i \left( X_{\overline{\mathbb{F}_q}}, \mathbb{Q}_\ell \right) \right)$$

*( $x \in X(\overline{\mathbb{F}_q})$ , then  $x \in X(\mathbb{F}_q)$  iff  $\text{Fr}_q(x) = x$ ). Here,  $\text{Fr}_q: X \rightarrow X$  is the absolute Frobenius, which induces an action on  $X_{\overline{\mathbb{F}_q}} \rightarrow X_{\overline{\mathbb{F}_q}}$ , and hence  $H_c^i \left( X_{\overline{\mathbb{F}_q}}, \mathbb{Q}_\ell \right)$ .*

Let me quickly just say how  $\text{Fr}_q$  acts on  $H_c^i \left( X_{\overline{\mathbb{F}_q}}, \mathbb{Q}_\ell \right)$ :

Consider the composition

$$\begin{aligned} R\Gamma_c \left( X_{\overline{\mathbb{F}_q}}, \mathbb{Q}_\ell \right) &\cong R\Gamma \left( \overline{X}_{\overline{\mathbb{F}_q}}, j! \mathbb{Q}_\ell \right) \\ &\rightarrow R\Gamma \left( \overline{X}_{\overline{\mathbb{F}_q}}, \text{Fr}_q^* j! \mathbb{Q}_\ell \right) \\ &\cong R\Gamma \left( \overline{X}_{\overline{\mathbb{F}_q}}, j! \text{Fr}_q^* \mathbb{Q}_\ell \right) \\ &\cong R\Gamma \left( \overline{X}_{\overline{\mathbb{F}_q}}, j! \mathbb{Q}_\ell \right) \\ &\cong R\Gamma_c \left( X_{\overline{\mathbb{F}_q}}, \mathbb{Q}_\ell \right). \end{aligned}$$

Then, apply cohomology to both sides.

We have an ‘‘ally’’ theorem of Deligne:

**Theorem 8.** *If  $X/\mathbb{F}_q$  is a variety, then the eigenvalues of  $\text{Fr}_q$  on  $H_c^i \left( X_{\overline{\mathbb{F}_q}}, \mathbb{Q}_\ell \right)$  are algebraic numbers of size at most  $q^{i/2}$ .*

Deligne’s theorem implies that  $\text{Tr} \left( \text{Fr}_q, H_c^i \left( X_{\overline{\mathbb{F}_q}}, \mathbb{Q}_\ell \right) \right) \leq q^{i/2} \dim H_c^i \left( X_{\overline{\mathbb{F}_q}}, \mathbb{Q}_\ell \right)$ . So this implies that to calculate  $\#X(\mathbb{F}_q)$ , we need to (approximately) calculate the cohomology  $H_c^i \left( X_{\overline{\mathbb{F}_q}}, \mathbb{Q}_\ell \right)$  for  $i$  large and bound  $\dim H_c^i \left( X_{\overline{\mathbb{F}_q}}, \mathbb{Q}_\ell \right)$  for  $i$  small.

This is a general phenomenon in number theory, where we are able to reduce many questions to the case of a point-counting problem over some curve/ $\mathbb{F}_q$ ; in these cases, there isn’t much hope of computing all the cohomology groups directly, so it is crucial to be able to bound a large number of them.

**Theorem 9.** *If  $X/\mathbb{F}_q$  is a variety,  $F$  a constructible  $\ell$ -adic sheaf on  $X$ . Then*

$$\sum_{x \in X(\mathbb{F}_q)} \text{Tr} \left( \text{Fr}_q, F_{\overline{x}} \right) = \sum_i (-1)^i \text{Tr} \left( \text{Fr}_q, H_c^i \left( X_{\overline{\mathbb{F}_q}}, F \right) \right).$$

Deligne’s Weil II is as follows:

**Theorem 10.** *The eigenvalues of  $\text{Fr}_q$  on  $H_c^i(X_{\overline{\mathbb{F}}_q}, F)$  are bounded by  $q^{\frac{i+w}{2}}$  if  $F$  is mixed of weight  $\leq w$ .*